



## **CITY OF VENICE, FLORIDA**

**Purchasing Department**

**401 W. Venice Avenue  
Venice, FL 34285**

### **Invitation to Bid**

**ITB Number 3104-19**

**Date of Issue: July 13, 2019**

**Submission Deadline: August 15, 2019 at 2:00 PM**

**Title and Purpose of ITB:**

**REVERSE OSMOSIS WATER TREATMENT PLANT  
SODIUM HYPOCHLORITE BULK STORAGE SYSTEM  
REPLACEMENT**

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**CITY OF VENICE  
REVERSE OSMOSIS WATER TREATMENT PLANT  
SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**

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## INVITATION TO BID

The City of Venice invites sealed bids from qualified bidders to provide the following goods or services, which is described in detail in the Specifications.

**Bid No.:** 3104-19

**Bid Title:** Reverse Osmosis Water Treatment Plant Sodium Hypochlorite  
Bulk Storage System Replacement

**PROJECT DESCRIPTION:** The proposed project is for replacement of two bulk storage tanks and associated equipment located inside the sodium hypochlorite storage building at the City of Venice Water Treatment Plant (WTP). The work will include phased removal and replacement of each storage tank, refurbishment of interior coating systems, and replacement of piping, transfer pump, electrical connections, and roofing panels.

**BID OPENING LOCATION:** City of Venice, Venice City Hall, Community Hall, room # 114, 401 West Venice Ave., Venice FL 34285

**BID SUBMITTAL DEADLINE and BID OPENING DATE & TIME:** August 15, 2019 at 2:00 PM

**PRE-BID MEETING: YES DATE & TIME:** July 31, 2019 at 2:00 PM

**LOCATION:** Reverse Osmosis Water Treatment Plant, Building “C”  
Break Room, 200 North Warfield Ave., Venice FL 34285,

Specifications and Bid documents are available by calling Onvia DemandStar at (800) 711-1712 or by their Internet address at <http://www.demandstar.com>. Proposers may also pick up Bid documents at the City of Venice Procurement- Finance Department, Room 204, 401 West Venice Ave., Venice Florida 34285, **(941) 882-7422** at no charge.

A non-mandatory pre-bid meeting/site visit will be held on July 31, 2019 at 2:00 p.m., City of Venice Reverse Osmosis Water Treatment Plant, Building “C” Break Room, 200 North Warfield Ave., Venice FL 34285, Venice FL 34285. Representatives from the City will be present to discuss the overall project and the Invitation to Bid. **Interested Firms are encouraged to attend.**

All questions, comments, or concerns about this ITB must be submitted in writing to Mr. Peter Boers, Procurement- Finance Department, for the City of Venice, Room 204, 401 West Venice Avenue, Venice, FL 34285 or e-mail at [pboers@venicegov.com](mailto:pboers@venicegov.com) Mr. Boers is the only designated representative of the City authorized to respond to comments, questions, and concerns. The City will not respond to comments, questions or concerns addressed to any person other than Mr. Boers. If the City determines that a particular comment, question or concern necessitates a global response to all Proposers, the City will issue a clarifying

memorandum or addendum. **The final day that the City will accept questions will be August 7, 2019 by 1:00 p.m.**

Bids must be submitted in **four sets** and at least one set must bear an original signature, in a sealed envelope marked **“Invitation to Bid # 3104-19: “REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT ”** and mailed or delivered to the City of Venice- Purchasing Department, 401 W. Venice Ave. Room # 204, Venice, FL 34285, no later than the deadline specified. The City assumes no responsibility for bids received after the bid submittal time or at any location other than that specified, no matter what the reason. Late bids will be held unopened and will not be considered for award.

No bid will be received after the specified time for acceptance and no bidder may withdraw his bid within a period of ninety (90) days after the actual date of opening thereof.

Bids will be considered only from bidders who have the applicable license, if a license is required by the City of Venice and/or State of Florida, for the type of work specified. A copy of the applicable license must be submitted with bid if a license is required.

The City reserves the right to reject any or all bids in whole or in part, with or without cause, to waive any requirements, irregularities or technical defects therein, when it is deemed to be in the interest of the City.

CITY OF VENICE, FLORIDA

Publish: July 13, 2019  
July 17, 2019



City of Venice Utilities Department  
City of Venice, Florida

**REVERSE OSMOSIS WATER TREATMENT PLANT  
SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**

INSTRUCTIONS TO BIDDERS

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## ARTICLE 1 – DEFINED TERMS

- 1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below which are applicable to both the singular and plural thereof.
- A. Issuing Office: The office from which the Bidding Documents are to be issued and here the bidding procedures are to be administered.

## ARTICLE 2 – BIDS RECEIVED

- 2.01 Refer to the Invitation To Bid for information on receipt of Bids.

## ARTICLE 3 – LOCATION AND DESCRIPTION OF PROJECT

- 3.01 Refer to Section 01 11 13, Summary of Work, in the General Requirements for the location and description of the Project.

## ARTICLE 4 – COPIES OF BIDDING DOCUMENTS

- 4.01 Refer to the Invitation To Bid for information on location where Bidders may examine and obtain the Bidding Documents.
- 4.02 (Not Used)
- 4.03 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 4.04 Owner and Engineer in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not grant permission for any other use.
- 4.05 Bidders who obtain solicitation documents from sources other than the Owner or download from <http://www.demandstar.com/> must officially register receipt of the solicitation with the City's Procurement – Finance Department in order to be placed on the notification list for any forthcoming addendum or other official communications. Failure to register as a prospective Bidder may cause your submittal to be rejected as non-responsive if you have submitted a response without acknowledgment of issued addenda. The Owner is not responsible for the accuracy of bid documents and information obtained from any source other than <http://www.demandstar.com/>.

## ARTICLE 5 – QUALIFICATIONS OF BIDDERS

- 5.01 Bidders shall be experienced in the kind of Work to be performed, shall have the necessary equipment therefor, and shall possess sufficient capital to properly execute the Work within the time allowed. Bids received from Bidders who have previously failed to complete work within the time required, or who have previously performed similar work in an unsatisfactory manner, may be rejected. A Bid may be rejected if Bidder cannot show that Bidder has the necessary ability, plant, and equipment to commence the Work at the time prescribed and thereafter to prosecute and complete the Work at the rate or within the times specified. A Bid may be rejected if Bidder is already obligated for the performance of other work which would delay the commencement, prosecution or completion of the Work.
- 5.02 To demonstrate qualifications to perform the Work, Bidder shall submit within 5 days after Bid opening, upon Owner's request, a separate Bidder Qualifications Statement that will be furnished by OWNER. An example of the Bidder Qualifications Statement is bound in the Project Manual.
- 5.03 Bidders shall be qualified to do business in the state where the Project is located or covenant to obtain such qualification prior to signing the Agreement.
- 5.04 Bids will be received only from contractors licensed or registered by the State of Florida.

## ARTICLE 6 – EXAMINATION OF BIDDING DOCUMENTS, OTHER RELATED DATA, AND SITE

### 6.01 Subsurface and Physical Conditions

#### A. The Supplementary Conditions identify:

1. Those reports of explorations and tests of subsurface conditions at or contiguous to the Site that have been utilized by Engineer in preparation of the Bidding Documents.
2. Those drawings of physical conditions relating to existing surface or subsurface structures (except Underground Facilities) which are at or contiguous to the Site, that have been utilized by Engineer in preparation of the Bidding Documents.

- B. Electronic copies of the reports and drawings referenced in Paragraph 6.01.A above will be made available by Owner to any Bidder on request. Those reports and drawings are not part of the Contract Documents, but the "technical data" contained therein upon which Bidder is entitled to rely as provided in Paragraph 4.02 of the General Conditions, has been identified and established in Paragraph SC-4.02 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion drawn from any "technical data" or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

6.02 Underground Facilities - Physical Conditions

- A. Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site is based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.

6.03 Hazardous Environmental Condition

- A. Owner has no actual knowledge of a Hazardous Environmental Condition at the Site.

6.04 Provisions concerning responsibilities for the adequacy of data, furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unforeseen subsurface or physical conditions appear in Paragraphs 4.02, 4.03 and 4.04 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work appear in Paragraph 4.06 of the General Conditions.

6.05 Other Related Data (Not Used)

6.06 On request, Owner will provide Bidder access to the Site to conduct such examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a Bid. Bidder shall fill all holes and clean up and restore the Site to its original conditions upon completion of such explorations, investigations, tests, and studies. Bidder shall comply with all Laws and Regulations relative to such explorations, investigations, tests, and studies.

6.07 A single Site visit has been scheduled following the pre-bid conference. No other Site visits will be allowed without Owner's approval.

6.08 (Not Used)

6.09 (Not Used)

6.10 It is the responsibility of Bidder, before submitting a Bid to:

- A. examine and carefully study the Bidding Documents, the other related data identified in the Bidding Documents and Addenda (if any);
- B. visit the Site and become familiar with and satisfy Bidder as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;

- C. become familiar with and satisfy Bidder as to the Laws and Regulations that may affect cost, progress and performance of the Work;
- D. carefully study all:
  - 1. reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities), if any, that have been identified in the Supplementary Conditions in Paragraph SC-4.02 as containing reliable “technical data”, and
  - 2. reports and drawings of Hazardous Environmental Condition identified at the Site, if any, that have been identified in the Supplementary Conditions in Paragraph SC-4.06 as containing reliable “technical data”;
- E. consider the information known to Bidder; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in Bidding Documents with respect to the effect of such information, observation, and documents on
  - 1. the cost, progress and performance of the Work;
  - 2. the means, methods, techniques, sequences and procedures of construction to be employed by Bidder, including applying any specific means, methods, techniques, sequences and procedures of construction expressly required by the Bidding Documents; and
  - 3. Bidder’s safety precautions and programs;
- F. agree at the time of submitting its Bid that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for the performance of the Work at the price(s) bid and within the times required and in accordance with the other terms and conditions of the Bidding Documents;
- G. become aware of the general nature of work (if any) to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
- H. promptly give Engineer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder; and
- I. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work.

- 6.11 The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article 6, that without exception the Bid is premised upon performing the Work required by the Bidding Documents and applying any specific means, methods, techniques, sequences, or procedures of construction that may be shown or indicated or expressly required by the Bidding Documents, that Bidder has given Engineer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in the Bidding Documents and the written resolutions thereof by Engineer are acceptable to Bidder, and that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing the Work.

#### ARTICLE 7 – PRE-BID MEETING

- 7.01 A non-mandatory Pre-Bid Meeting will be held at the date and time indicated in the Invitation To Bid. Representatives of the Owner and Engineer will be present to discuss the Project. Owner will transmit to all prospective Bidders of record such Addenda as Owner considers necessary in response to questions raised at the pre-Bid conference. Oral statements may not be relied upon and will not be binding or legally effective.

#### ARTICLE 8 – SITE AND OTHER AREAS

- 8.01 The Site is identified in the Bidding Documents. Easements for permanent structures or permanent changes in existing facilities are to be obtained and paid for by Owner unless otherwise provided in the Bidding Documents. All additional lands and access thereto required for temporary construction facilities, construction equipment, or storage of materials and equipment, to be incorporated into the Work are to be obtained and paid for by Contractor.

#### ARTICLE 9 – INTERPRETATIONS AND ADDENDA

- 9.01 All questions about the meaning or intent of the Bidding Documents shall be submitted to Owner in writing. To receive consideration, questions must be received by Owner by the date indicated in the Invitation To Bid. Interpretations or clarifications considered necessary by Owner in response to such questions will be issued by Addenda mailed or delivered to all parties recorded by Owner as having received the Bidding Documents for receipt not later than three days prior to the date for the opening of Bids. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 9.02 Addenda may also be issued to clarify, correct or change the Bidding Documents as deemed advisable by Owner or Engineer. Such Addenda, if any, will be issued in the manner and within the time period stated in Paragraph 9.01 of these Instructions to Bidders.

## ARTICLE 10 – BID SECURITY

- 10.01 A Bid shall be accompanied by Bid security made payable to Owner in the amount of 5% of Bidder's maximum Bid price and in the form of Bid bond.
- 10.02 Bid bond shall be on the form bound in the Project Manual. Bid bond shall be issued by a surety complying with the requirements of Paragraphs 5.01 and 5.02 of the General Conditions.
- 10.03 The Bid security of the Successful Bidder will be retained until such Bidder has executed the Contract Documents, furnished the required contract security, and complied with the other conditions of the Notice of Award, whereupon the Bid security will be returned. If the Successful Bidder fails to sign and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may annul the Notice of Award and may retain from the Bid security an amount equal to the damages which Owner may suffer by reason of such failure. Said damages shall be the difference between that Bidder's Bid and the Bid of the next lowest, responsible and responsive Bidder, but such amount shall not exceed the Bid security amount, and, if there is no such next lowest, responsible and responsive Bidder, then the Bid security amount of that Bidder will be forfeited to the Owner as liquidated damages for such failure.
- 10.04 The Bid security of other Bidders whom Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of the seventh day after the Effective Date of the Agreement or the ninety-first day after the Bid opening whereupon the Bid security furnished by such Bidders will be returned. The Bid security of Bidders whom Owner believes do not have a reasonable chance of receiving an award will be returned within seven days of the Bid opening.

## ARTICLE 11 – CONTRACT TIMES

- 11.01 The number of days within which Work is to be completed and ready for final payment (the Contract Times) are set forth in the Agreement.

## ARTICLE 12 – LIQUIDATED AND SPECIAL DAMAGES

- 12.01 Provisions for liquidated and special damages, if any, are set forth in the Agreement.

## ARTICLE 13 – SUBSTITUTE AND “OR EQUAL” ITEMS

- 13.01 The Contract, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration of possible substitute or “or-equal” items. Whenever it is specified or described in the Bidding Documents that a substitute or “or-equal” item of material or equipment may be furnished or used by Contractor if accepted by Engineer, application for such acceptance will not be considered by Engineer until after the Effective Date of the Agreement. The procedure for submittal

of any such application by Contractor and consideration by Engineer is set forth in the General Conditions which may be supplemented in the General Requirements.

- 13.02 Refer to Section 01 25 00, Substitution Procedures, of the General Requirements for the period of time after the Effective Date of the Agreement during which the Engineer will accept applications for substitute items of material or equipment.

#### ARTICLE 14 – SUBCONTRACTORS, SUPPLIERS, AND OTHERS

- 14.01 If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, individuals, or entities to be submitted to Owner in advance of a specified date prior to the Effective Date of the Agreement, the apparent Successful Bidder, and any other Bidder so requested, shall within five days after Bid opening submit to Owner a list of all such Subcontractors, Suppliers, other individuals, and entities proposed for those portions of the Work for which such identification is required. Such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualifications for each such Subcontractor, Supplier, individual, and entity if requested by Owner. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request the apparent Successful Bidder to submit an acceptable substitute without an increase in the Bid price.
- 14.02 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers and other individuals or entities. Declining to make requested substitutions will not constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to revocation of such acceptance after the Effective Date of the Agreement as provided in Paragraph 6.06 of the General Conditions.
- 14.03 (Not Used)
- 14.04 Contractor shall not be required to employ any Subcontractor, Supplier, individual, or entity against whom Contractor has reasonable objection.

#### ARTICLE 15 – PREPARATION OF BID

- 15.01 A Bid shall be made on the Bid Form bound in the Project Manual. The Bid Form shall not be separated from the Project Manual nor shall the Bid Form be altered in any way.
- 15.02 All blanks in the Bid Form shall be completed in ink and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each Bid item listed therein. In the case of optional alternatives the words “No Bid”, “No Change”, or “Not Applicable” may be entered. Ditto marks shall not be used.



15.03 A Bid shall be executed as stated below.

- A. A Bid by an individual shall indicate the Bidder's name and official address.
- B. A Bid by a partnership shall be executed in the partnership name and signed by a partner (whose title shall appear under the signature), accompanied by evidence of authority to sign. The official address of the partnership shall be indicated.
- C. A Bid by a joint venture shall be executed by each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be indicated.
- D. A Bid by a corporation shall be executed in the corporate name by an officer of the corporation and shall be accompanied by a certified copy of a resolution of the board of directors authorizing the person signing the Bid to do so on behalf of the corporation. The corporate seal shall be affixed and attested by the secretary or an assistant secretary of the corporation. The state of incorporation and the official corporate address shall be indicated.
- E. A Bid by a limited liability company shall be executed in the name of the firm by a member and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be indicated below the signature.
- F. All names shall be printed in ink below the signature.
- G. If applicable, the Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located.
- H. Contractor's license or registration number, if any, shall be entered in the space provided on the Bid Form.

15.04 The Bid shall contain an acknowledgment of the receipt of all Addenda, the numbers of which shall be filled in at the space provided on the Bid Form.

15.05 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be indicated.

15.06 In addition to the Bid Form, the forms listed in the Required Forms List, which are bound in the Project Manual, shall be submitted with the Bid. Each document shall be executed in the manner described in Paragraph 15.03 unless another manner is indicated.

#### ARTICLE 16 – BASIS OF BIDS; COMPARISON OF BIDS

16.01 Base Bid with Alternatives

- A. Bidder shall submit its Bid on the basis of a lump sum for the Base Bid and shall provide a separate Bid price for each additive alternative described in the Bidding Documents and as provided for on the Bid Form.
- B. For determination of the apparent low Bidder, Bids will be compared on the basis of the aggregate amount of the Base Bid, plus the additive alternative Bid prices providing the most features of the Work within the funds determined by the Owner to be available before Bids are opened. If the addition of another alternative Bid price in the listed order of priority would make the aggregate amount exceed such available funds for all Bidders, it will be skipped and the next subsequent alternative Bid price in a lower amount will be added if award thereon can be made within such funds.
- C. After the determination of the apparent low Bidder as stated, award in the best interest of the Owner may be made to said Bidder on its Base Bid and any combination of its additive alternative Bids for which Owner determines funds will be available at the time of award, provided that the award on any such combination of Base Bid and additive alternative Bids does not exceed the amount offered by any other Bidder for the same combination.

16.02 (Not Used)

16.03 Discrepancies between words and numerals will be resolved in favor of words. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

16.04 (Not Used)

#### ARTICLE 17 – SUBMITTAL OF BID

17.01 A Bid shall be received no later than the date and time prescribed and at the place indicated in the Invitation To Bid.

17.02 Bid shall be enclosed in an opaque sealed envelope plainly marked on the outside with the Project title, solicitation number, the name and address of the Bidder, and its license or registration number, if applicable. Bid shall be accompanied by Bid security and other required documents.

17.03 If the Bid is sent by mail or other delivery method, the sealed envelope containing the Bid shall be enclosed in a separate envelope plainly marked on the outside with the notation **“Invitation to Bid # 3104-19: “REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT”**”. A mailed Bid shall be addressed to:

*Procurement – Finance Department  
City of Venice – Procurement  
401 West Venice Ave., Room #204  
Venice, FL, 34285*

## ARTICLE 18 – MODIFICATION OR WITHDRAWAL OF BID

### 18.01 Withdrawal Prior to Bid Opening:

- A. A Bid may be withdrawn by an appropriate document duly executed, in the manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time fixed for the opening of Bids. Upon receipt of such written notice, the unopened Bid will be returned to the Bidder.

### 18.02 Modification Prior to Bid Opening:

- A. If a Bidder wishes to modify its Bid, Bidder must withdraw its initial Bid in the manner specified in Paragraph 18.01.A of these Instructions to Bidders and submit a new Bid.

### 18.03 Withdrawal After Bid Opening

- A. After expiration of the period for receiving Bids, no Bid may be withdrawn or modified.

## ARTICLE 19 – OPENING OF BIDS

19.01 Bids will be opened at the time and place where Bids are to be submitted and, unless obviously non-responsive, read aloud publicly. An abstract of the Bids will be made available to Bidders after the opening.

19.02 Bids received by mail or otherwise after the date and time specified for the opening of Bids will not be accepted. It will be the Bidder's responsibility to make arrangements for the return of their submittal at their expense.

## ARTICLE 20 – DISQUALIFICATION OF BIDDERS

20.01 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.

## ARTICLE 21 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE

21.01 All Bids shall remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of that period.

## ARTICLE 22 – EVALUATION OF BIDS AND AWARD OF CONTRACT

22.01 Owner reserves the right to reject any or all Bids, including without limitation the right to reject any or all nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner

further reserves the right to reject the Bid of any Bidder whom it finds, after reasonable inquiry and evaluation, to be not responsible. Owner also reserves the right to waive any informality not involving price, time or changes in the Work.

- 22.02 Owner reserves the right to reject any Bid not accompanied by specified documentation and Bid security.
- 22.03 Owner reserves the right to reject any Bid that, in its sole discretion, is considered to be unbalanced or unreasonable as to the amount bid for any lump sum or unit price item.
- 22.04 In evaluating Bidders, Owner will consider the qualifications of Bidders, whether or not their Bids comply with the prescribed requirements, the alternatives, if any, the lump sum and unit prices, and other data as may be requested in the Bid Form or prior to the Notice of Award.
- 22.05 Owner may consider the qualifications and experience of Subcontractors, Suppliers, and other individuals or entities proposed for those portions of the Work for which the identity of Subcontractors, Suppliers, and other individuals or entities must be submitted as provided in the Supplementary Conditions.
- 22.06 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of the Bidders to perform the Work in accordance with the Contract Documents. Owner reserves the right to reject the Bid of any Bidder who does not pass any such evaluation to Owner's satisfaction.
- 22.07 If a Contract is to be awarded, Owner will award the Contract to the lowest responsive and responsible Bidder who has neither been disqualified nor rejected pursuant to Article 20 of the Instructions to Bidders or this Article 22.
- 22.08 A notice of intent for award will be posted for review by interested parties in City Hall or on the City's website prior to submission through the appropriate approval process to the appropriate level for final approval of award.

#### ARTICLE 23 – CONTRACT SECURITIES

- 23.01 Performance Bond shall be in the form "Construction Performance Bond". Payment Bond shall be in the form "Construction Payment Bond". The amounts of and other requirements for Performance and Payment Bonds are stated in Paragraph 5.01 of the General Conditions. The requirements for delivery of Bonds are stated in Paragraph 2.01 of the General Conditions. Additional requirements may be stated in the Supplementary Conditions.
- 23.02 (Not Used)

#### ARTICLE 24 – CONTRACTOR'S INSURANCE

- 24.01 The requirements for Contractor's insurance are stated in Article 5 of the General Conditions and in the Supplementary Conditions. The requirements for delivery of certificates of insurance and other evidence of insurance are stated in Paragraph 2.01.B of the General Conditions.
- 24.02 Successful Bidder shall within 15 days from the date of the Notice of Award deliver to Owner, for review and approval, the required policies of insurance. Upon approval, the policies will be returned to the Bidder and Bidder shall submit certificates of insurance and other evidence of insurance to the Owner as stated in the General Conditions.

#### ARTICLE 25 – SIGNING OF AGREEMENT

- 25.01 When Owner issues a Notice of Award to the Successful Bidder, it will be accompanied by the required number of unsigned counterparts of the Agreement along with the other Contract Documents which are identified in the Agreement as attached thereto. Within 15 days thereafter, Successful Bidder shall sign and deliver the required number of counterparts of the Agreement and attached documents to Owner. Within ten days thereafter, Owner will deliver one fully signed counterpart to Successful Bidder with a complete set of the Drawings with appropriate identification.

#### ARTICLE 26 – NOTICE TO PROCEED

- 26.01 Issuance of the Notice to Proceed shall be as stated in Article 2 of the General Conditions.

#### ARTICLE 27 – PARTNERING (Not Used)

#### ARTICLE 28 – SALES AND USE TAXES

- 28.01 Refer to the Paragraph SC-6.10 of the Supplementary Conditions for information on Owner's exemption from sales and use taxes on materials and equipment to be incorporated into the Work. Do not include said taxes in Bid.

#### ARTICLE 29 – LOCAL PREFERENCE

- 29.01 Unless otherwise noted in the solicitation, preference shall be given to a "local business" in the awarding of any Invitation to Bid (ITB), Request for Proposal (RFP) or Request for Qualifications (RFQ) in accordance with Section 2-217 of the City of Venice's Code. Local preference shall not apply to other types of solicitations unless explicitly stated in the subject solicitation.
- 29.02 "Local business" means the vendor has paid a local business tax to either Sarasota, Manatee, DeSoto or Charlotte County, whichever county the Bidder is located, if applicable prior to bid submission that authorizes the Bidder to provide the commodities or services to be purchased, and maintains a permanent physical business address located

within the limits of either Sarasota, Manatee, DeSoto or Charlotte County from which the Bidder operates or performs business, and at which at least one full time employee is located.

- 29.03 In addition, fifty percent (50%) or more of the employees based at the local business location must reside within Sarasota, Manatee, DeSoto or Charlotte County. In the event the local office is not the primary location of the vendor, at least ten percent of the vendor's full-time employees must be based at the local office location, and at least one corporate officer, managing partner or principal owner of the vendor must reside in Sarasota, Manatee, DeSoto or Charlotte County.
- 29.04 Bidders wishing to be given preference as a local business must submit with their Bid, all of the Local Preference documentation identified in the "Required Forms Section" of the solicitation.
- 29.05 For local preference to be granted, the name of the company represented on the required forms must be the same as the name on the Local Preference documentation.
- 29.06 Information regarding Sarasota County's Local Business Tax can be found at [www.sarasotataxcollector.governmax.com](http://www.sarasotataxcollector.governmax.com).
- 29.07 In case of a Bid submitted by more than one entity, any one of those entities can qualify the Bid for the local preference. Sub-contractors or sub-consultants cannot qualify a Bid for local preference.

#### ARTICLE 30 – PUBLIC RECORDS/TABULATION

- 30.01 Bids are not public records, subject to the provisions of Florida State Statutes, Chapters 119 and 120, until such time as notice of a decision or intended decision is provided, or within thirty (30) days after the bid opening, whichever is earlier. A copy of the tabulation results will be forwarded upon receipt of a stamped, self-addressed envelope. An electronic tabulation will be posted on Demand Star at the Internet Website at <http://www.demandstar.com/>.

#### ARTICLE 31 – INDEMNIFICATION/HOLD HARMLESS

- 31.01 The Bidder shall defend, indemnify and hold the Owner, the Owner's representatives or agents, and the officers, directors, agents, employees, and assigns of each harmless for and against any and all claims, demands, suits, judgments, damages to persons or property, injuries, losses or expenses of any nature whatsoever arising directly or indirectly from or out of any negligent act or omission of the Bidder, its sub-consultants and their officers, directors, agents or employees; any failure of the elected firm to perform its services hereunder in accordance with generally accepted professional standards; any material breach of the elected firm's representations as set forth in the proposal or any other failure of the elected firm's to comply with the obligations on its part to be performed under this contract.

## ARTICLE 32 - PUBLIC ENTITY CRIMES/NON-COLLUSIVE AFFIDAVIT

- 32.01 Each Bidder shall complete the Non-Collusive Affidavit and the Public Entity Crimes Form and shall submit the forms with the submittal. Owner considers the failure of the Bidder to submit these documents to be a major irregularity and may be cause for rejection of their submittal.
- 32.02 A person or affiliate who has been placed on the convicted vendor list following a conviction for a public entity crime may not submit a response on a contract to provide any goods or services to a public entity, may not submit a response on a contract with a public entity for the construction or repair of a public building or public work, may not submit responses on leases of real property to a public entity, may not be awarded or perform work as a Bidder, supplier, Sub-Bidder, or consultant under a contract with any public entity, and may not transact business with any public entity in excess of the threshold amount provided in Section 287, for CATEGORY TWO for a period of 36 months from the date of being placed on the convicted vendor list.
- 32.03 Termination for Cause: Any Agreement with the Owner obtained in violation of this Section shall be subject to termination for cause. A Sub-Bidder who obtains a subcontract in violation of this Section shall be removed from the Project and promptly replaced by a Sub-Bidder acceptable to the City.

## ARTICLE 33 – GRATUITIES AND KICKBACKS

- 33.01 Gratuities: It is unethical for any person to offer, give, or agree to give any employee or for any employee to solicit, demand, accept or agree to accept from another person, a gratuity or an offer of employment in connection with any decision, approval, disapproval, recommendation, preparation of any part of program requirement or a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, audit, or in any other advisory capacity in any proceeding or application, request for ruling, determination claim or controversy, or other particular matter, pertaining to any program requirement or an Agreement or subcontract, or to any solicitation or proposal therefore.
- 33.02 Kickbacks: It shall be unethical for any payment, gratuity, or offer of employment to be made by or on behalf of a Sub-Bidder under a Contract to Bidder or higher tier Sub-Bidder any person associated therewith, as an inducement of the award of a subcontract or order.
- 33.03 Contract Clause: The prohibition against gratuities and kickbacks prescribed in this section shall be conspicuously set forth in every Contract and subcontract and solicitation therefore.

## ARTICLE 34 – EQUAL EMPLOYMENT OPPORTUNITY

- 34.01 Bidder shall be in compliance with Executive Order 11426 Equal Opportunity as amended by Executive Order 11375, and as supplemented by the Department of Labor Regulations

as applicable.

#### ARTICLE 35 – CONFLICT OF INTEREST

35.01 No employee of an agency acting in his or her official capacity as a purchasing agent, or public officer acting in his or her official capacity, shall either directly or indirectly purchase, rent, or lease any realty, goods, or services for his or her own agency from any business entity of which the officer or employee or the officer's or employee's spouse or child is an officer, partner, director, or proprietor or in which such officer or employee or the officer's or employee's spouse or child, or any combination of them, has a material interest. Nor shall a public officer or employee, acting in a private capacity, rent, lease, or sell any realty, goods, or services to the officer's or employee's own agency, if he or she is a state officer or employee, or to any political subdivision or any agency thereof, if he or she is serving as an officer or employee of that political subdivision. The foregoing shall not apply to district offices maintained by legislators when such offices are located in the legislator's place of business or when such offices are on property wholly or partially owned by the legislator. This subsection shall not affect or be construed to prohibit contracts entered into prior to:

- October 1, 1975
- Qualification for elective office
- Appointment to public office
- Beginning public employment

#### ARTICLE 36 – DRUG FREE WORKPLACE

36.01 The Owner has adopted a policy in observation of the Drug Free Work Place Act of 1988. Therefore, it is unlawful to manufacture, distribute, disperse, possess, or use any controlled substance in the Owner's workplace. The Owner requests the attached Drug Free Workplace Affidavit to accompany your response. This form has been adopted by the Owner in accordance with the Drug Free Workplace Act. The Owner will not disqualify any respondent who does not concur with the affidavit. The Drug Free Workplace Affidavit is primarily used as tiebreaker when two or more separate entities have submitted proposals at the same price, terms and conditions.

#### ARTICLE 37 – APPLICABLE LAWS

37.01 Interested parties are advised that all Owner contracts and/or documentation pertinent to this solicitation are subject in full or in part to all legal requirements provided in applicable City Ordinances, State Statutes, and Federal Regulations. Uniform Commercial Code, Chapter 672, Florida State Statutes shall prevail, as the basis for contractual obligations between the Bidder and the Owner for any terms and conditions not specifically stated within the context of this contract.

#### ARTICLE 38 – DISCLOSURE – PUBLIC OFFICER, PUBLIC EMPLOYEE OR ADVISORY BOARD MEMBER OF OWNER



- 38.01 Sections 112.313(3) and 112.313(7), Florida Statutes, prohibit any public officer, employee, or advisory board member of the Owner from holding any employment or contractual relationship with any business entity doing business with the Owner. Section 112.313(12) provides that a public officer, employee, or advisory board member will not be in violation of the prohibition if all three of the following conditions are met. The filing of the disclosure form with the Supervisor of Elections is the sole responsibility of the Proposer and must be filed prior to or at the time of submission of the proposal. A copy of the filed disclosure form shall be submitted as part of the proposal.
- 38.02 Bid is awarded under a sealed, competitive Bid to lowest or best Bidder system. Advisory board member is required to, prior to or at the time of the submission of the Bid, file a statement with the Supervisor of Elections, disclosing his interest and the nature of the intended business. The form is entitled "Form 3A Interest in Competitive Proposal for Public Business," a copy of which is available from the Owner's Procurement- Finance Department.
- 38.03 The public officer, employee, or advisory board member, spouse, or child is required to have in no way used or attempted to use his influence to persuade a member of the Owner or any of its personnel to enter into such a contract other than by the mere submission of the Bid.
- 38.04 The public officer, employee, or advisory board member, spouse, or child is required to have in no way participated in the determination of the Bid specifications or the determination of the lowest or best Bidder.

#### ARTICLE 39 – BID PROTESTS

- 39.01. In any case where a bidder wishes to protest either the results of, or the intended disposition of any bid, the bidder must:
- A. File a written notice to the city manager of the bidder's intention to protest within three business days of the city's declaration of intent with regard to the disposition. Upon receipt of a protest, the bid process shall be suspended until the protest procedure herein described has been completed. (2)
  - B. Within five days of filing the written notice of intent to protest, the protester shall file a formal written protest with the city manager, acting as the bid protest officer, explaining in detail the nature of the protest and the grounds on which it is based. During this five-day period, the protester is encouraged to attempt to resolve the issue with the finance department.
  - C. The protester must include with the formal written protest a bid protest bond in the form of a certified check, cashier's check or money order made payable to the city in an amount equal to five percent of the lowest acceptable bid or \$5,000.00 whichever is less. The

bond will be deposited with the cashier's office where it will be put into an account and the protester will receive a receipt.

39.02 Upon timely receipt of the formal written protest and protest bond:

- A. The bid protest officer shall issue formal findings of fact and a written decision with regard to the validity or nonvalidity of the formal written protest within ten business days of the city's receipt of the formal written protest.
- B. Within two business days of receipt of the formal findings of fact and written decision, the city shall notify the protester of the decision of the bid protest officer. Such notification shall be transmitted via certified return receipt mail.

39.03 Should the protest be found to be without merit or validity, the bid protest bond shall be forfeited to the city in its entirety, and the bid process may resume. If a decision favorable in whole or in part to the protest is rendered, a check for the full amount of the bond will be returned to the protester.

#### ARTICLE 40 – SCRUTINIZED COMPANIES

40.01 Pursuant to Section 287.135, F.S., a company that, at the time of bidding or submitting a proposal for a new contract or renewal of an existing contract, is on the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, created pursuant to Section 215.473, F.S., is ineligible for, and may not bid on, submit a proposal for, or enter into or renew a contract with an agency or local governmental entity for goods or services of \$1 million or more. Any contract with an agency or local governmental entity for goods or services of \$1 million or more entered into or renewed on or after July 1, 2011, must contain a provision that allows for the termination of such contract at the option of the awarding body if the company is found to have submitted a false certification as provided under Subsection 287.135(5), F.S., or has been placed on either of the aforementioned lists. The Owner agrees to comply with the requirements of Section 287.135, F.S. in connection with the implementation of the Project.

Engineer:           Arcadis U.S., Inc.  
                          3109 W. Dr. Martin Luther King Jr. Blvd.  
                          Suite 350  
                          Tampa, FL 33607

(1)  
(c)

++ END OF INSTRUCTIONS TO BIDDERS ++

## BID FORM

### **CITY OF VENICE REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**

#### TABLE OF ARTICLES

1. Bid Recipient
2. Bidder's Acknowledgements
3. Bidder's Representations
4. Bidder's Certifications
5. Basis of Bid
6. Time of Completion
7. Attachments to this Bid
8. Defined Terms
9. Bid Submittal
10. Required Forms

#### ARTICLE 1 - BID RECIPIENT

- 1.01 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an AGREEMENT with OWNER in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the price(s) and within the times indicated in this Bid and in accordance with the Bidding Documents.

#### ARTICLE 2 - BIDDER'S ACKNOWLEDGEMENTS

- 2.01 Bidder accepts all of the terms and conditions of the Advertisement or Invitation to Bid and Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 90 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of OWNER. Bidder will sign the AGREEMENT and will furnish the required contract security, and other required documents within the time periods set forth in the Bidding Documents.

#### ARTICLE 3 - BIDDER'S REPRESENTATIONS

- 3.01 In submitting this Bid, Bidder represents that:

- A. Bidder has examined and carefully studied the Bidding Documents, the other related data identified in the Bidding Documents, if any, and the following Addenda, receipt of all of which is hereby acknowledged.

Addendum No.	Date Received	Addendum No.	Date Received
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

- B. Bidder has visited the Site and become familiar with and is satisfied as to the general, local and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and is satisfied as to all federal, state and local Laws and Regulations that may affect cost, progress and performance of the Work.
- D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in Paragraph 4.02 of the General Conditions, and (2) reports and drawings of Hazardous Environmental Conditions identified at the Site, if any, which that have been identified in the Supplementary Conditions as provided in Paragraph 4.06 of the General Conditions.
- E. Bidder has obtained and carefully studied (or accepts the consequences for not doing so) all additional or supplementary examinations, investigations, explorations, tests, studies and data concerning conditions (surface, subsurface and Underground Facilities) at or contiguous to the Site which may affect cost, progress or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences and procedures of construction to be employed by Bidder, including applying the specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents to be employed by Bidder, and safety precautions and programs incident thereto.
- F. Bidder does not consider that any further examinations, investigations, explorations, tests, studies or data are necessary for the determination of this Bid for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work (if any) to be performed by OWNER and others at the Site that relates to the Work as indicated in the Bidding Documents.

- H. Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies and data with the Bidding Documents.
- I. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and the written resolution thereof by Engineer is acceptable to Bidder.
- J. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work for which this Bid is submitted.

3.02 Bidder further represents that:

- A. this Bid is genuine and is not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid; Bidder has not solicited or induced any individual or entity to refrain from bidding;
- C. Bidder has not sought by collusion to obtain for itself any advantage over any other Bidder or over OWNER; and
- D. No person or persons acting in any official capacity for the OWNER are directly or indirectly interested in this Bid, or in any portion of the profit thereof.

ARTICLE 4 – BIDDER’S CERTIFICATIONS

4.01 Bidder certifies that:

- A. this Bid is genuine and is not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid
- C. Bidder; has not solicited or induced any individual or entity to refrain from bidding; and
- D. Bidder has not engaged in corrupt, fraudulent, collusive or coercive practices in competing for the Contract. For the purposes of the Paragraph 4.01.D;
  - 1. Corrupt practice” means the offering, giving, or soliciting of anything of value likely to influence the action of a public official in the bidding process

2. "Fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition.
3. "Collusive practice" means to scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels.
4. "Coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### ARTICLE 5 - BASIS OF BID

- 5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

		CITY OF VENICE REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT			
Item	Description	Quantity	Unit	Unit Price	Total Price (In Numbers)
1	Mobilization and Demobilization	1	Lump Sum	-	
2	Demolition	1	Lump Sum	-	
3	Replacement of Sodium Hypochlorite Bulk Storage System	1	Lump Sum	-	
4	Replacement of Canopy/Roof system	1	Lump Sum	-	
5	Interior coating of Sodium Hypochlorite Bulk Storage Room.	1	Lump Sum		
6	Interior and exterior crack repairs to Sodium Hypochlorite Bulk Storage Room.	30	LF		
7	Interior surface spall repair to Sodium Hypochlorite Bulk Storage Room.	50	SF		
8	Owner's Contingency Allowance for additional Mechanical, Electrical, Instrumentation, and Structural Work, as needed.	1	Additional	-	\$50,000
Total Base Bid (Sum of Items 1 through 8, inclusive) <b>(in numbers)</b> \$_____. Total Base Bid in Words (Sum of Items 1 through 8, inclusive)      \$_____					



Bidder agrees to furnish and install equipment from the above circled manufacturers in accordance with the provisions and under the terms of the Contract Documents.

**NAME OF BIDDER:**\_\_\_\_\_

**BIDDER'S SIGNATURE:**\_\_\_\_\_

**CURRENT LICENSE NUMBER:**\_\_\_\_\_

**DATE:**\_\_\_\_\_

- 5.04 Unit prices have been computed in accordance with Paragraph 11.03.B of the General Conditions.
- 5.05 Bidder acknowledges that estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids, and final payment for all Unit Price items will be based on actual quantities of Unit Price Work determined as provided in the Contract Documents.
- 5.06 All specified cash allowances are included in the price(s) set forth above and have been completed in accordance with Paragraph 11.02 of the General Conditions.

#### ARTICLE 6 - TIME OF COMPLETION

- 6.01 Bidder agrees that the Work will be substantially complete within 180 calendar days after the date when the Contract Times commence to run as provided in Paragraph 2.03 of the General Conditions, and will be completed and ready for final payment in accordance with paragraph 14.07.B of the General Conditions within 210 calendar days after the date when the Contract Times commence to run, which days will be entered by OWNER into the AGREEMENT as the Contract Times.
- 6.02 Bidder accepts the provisions of the AGREEMENT as to liquidated and special damages, if any, in the event of failure to complete the Work within the Contract Times.

#### ARTICLE 7 - ATTACHMENTS TO THIS BID

- 7.01 The following documents are attached to and made a condition of this Bid:
  - A. Required Bid security.
  - B. Required Bidder Qualifications Statement with supporting data.
  - C. Miscellaneous Bid Forms

#### ARTICLE 8 - DEFINED TERMS

- 8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders and the General Conditions and Supplementary Conditions.

ARTICLE 9 - BID SUBMITTAL

9.01 This Bid submitted on \_\_\_\_\_, 20\_\_ by:

If Bidder is:

Individual

Name (Typed or Printed): \_\_\_\_\_

By \_\_\_\_\_  
(Individual's Signature)

Doing business as \_\_\_\_\_

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

A Partnership

Partnership Name: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of General Partner - Attach evidence of authority to sign)

(Name (Typed or Printed): \_\_\_\_\_

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

A Corporation

Corporation Name: \_\_\_\_\_

\_\_\_\_\_  
(State of Incorporation)

By \_\_\_\_\_  
(Signature - Attach evidence of authority to sign)

Name and Title (Typed or Printed): \_\_\_\_\_

(CORPORATE  
SEAL)

Attest: \_\_\_\_\_  
(Secretary)

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

Limited Liability Company

By: \_\_\_\_\_  
(Firm Name)

\_\_\_\_\_  
(State of Formation)

By: \_\_\_\_\_  
(Signature of Member/Authorized to Sign)

\_\_\_\_\_  
(Printed or Typed Name and Title of Member Authorized to Sign)  
(Attach evidence of authority to sign.)

License or Registration Number:\_\_\_\_\_

Business Address:\_\_\_\_\_

\_\_\_\_\_

Phone No.:\_\_\_\_\_ Facsimile:\_\_\_\_\_

A Joint Venture

Name of Joint Venture:\_\_\_\_\_

First Joint Venturer Name: \_\_\_\_\_

By:\_\_\_\_\_

(Signature of First Joint Venturer - Attach evidence of authority to sign)

Name (Typed or Printed): \_\_\_\_\_

(Title)

Title:\_\_\_\_\_

Second Joint Venturer Name: \_\_\_\_\_

By:\_\_\_\_\_

(Signature of Second Joint Venturer - Attach evidence of authority to sign)

Name (Typed or Printed): \_\_\_\_\_

(Title)

(Each joint venturer must sign. The manner of signing for each individual, partnership, corporation or limited liability company that is a party to the joint venture shall be in the manner indicated above).

Business Address: \_\_\_\_\_

Phone and FAX number and address for receipt of communications to joint venture:

Phone: \_\_\_\_\_ Facsimile:\_\_\_\_\_

## ARTICLE 10 – REQUIRED FORMS

Required Forms Check List: ITB# 3104-19:

### **REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**

- Proposal Bond
- Local Preference Form
- Qualifications Statement
- Co-operative Procurement with Other Jurisdictions
- Form 3A- Interest in Competitive Bid for Public Business
- Indemnification/Hold Harmless
- FDEP & U.S. EPA Construction Notices of Intent (NOI)
- Statement of References for Contractor
- Contractor's Statement of Sub-contractors
- Drug Free Workplace Certification
- Non-Collusive Affidavit
- Public Entity Crime Information
- Statement of "No Bid" (if applicable)

**All required forms are included in this package. All forms must be filled out and returned with the firm's proposal.**

**Failure to do so will result in the firm being considered non-responsive and their proposal will be disallowed.**

**Mark N/A if not applicable to your firm**

**PROPOSAL BOND**

*\*Not to be completed if a certified check is submitted.*

KNOW ALL MEN BY THESE PRESENTS: That we, the undersigned,

\_\_\_\_\_ as Principal,

and \_\_\_\_\_ as Surety

are held and firmly bound unto the City of Venice, Florida, in the sum of

\_\_\_\_\_ \$\_\_\_\_\_, for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

The condition of the above obligation is such that if the attached Proposal of Principal and Surety for work specified as:

\_\_\_\_\_

all as stipulated in said Proposal, by doing all work incidental thereto, in accordance with the plans and specifications provided heretofore, all within Sarasota County, is accepted and the bidder shall within ten (10) days after notice of said award, enter into a contract, in writing, and furnish the required Performance Bond with surety or sureties to be approved by the Director of Purchasing, this obligation shall be void; otherwise the same shall be in full force and virtue by law and the full amount of this Proposal Bond will be paid to the City as stipulated or liquidated damages.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Surety

**Principal must indicate whether corporation, partnership, company, or individual.**

The person signing shall, in his own handwriting, sign the Principal's name, his own name, and his title. The person signing for a corporation must, by affidavit, show his authority to bind the corporation.

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## **“LOCAL PREFERENCE” DETERMINATION**

The following questions will help you determine local preference for your company.

Please answer questions 1 through 4 **FIRST**. If you answer **NO** to any questions 1 through 4, local preference does **NOT** apply.

**ONLY** if you answer **YES** to questions 1 through 4, may you proceed to question 5.

If you answer **YES** to any questions 5 and 6, local preference applies.

If you are unsure of how to answer any questions, please contact the City of Venice’s Purchasing Department at 941-486-2626.

### **Questions 1 – 4**

1. Has your company paid a local business tax either to Sarasota, DeSoto or Charlotte County (Manatee County does not currently have a local business tax) authorizing your company to provide goods or services described in this solicitation?

**YES** ☐ If “yes”, proceed to question 2.

**NO** ☐ If “no”, **STOP, local preference does not apply.**

\* If the name on the local business tax receipt is not the same as the name on the bid/solicitation submittal, local preference does not apply.

2. Does your company maintain a permanent physical business address located within the limits of Sarasota, Manatee, DeSoto or Charlotte County from which your company operates or performs business?

**YES** ☐ If “yes”, proceed to question 3.

**NO** ☐ If “no”, **STOP, local preference does not apply.**

3. Does your company’s local business office (identified in question 2) have a least one full time employee?

**YES** ☐ If “yes”, proceed to question 4.

**NO** ☐ If “no”, **STOP, local preference does not apply.**

4. Do at least fifty percent (50%) of your company’s employees who are based in the local business location (identified in question 2) reside within Sarasota, Manatee, DeSoto or Charlotte County?

**YES** ☐ If “yes”, proceed to question 5.

**NO** ☐ If “no”, **STOP, local preference does not apply.**

### **Questions 5 – 6**

5. Is your company’s local business office (identified in question 2) the primary location (headquarters) of your company?

**YES** ☐ If “yes”, **STOP, local preference applies.**

**NO** ☐ If “no”, proceed to question 6.

**6.** If the local business office (identified in question 2) is not the primary location of your company, are at least ten percent (10%) of your company's entire full-time employees based at the local office location AND does at least one corporate officer, managing partner or principal owner of your company reside in Sarasota, Manatee, DeSoto or Charlotte County?

**YES** ☐ If "yes", **STOP, local preference applies.**

**NO** ☐ If "no", local preference does not apply.

## **QUALIFICATIONS STATEMENT**

The undersigned certifies under oath the truth and correctness of all statements and all answers to questions made hereinafter:

**SUBMITTED TO:**

CITY OF VENICE  
Procurement- Finance Department  
401 W. Venice Avenue  
Venice, Florida 34285

**CHECK ONE:**

- ☐ Corporation  
☐ Partnership  
☐ Individual  
☐ Joint Venture  
☐ Other

**SUBMITTED BY:**

NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
PRINCIPLE OFFICE: \_\_\_\_\_

State the true, exact, correct and complete legal name of the partnership, corporation, trade or fictitious name under which you do business and the address of the place of business.

The correct name of the Offeror is: \_\_\_\_\_

The address of the principal place of business is: \_\_\_\_\_

If the Offeror is a corporation, answer the following:

- a. Date of Incorporation: \_\_\_\_\_
- b. State of Incorporation: \_\_\_\_\_
- c. President's Name: \_\_\_\_\_
- d. Vice President's Name: \_\_\_\_\_
- e. Secretary's Name: \_\_\_\_\_
- f. Treasurer's Name: \_\_\_\_\_
- g. Name and address of Resident Agent: \_\_\_\_\_

If Offeror is an individual or partnership, answer the following:

- a. Date of Organization: \_\_\_\_\_
- b. Name, address and ownership units of all partners:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- c. State whether general or limited partnership: \_\_\_\_\_

If Offeror is other than an individual, corporation partnership, describe the organization and give the name and address of principals:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
If Offeror is operating under fictitious name, submit evidence of compliance with the Florida Fictitious Name Statute.

How many years has your organization been in business under its present business name?  
\_\_\_\_\_

a. Under what other former names has your organization operated?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_

County of \_\_\_\_\_

} SS.  
}

On this the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me, the undersigned Notary Public of the State of \_\_\_\_\_, personally appeared \_\_\_\_\_ and (Name(s) of individual(s) who appeared before notary) whose name(s) is/are Subscribed to the within instrument, and he/she/they acknowledge that he/she/they executed it.

NOTARY PUBLIC  
SEAL OF OFFICE:

\_\_\_\_\_  
NOTARY PUBLIC, STATE OF \_\_\_\_\_

\_\_\_\_\_  
(Name of Notary Public: Print, stamp, or type as commissioned)

☐ Personally known to me, or ☐ Produced Identification: \_\_\_\_\_ ☐ **DID** take an oath, or ☐ **DID NOT** take an oath

## **COOPERATIVE PROCUREMENT WITH OTHER JURISDICTIONS**

The vendor, by submitting a bid, authorizes other Public Agencies to "Piggy-Back" or purchase equipment or services being proposed in this invitation to bid at prices bid unless otherwise noted on the proposal sheet.

Yes \_\_\_\_\_ No \_\_\_\_\_

### **AUTHORIZED SIGNATURE**

By submission of the ITB, the undersigned certifies that:

1. He/She has not paid or agreed to pay any fee or commission, or any other thing of value contingent upon the award of this contract, to any City of Venice, Florida employee or official or to any current consultant to the City of Venice, Florida;
2. He/She has not paid or agreed to pay any fee or commission or any other thing of value contingent upon the award of this contract to any broker or agent or any other person;
3. The prices contained in this proposal have been arrived at independently and without collusion, consultation, communication or agreement intended to restrict competition.
4. He/She has the full authority of the Offeror or to execute the proposal and to execute any resulting contract awarded as the result of, or on the basis of, the proposal.

Authorized Representative: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, ZIP: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Fax Number: \_\_\_\_\_

E-mail address: \_\_\_\_\_

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# FORM 3A INTEREST IN COMPETITIVE BID FOR PUBLIC BUSINESS

LAST NAME — FIRST NAME — MIDDLE INITIAL			OFFICE / POSITION HELD
MAILING ADDRESS			AGENCY
CITY	ZIP	COUNTY	ADDRESS OF AGENCY

## WHO MUST FILE THIS STATEMENT

Sections 112.313(3) and 112.313(7), Florida Statutes, prohibit certain business relationships on the part of public officers and employees, their spouses, and their children. *See* Part III, Chapter 112, Florida Statutes, and/or the brochure entitled "A Guide to the Sunshine Amendment and Code of Ethics for Public Officers and Employees" for more details on these prohibitions. However, Section 112.313(12), Florida Statutes, provides certain limited exemptions to the above-referenced prohibitions, including one where the business is awarded under a system of sealed, competitive bidding; the public official has exerted no influence on bid negotiations or specifications; AND where disclosure is made, prior to or at the time of the submission of the bid, of the official's or his spouse's or child's interest and the nature of the intended business. This form has been promulgated by the Commission on Ethics for such disclosure, *if and when applicable* to a public officer or employee.

## INTEREST IN COMPETITIVE BID FOR PUBLIC BUSINESS *(Required by § 112.313(12)(b), Fla. Stat.)*

1. The competitive bid to which this statement applies has been / will be (strike one) submitted to the following government agency:		
2. The person submitting the bid is:	NAME ▼	POSITION ▼
3. The business entity with which the person submitting the bid is associated is:		
4. My relationship to the person or business entity submitting the bid is as follows:		
5. The nature of the business intended to be transacted in the event that this bid is awarded is as follows:		
a. The realty, goods, and / or services to be supplied specifically include: _____ _____ b. The realty, goods, and / or services will be supplied for the following period of time: _____ c. Will the contract be subject to renewal without further competitive bidding? <input type="checkbox"/> Yes <input type="checkbox"/> No. If so, how often?		
6. Additional comments:		
7. SIGNATURE	DATE SIGNED	DATE FILED

## FILING INSTRUCTIONS

If you are a state officer or employee required to disclose the information above, please file this form with the Department of State in Room 316, R.A. Gray Building, 500 South Bronough Street, Tallahassee, Florida 32399-0250. If you are an officer or employee of a political subdivision of this state and are subject to this disclosure, please file the statement with the Supervisor of Elections of the county in which the agency in which you are serving has its principal office.

NOTICE: UNDER PROVISIONS OF FLORIDA STATUTES §112.317, A FAILURE TO MAKE ANY REQUIRED DISCLOSURE CONSTITUTES GROUNDS FOR AND MAY BE PUNISHED BY ONE OR MORE OF THE FOLLOWING: IMPEACHMENT, REMOVAL OR SUSPENSION FROM OFFICE OR EMPLOYMENT, DEMOTION, REDUCTION IN SALARY, REPRIMAND, OR A CIVIL PENALTY NOT TO EXCEED \$10,000.

CE FORM 3A — REV. 1-95

**THIS PAGE MUST BE COMPLETED & SUBMITTED WITH OFFER**

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### **INDEMNIFICATION/HOLD HARMLESS**

The elected firm shall (if required by City) defend, indemnify and hold the City, the City's representatives or agents, and the officers, directors, agents, employees, and assigns of each harmless for and against any and all claims, demands, suits, judgments, damages to persons or property, injuries, losses or expenses of any nature whatsoever (including attorneys' fees at trial at appellate level) arising directly or indirectly from or out of any negligent act or omission of the elected firm, its Sub-Offerees and their officers, directors, agents or employees; any failure of the elected firm to perform its services hereunder in accordance with generally accepted professional standards; any material breach of the elected firm's representations as set forth in the proposal or any other failure of the elected firm to comply with the obligations on its part to be performed under this contract.

I, \_\_\_\_\_, being an authorized representative of the firm of  
\_\_\_\_\_ located at City  
\_\_\_\_\_, State \_\_\_\_\_, Zip Code \_\_\_\_\_ Phone:  
\_\_\_\_\_ Fax: \_\_\_\_\_. Having read and  
understood the contents above, hereby submit accordingly as of this Date,  
\_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Please Print Name

\_\_\_\_\_  
Signature

This signed document shall remain in effect for a period of one (1) year from the date of signature or for the contract period, whichever is longer.

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**CITY OF VENICE, FLORIDA**  
**FDEP & U.S. EPA CONSTRUCTION NOTICES OF INTENT (NOI)**

The undersigned bidder acknowledges the requirement of the U.S. Environmental Protection Agency (EPA) and the Florida Department of Environmental Protection (FDEP) which have published the rules for NPDES General Permits for stormwater discharges from construction sites and said bidder agrees to assist the owner in the preparation of these permits and associated plans. The bidder acknowledges that he has taken these permits and associated construction costs into account in the preparation of his lump sum bid. These permits are mandated under Section 402(p) of the Clean Water Act for "Stormwater Discharge from Construction Activities (including clearing, grading, and excavation activities) that result in the disturbance of five (5) or more acres total land area, including areas that are part of a larger common plan of development or sale." The EPA has published summary guidance for: "Developing Prevention Plans and Best Management Practices" (EPA 833-R-92-001, October 1992).

The EPA permit format is a *Notice of Intent (NOI) for Stormwater Discharges Associated with Construction Activity to be covered under a NPDES Permit*, and it is to be submitted according to the NOI instructions. The Stormwater Pollution Prevention Plan which must accompany the NOI must be signed by authorized representatives of the contractor and subcontractors as well as the facility Owner. Copies of the EPA NOI must be provided to state and local agencies who have issued stormwater management, grading, or land alteration permits or approvals.

An NOI must also be submitted to the Florida Department of Environmental Protection, NPDES Stormwater Notices Center, MS 2510, 2600 Blair Stone Road, Tallahassee, FL 32399. FDEP forms may be downloaded from the State's web site <http://www.dep.state.fl.us/water/stormwater/npdes/> or phone 850-921-9870 if you have questions.

Acceptance of the bid to which this certification and disclosure applies in no way represents the Owner or its Representative has evaluated and thereby determined that the information is adequate to comply with the applicable U.S. EPA or FDEP requirements nor does it in any way relieve the contractor of its sole responsibility to comply with the applicable U.S. EPA and FDEP requirements, including inspection of all control measures at least once each week and following any storm (rainfall) event of 0.5 inches or greater and maintaining reports of each inspection.

Bidder (Company): \_\_\_\_\_

Name and Title: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

**BY SIGNATURE BELOW OF AUTHORIZED REPRESENTATIVE, CONTRACTOR ACKNOWLEDGES RECEIPT OF A COPY OF CITY ORDINANCES 95-12 and 96-09 AND AGREES TO ABIDE BY THE REQUIREMENTS OF SAID ORDINANCES.**

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Printed name/title:**

## **ORDINANCE 95-12**

AN ORDINANCE OF THE CITY OF VENICE, FLORIDA, AMENDING THE CODE OF ORDINANCES BY AMENDING CHAPTER 9, HEALTH AND SANITATION, ARTICLE IV, DISPOSAL OF EXCRETA, SECTION 9-71, DISCHARGE OF RAW SEWAGE INTO STORMWATER; DELETING ARTICLE V, PROHIBITED STORMWATER DISCHARGES; ADDING CHAPTER 19, WATER AND SEWERS, ARTICLE VI, STORMWATER QUALITY; DELETING CHAPTER 15, STREETS AND SIDEWALKS, ARTICLE IV, EXCAVATIONS, SECTION 15-53, STORM DRAINAGE AND POLLUTION; PROVIDING FOR CONFLICT WITH OTHER ORDINANCES; PROVIDING FOR A SEVERABILITY CLAUSE AND PROVIDING AN EFFECTIVE DATE.

**WHEREAS**, control of stormwater runoff is necessary from individual lots that do not require a permit from the Southwest Florida Water Management District and requiring compliance with the provisions of the Clean Water Act 33 U.S.C.1251 et.seq., as amended by the Water Quality Act of 1987; and

**WHEREAS**, the City is desirous of complying with its U.S. Environmental Protection Agency National Pollutant Discharge Elimination System Permit and its Stormwater Master Plan, therefore, stormwater runoff and any discharge to the City storm sewer system will be closely monitored and regulated; and

**WHEREAS**, the control of stormwater runoff is the responsibility of each individual property owner; and

**WHEREAS**, the City is desirous of controlling stormwater runoff and insuring compliance with the Comprehensive Plan.

**NOW, THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF VENICE, FLORIDA:**

**SECTION 1.** Chapter 9, Water and Sewers, Article IV, Disposal of Excreta, Section 9-71, Discharge of Raw Sewage into Storm Sewer, is amended to read as follows:

**Sec. 9-71. Discharge of raw sewage into storm sewer.**

It shall be unlawful for any person to discharge raw sewage or to discharge the effluent of and from any septic tank into the storm sewer system of the city or to construct or maintain any system of drainage, pipes, conduits or other apparatus whereby raw sewage or the effluent of and from any septic tank shall or may be discharged into or through the storm sewer system of the city.

**SECTION 2.** Chapter 9, Water and Sewers, Article V, Prohibited Stormwater Discharges, is deleted in its entirety.

**SECTION 3.** Chapter 19, Water and Sewers, Article VI, Stormwater Quality is added to read as follows:

### **ARTICLE VI. STORMWATER QUALITY**

#### **Sec. 19-141. Definitions.**

As used in this article "industrial stormwater" means stormwater runoff from a site with industrial activities, as defined under 40 CFR Section 122.26(a)(14) U.S. Environmental Protection Agency regulation.

As used in this article "construction sites" refers to all sites.

As used in this article, "illicit discharge" is any discharge of anything other than stormwater to the municipal separate storm sewer system (MS4) or the waters of the State of Florida or the United States.

As used in this article "industrial wastewater" refers to liquids used by an entity in their course of business, that if discharged to the MS4, would degrade the quality of stormwater.

#### **Sec. 19-142. Disposal of industrial stormwater discharges.**

The following types of discharges to the municipal separate storm sewer of the city must be controlled as indicated.

(1) **Industrial wastewater/illicit discharge:** Industrial wastewater/illicit discharge may not be discharged to the city's municipal separate storm sewer system.

(2) **Industrial stormwater:** As required to comply with NPDES regulations, the quality of industrial stormwater which is discharged through the city's municipal separate storm sewer system may be subject to regulation or permitting, and any violation of such regulation or permit may be subject to an order to immediately cease such discharge.

#### **Sec. 19-143. Runoff stormwater and Best Management Practice (BMPs) for construction sites.**

BMPs shall be implemented as necessary, to insure that all discharges from construction activities are in compliance

with the City of Venice EPA/NPDES Stormwater Permit and the Stormwater Master Plan, or the SWFWMD Permit or EPA's NPDES Construction Activity General Permit, whichever is most stringent in its requirements.

**Best Management Practices include but are not limited to, the following requirements:**

- (a) All site grading shall be conducted in such a manner that all stormwater management facilities located adjacent to the site are not altered in any way which will diminish their designated flow or pollutant removal capacity or the shape of the drainage facility.
- (b) Maintenance of vegetative buffers or use of a silt fence and/or staked hay bales which minimize erosion and retain sediment on site, shall be implemented prior to any construction activities taking place at sites which discharge to surface water or the municipal separate storm sewer system (MS4). These controls, when utilized, shall be secured and properly maintained during construction activities until the site has been stabilized with sod and/or seed and mulch. A double silt fence may be required as an additional measure to insure that discharges from the site are in compliance with water quality standards as established by the EPA/NPDES Stormwater Permit. Undisturbed vegetative buffers shall be maintained intact to the maximum extent possible to reduce erosion and the discharge of sediment from stormwater runoff. All areas of exposed soil shall be stabilized within 72 hours of attaining final grade.
- (c) Storm sewer systems (eg. inlets, pipes and ditches, etc.) adjacent to the site must be protected by a silt fence and/or staked hay bales during construction, to keep solids from entering conveyance systems.
- (d) Vehicles such as concrete or dump trucks and other construction equipment shall not be washed at locations where the runoff will flow directly into a lake, wetland, watercourse or stormwater conveyance system. Special areas must be designated for washing vehicles. In all new subdivisions, a wash area may be established by the owner/developer which can be used by the site contractor and home builders. If established, wash areas shall be located where the wash water will spread out and evaporate or infiltrate directly into the ground, or where the runoff can be collected in a temporary holding or seepage basin. Gravel or rock bases are recommended for temporary holding or seepage basins, to minimize mud generation. Underdrains shall be installed where infiltration basins are provided as required by the owner/developer's engineer or the Southwest Florida Water Management District. Upon completion of the project, the wash areas shall be graded and stabilized and any trash or waste shall be collected and disposed of properly.
- (e) Fuel, chemicals, cements, solvents, paints, topsoil, or other potential water pollutants shall be stored in areas where they will not cause runoff pollution. Toxic chemicals and materials, such as pesticides, paints, and acids, must be stored in accordance with manufacturer's guidelines. Groundwater resources shall be protected from leaching by placing a plastic mat, packed clay, tar paper, or other impervious material on any areas where toxic liquids are to be opened and stored.
- (f) A minimum of one permitted driveway must be established prior to construction and shall be used as the only access for ingress/egress during construction in order to provide minimum disturbance of drainage facilities and vegetative cover on site.

**Sec. 19-44. Owner responsibility for stormwater runoff.**

- (a) The control of stormwater runoff is the responsibility of each individual property owner.
- (b) Any property owner constructing or causing to be constructed any building which requires an elevated slab and the elevation of the building pad is higher than that of adjoining properties, will control stormwater runoff during construction. Likewise, any property that is filled more than twelve inches above the adjacent property must provide additional control measures for stormwater during construction. Upon completion of the work, all stormwater runoff shall flow to its natural preconstruction drainage swale, ditch, etc., or be retained in a retention or detention pond(s) designed and constructed for that purpose.
- (c) For any construction where the elevation of the building pad or site fill will be higher than adjoining properties, construction plans certified by a professional engineer registered with the State of Florida, retained by the property owner, will be provided to the City prior to issuance of a building permit.
- (d) Any single lot not covered under Southwest Florida Water Management District rules, exceeding forty-five percent in impervious coverage (including buildings, drives, sidewalks, patios, etc.) shall require stormwater retention facilities to be designed by a Florida registered engineer. The design is to meet the City of Venice EPA/NPDES Permit requirements for quantity and quality of treatment.
- (e) The property owner's engineer will be required to certify to the City Engineer that construction was completed in accordance with the certified plans, prior to issuance of a Certificate of Occupancy.
- (f) All improvements to property affecting stormwater drainage must be done in compliance with the City's Comprehensive Plan.

**Sec. 19-145. Illicit discharges.**

It shall be unlawful for any person to discharge anything other than stormwater into the city's municipal separate

storm sewer system whether such discharges occur through piping connections, runoff, exfiltration, infiltration, seepage, or leaks. No person may maintain, use, or establish any direct or indirect connection to any storm sewer owned by the city that results in any discharge in violation of any provision of federal, state, city, or other law or regulation. This provision is retroactive to January 1, 1995, and applies to connections made prior to the effective date of this provision, regardless of whether made under a permit, or other authorization, or whether permissible under laws or practices applicable or prevailing at the time the connection was made.

No materials other than those composed entirely of stormwater shall be disposed of, dumped, or spilled into the city's municipal separate storm sewer system, whether such materials are in a solid or liquid form.

**Sec. 19-146. Inspections.**

It shall be the duty of the city engineer or designee to carry out all inspections, surveillance, and monitoring procedures necessary to determine compliance with this article.

SECTION 4. Chapter 15, Streets and Sidewalks, Article IV, Excavations, Section 15-53, Storm Drainage and Pollution, is deleted in its entirety.

SECTION 5. To the extent of any conflict between the provisions of this Ordinance, and any other Ordinance, Resolution, or Agreement of the City of Venice, Florida, the provisions of this Ordinance shall prevail.

SECTION 6. Severability. If for any reason a provision of this Ordinance or the application thereof to any person, group of persons, or circumstances is held invalid, the invalidity shall not effect other provisions or applications of the Ordinance which can be given effect without the invalid provision or application, and to this end the provisions of the Ordinance are severable.

SECTION 7. Effective Date. This Ordinance shall take effect immediately upon its adoption, as required by law.

**PASSED BY THE COUNCIL OF THE CITY OF VENICE, FLORIDA, THIS 23RD DAY OF MAY, 1995.**

First Reading: May 9, 1995 - Final Reading: May 23, 1995 - ADOPTION: May 23, 1995

ATTEST: /s/LORI STELZER, CMC, CITY CLERK                      /S/ MERLE L. GRASER, MAYOR

I, LORI STELZER, City Clerk of the City of Venice, Florida, a municipal corporation in Sarasota County, Florida, do hereby certify that the foregoing is a full and complete, true and correct copy of an Ordinance duly adopted by the Venice City Council, at a meeting thereof duly convened and held on the 23rd day of May, 1995, a quorum being present.

WITNESS my hand and the official seal of said City this 24th day of May, 1995.

/S/ LORI STELZER, CMC, CITY CLERK Approved as to form: /S/ ROBERT C. ANDERSON, CITY ATTORNEY

## **ORDINANCE 96-09**

AN ORDINANCE OF THE CITY OF VENICE, FLORIDA, AMENDING THE CODE OF ORDINANCES BY AMENDING CHAPTER 19, WATER AND SEWERS, ARTICLE VI, STORMWATER QUALITY, SECTION 19-141, DEFINITION FOR INDUSTRIAL STORMWATER, SECTION 19-146, INSPECTIONS, PROVIDING FOR CONFLICT WITH OTHER ORDINANCES; PROVIDING FOR A SEVERABILITY CLAUSE AND PROVIDING AN EFFECTIVE DATE.

**WHEREAS**, the City of Venice is responsible for the conservation, management, protection, control, use and enhancement of stormwater within its corporate limits, and for the acquisition, management, maintenance, extension, and improvement of the stormwater systems in the City; and

**WHEREAS**, the Environmental Protection Agency/National Pollutant Discharge Elimination System (EPA/NPDES) permit requires certain amendments to the existing Ordinance and extension of inspection authority on private properties.

**NOW, THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF VENICE, FLORIDA:**

**SECTION 1.** Chapter 19, Water and Sewers, Article VI, Stormwater Quality, Section 19-141, Definition, for Industrial Stormwater is amended to read as follows:

**Sec. 19-141. Definitions.**

As used in this article, "industrial stormwater" means stormwater runoff from a site with industrial activities, as defined under 40 CFR Section 122.26 (a) (b) (14), U.S. Environmental Protection Agency regulation.

**SECTION 2.** Chapter 19, Water and Sewers, Article VI, Stormwater Quality, Section 19-146, Inspections, is amended to read as follows:

**Sec. 19-146. Inspections.**

It shall be the duty of the city engineer or designee to carry out all inspections, surveillance, and monitoring procedures necessary to determine compliance with this article. The city engineer or his duly authorized agents may enter at all reasonable times in or upon any private or public property for the purpose of inspecting and investigating conditions and practices which may be a violation of this ordinance, regulation or permit. The city engineer may, whenever necessary, make an inspection of construction sites to enforce any of the provisions of this ordinance, regulation or permit issued hereunder, or whenever an authorized official has reasonable cause to believe there exists any condition constituting a violation of this ordinance, regulation or permit issued hereunder. The city engineer shall inspect the work and shall require the owner to obtain services to provide adequate on-site inspection. If the city engineer finds that eroded soils are leaving the construction site, the city engineer may direct the owner(s) or his agents or his contractor on the site by written order to install any and all erosion controls that are deemed necessary to prevent said soil erosion from migrating off site. Notwithstanding the existence or pursuit of any other remedy, the City may maintain an action in its own name in any court of competent jurisdiction for an injunction or other process against any person to restrain or prevent violations of this ordinance.

**SECTION 3.** To the extent of any conflict between the provisions of this Ordinance, and any other Ordinance, Resolution, or Agreement of the City of Venice, Florida, the provisions of this Ordinance shall prevail.

**SECTION 4.** Severability. If for any reason a provision of this Ordinance or the application thereof to any person, group of persons, or circumstances is held invalid, the invalidity shall not effect other provisions or applications of the Ordinance which can be given effect without the invalid provision or application, and to this end the provisions of the Ordinance are severable.

**SECTION 5.** Effective Date. This Ordinance shall take effect immediately upon its adoption, as required by law.

**PASSED BY THE COUNCIL OF THE CITY OF VENICE, FLORIDA, THIS 26TH DAY OF MARCH, 1996.**

First Reading: March 12, 1996 - Final Reading: March 26, 1996 - ADOPTION: March 26, 1996

**ATTEST: /s/LORI STELZER, CMC, CITY CLERK                      /S/ MERLE L. GRASER, MAYOR**

I, LORI STELZER, City Clerk of the City of Venice, Florida, a municipal corporation in Sarasota County, Florida, do hereby certify that the foregoing is a full and complete, true and correct copy of an Ordinance duly adopted by the Venice City Council, at a meeting thereof duly convened and held on the 26th day of March, 1996, a quorum being present.

WITNESS my hand and the official seal of said City this 27th day of March, 1996.

**/S/ LORI STELZER, CMC, CITY CLERK    Approved as to form: /S/ ROBERT C. ANDERSON, CITY ATTORNEY.**

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**STATEMENT OF REFERENCES**  
**FOR CONTRACTOR**

NAME OF CONTRACTOR: \_\_\_\_\_

BUSINESS ADDRESS: \_\_\_\_\_

How many years have you been engaged in the business under the present firm name? \_\_\_\_\_

List previous business experience: \_\_\_\_\_

\_\_\_\_\_

List at least three construction references:

(1) Person to contact: \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Date work performed: \_\_\_\_\_

(2) Person to contact: \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Date work performed: \_\_\_\_\_

(3) Person to contact: \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Date work performed: \_\_\_\_\_

(4) Person to contact: \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Date work performed: \_\_\_\_\_

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**CONTRACTOR'S STATEMENT OF  
SUBCONTRACTORS TO BE USED FOR THIS WORK**

NAME OF CONTRACTOR: \_\_\_\_\_

BUSINESS ADDRESS: \_\_\_\_\_

LIST SUBCONTRACTORS TO BE USED IN THE PROJECT:

(1) Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Phase of Work Sublet: \_\_\_\_\_

(2) Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Phase of Work Sublet: \_\_\_\_\_

(3) Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Phase of Work Sublet: \_\_\_\_\_

(4) Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Phase of Work Sublet: \_\_\_\_\_

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## **DRUG FREE WORKPLACE CERTIFICATION**

If identical tie bids exist, preference will be given to the vendor who submits a certification with their bid/proposal certifying they have a drug-free workplace in accordance with Section 287.087, Florida Statutes. The drug-free workplace preference is applied as follows:

**IDENTICAL TIE BIDS:** Preference shall be given to businesses with drug-free workplace programs. Whenever two or more bids, which are equal with respect to price, quality, and service, are received by the State or by any political subdivision for the procurement of commodities or contractual services, a bid received from a business that certifies that it has implemented a drug-free workplace program shall be given preference in the award process. Established procedures for processing tie bids will be followed if none of the tied vendors have a drug-free workplace program.

As the person authorized to sign this statement, I certify that this firm complies fully with the following requirements:

- 1) This firm publishes a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the workplace and specifying the actions that will be taken against employees for violations of such prohibition.
- 2) This firm informs employees about the dangers of drug abuse in the workplace, the business's policy of maintaining a drug-free workplace, any available drug counseling, rehabilitation, and employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations.
- 3) This firm gives each employee engaged in providing the commodities or contractual services that are under bid a copy of the statement specified in subsection (1).
- 4) In the statement specified in subsection (1), this firm notifies the employees that, as a condition of working on the commodities or contractual services that are under bid, the employee will abide by the terms of the statement and will notify the employer of any conviction of, or plea of guilty or nolo contendere to, any violation of chapter 893 or of any controlled substance law of the United States or any state, for a violation occurring in the workplace no later than five (5) days after such conviction.
- 5) This firm imposes a sanction on or requires the satisfactory participation in a drug abuse assistance or rehabilitation program if such is available in the employee's community, by any employee who is so convicted.
- 6) This firm will continue to make a good faith effort to maintain a drug-free workplace through implementation of this section.

---

Contractor's Name Signature

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## **NON-COLLUSIVE AFFIDAVIT**

State of \_\_\_\_\_  
County of \_\_\_\_\_ } SS.

\_\_\_\_\_ being first duly sworn, deposes and says that:

1. He/she is the \_\_\_\_\_, (Owner, Partner, Officer, Representative or Agent) of \_\_\_\_\_ the Offeror that has submitted the attached Proposal;
2. He/she is fully informed respecting the preparation and contents of the attached Proposal and of all pertinent circumstances respecting such Proposal;
3. Such Proposal is genuine and is not a collusive or sham Proposal;
4. Neither the said Offeror nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, have in any way colluded, conspired, connived or agreed, directly or indirectly, with any other Offeror, firm, or person to submit a collusive or sham Proposal in connection with the Work for which the attached Proposal has been submitted; or have in any manner, directly or indirectly sought by agreement or collusion, or have in any manner, directly or indirectly, sought by agreement or collusion, or communication or conference with any Offeror, firm, or person to fix the price or prices in the attached Proposal or of any other Offeror, or to fix any overhead, profit, or cost elements of the Proposal price or the Proposal price of any other Offeror, or to secure through any collusion, conspiracy, connivance, or unlawful agreement any advantage against (Recipient), or any person interested in the proposal Work.

Signed, sealed and delivered  
in the presence of:

\_\_\_\_\_  
\_\_\_\_\_

By: \_\_\_\_\_

\_\_\_\_\_  
(Printed Name)

\_\_\_\_\_  
(Title)

## **ACKNOWLEDGEMENT**

State of \_\_\_\_\_

County of \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me, the undersigned Notary Public of the State of \_\_\_\_\_, personally appeared \_\_\_\_\_ and (Name(s) of Individual(s) who appeared before notary) whose name(s) in/are Subscribed to the written instrument, and he/she/they acknowledge that he/she/they executed it.

NOTARY PUBLIC  
SEAL OF OFFICE:

\_\_\_\_\_  
NOTARY PUBLIC, STATE OF \_\_\_\_\_

\_\_\_\_\_  
(Name of Notary Public: Print, stamp, or type as commissioned)

☐ Personally known to me, or ☐ Produced Identification: \_\_\_\_\_ ☐ **DID** take an oath, or ☐ **DID NOT** take an oath

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## **PUBLIC ENTITY CRIME INFORMATION**

A person or affiliate who has been placed on the State of Florida's convicted vendor list following a conviction for a public entity crime may not submit a BID/ITB proposal on a contract to provide any goods or services to a public entity, may not submit a response on a contract with a public entity for services in the construction or repair of a public building or public work, may not submit bids on leases of real property to a public entity, may not be awarded or perform work as a Contractor, supplier, Sub-Contractor, or Contractor under a contract with any public entity, and may not transact business with any public entity in excess of the threshold amount provided in **Section 2876.017, for CATEGORY TWO for a period of 36 months from the date of being placed on the convicted vendor list.**

I, \_\_\_\_\_, being an authorized representative

of the firm of \_\_\_\_\_, located at City:

\_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_, have

read and understand the contents of the Public Entity Crime Information and of this

formal BID/ITB package, hereby submit our proposal accordingly.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

Federal ID#: \_\_\_\_\_

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## **NO BID RESPONSE**

**IMPORTANT:** If you choose not to submit a bid for the attached "Invitation To Bid," please complete and return this form only on/before bid closing date. Failure to respond will result in your company being negatively registered as non-responsive. In the event five (5) "no responses" are posted, you will be automatically dropped from our mailing list for future solicitations for the described product/service.

Thank you for taking this opportunity to help us update and improve the solicitation process.

Bid Open/Close Date: **August 15, 2019 at 2:00 PM**

Bid Number: **3104-19**

Description: **REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM  
HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**

Contact: \_\_\_\_\_ Mr. Peter Boers, Procurement- Finance Department

Please check the appropriate response. We respectfully submit "No bid" for the following reason(s):

- ☐ 1. We are unable to meet the required delivery date
- ☐ 2. We cannot provide a product to meet the required specifications.
- ☐ 3. We no longer provide the requested product.
- ☐ 4. We do not represent the required brand name product.
- ☐ 5. The bid closing date does not allow adequate time to prepare a response.
- ☐ 6. The specifications are too restrictive.
- ☐ 7. We have chosen not to do business with the City
- ☐ 8. Other (feel free to provide our response on your company letterhead.)

Company Name \_\_\_\_\_ Vendor No. \_\_\_\_\_

Authorized Signature \_\_\_\_\_

Print Name \_\_\_\_\_

Title \_\_\_\_\_

Date \_\_\_\_\_ Telephone No. \_\_\_\_\_

+ + END OF BID FORM + +

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## **SAMPLE CONTRACT**

THIS CONTRACT, pursuant to City Council approval granted on \_\_\_\_\_, is made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between the City of Venice, Florida, hereinafter referred to as the City, and \_\_\_\_\_, hereinafter referred to as the Contractor.

### W I T N E S S E T H:

THAT FOR and in consideration of the mutual covenants and obligations hereafter set forth, the parties hereto agree as follows:

(1) The Contract Documents consist of this Contract, Performance and Payment Bonds attached hereto as composite Attachment A and, the City's Invitation to Bid (ITB) # **3104-19 REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**, including: standard general conditions, supplemental conditions, special conditions, technical specifications, drawings, Contractor's bid proposal for ITB 3104-19, all of which are incorporated herein by reference. All of the Contract Documents are made a part of this Contract.

(2) The Contractor shall perform all the work required by the Contract Documents and shall include installation of the listed items per the bid specifications.

(3) The work to be performed under this Contract shall be completed within Two Hundred Ten (**210**) days of the issuance of the Notice to Proceed by the City.

(4) The City shall pay the Contractor for the performance of the work, in accordance with Exhibit B, subject to the terms and conditions of the Contract Documents and any written change orders, the Contract sum not to exceed: \_\_\_\_\_ & \_\_\_/100s (\$\_\_\_\_\_).

(5) Time is of the essence in this Contract. In the event that the work is not completed within the required time as specified in Section 3 herein, then from the compensation otherwise to be paid to the Contractor, the City may retain the sum of **one thousand five hundred thirty-two dollars (\$ 1,532) per day** for each calendar day that the work remains incomplete beyond the time limit, which sum shall represent the actual damage which the City will have sustained per day by failure of the Contractor to complete the work within the required time, said sum not being a penalty but being the stipulated damages the City will have sustained in the event of such default by the Contractor.

(6) In connection with the performance of work under this Contract, the Contractor agrees not to discriminate against any employee or applicant for employment because of race, sex, religion, color, or national origin. The aforesaid provision shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, lay-off or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship. The Contractor agrees to post hereafter in conspicuous places, available for employees or applicants for employment, notices to be provided by the contracting officer setting forth the provisions of the non-discrimination clause. The Contractor further agrees to insert the foregoing provisions in all contracts hereunder, including contracts or agreements with labor unions

and/or workers' representatives, except subcontracts for standard commercial supplies or raw materials.

(7) Contractor must secure and maintain any and all permits and licenses required to complete the work under this Contract, unless the Contract Documents provide otherwise.

(8) Throughout the term of this Contract the Contractor must maintain insurance in at least the amounts and coverage required as shown in Exhibit C. The Contractor must provide a Certificate of Insurance to the City evidencing such coverage prior to issuance of the Notice to Proceed by the City.

(9) Contractor agrees to comply with Florida's public records law by keeping and maintaining public records that ordinarily and necessarily would be required by the public agency in order to perform the services of this Contract; upon the request of the City's Custodian of Public Records, by providing the City with copies of or access to public records on the same terms and conditions that City would provide the records and at a cost that does not exceed the cost provided by Florida law; by ensuring that public records that are exempt or confidential and exempt from public records disclosure requirements are not disclosed excepts as authorized by law for the duration of the term of the Contract and following completion of the Contract if the Contractor does not transfer the records to the City; and upon completion of the Contract by transferring, at no cost, to City all public records in possession of Contractor or by keeping and maintaining all public records required by the City to perform the services of this Contract. If the Contractor transfers all public records to the City upon completion of the Contract, the Contractor shall destroy any duplicate public records that are exempt or confidential and exempt from public records disclosure requirements. If the Contractor keeps and maintains public records upon completion of the Contract, the Contractor shall meet all applicable requirements for retaining public records. All records stored electronically must be provided to the City, upon request from the City's custodian of public records, in a format that is compatible with the information technology systems of the City.

**IF THE CONTRACTOR HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE CONTRACTOR'S DUTY TO PROVIDE PUBLIC RECORDS RELATING TO THIS CONTRACT, CONTACT THE CITY'S CUSTODIAN OF PUBLIC RECORDS LORI STELZER, MMC, CITY CLERK, AT 401 W. VENICE AVENUE, VENICE, FLORIDA 34285, (941) 882-7390, LSTELZER@VENICEGOV.COM.**

(10) Contractor shall indemnify, pay the cost of defense, including attorneys' fees, and hold harmless the City from all suits, actions, or claims of any kind brought on account of any injuries or damages received or sustained by any person or property by or from the Contractor or in consequence of any neglect in safeguarding the work; or by the use of any unacceptable materials related to the work; or on account of any act or omission, neglect or misconduct of the Contractor; or on account of any claim or amounts received under the "Workers' Compensation Law" or any other laws or ordinances, except only such injury or damage as shall have been caused by the negligence of the City. The first ten dollars (\$10.00) of compensation received by the Contractor represents specific consideration for this indemnification obligation.

(11) Contractor shall be responsible for compliance with the requirements under Chapter 556, Florida Statutes, the "Underground Facility Damage Prevention and Safety Act." Contractor's

obligations to defend, indemnify, and hold harmless the City, as provided for under Section 10 of this Contract, shall specifically apply to any violations alleged against the City under the Underground Facility Damage Prevention and Safety Act related to the performance of the work under this Contract. Contractor acknowledges that included in the various items of the proposal and in the total bid price, are costs for complying with the Florida Trench Safety Act (90-96 Laws of Florida) effective October 1, 1990.

(12) Termination. This Contract may be terminated by the City without cause, by giving thirty (30) days prior written notice to Contractor of the intention to cancel. or with cause at any time Contractor fails to fulfill or abide by any of the terms or conditions specified. Failure of Contractor to comply with any of the provisions of this agreement shall be considered a material breach of Contract and shall be cause for immediate termination of the agreement at the discretion of the city. This Contract may be terminated by the Contractor only by mutual consent of both parties. If this Contract is terminated before performance is completed, the Contractor shall be paid only for that work satisfactorily performed for which costs can be substantiated.

(13) The laws of the State of Florida shall govern all provisions of this Contract. Venue for any dispute shall be Sarasota County, Florida. If any court proceeding or other action occurs between the parties as a result of this Contract or any other document or act required by this Contract, the prevailing party shall be entitled to recover attorney's fees and all court costs, including attorney's fees and court costs incurred in any pre-trial, trial, appellate, and/or bankruptcy proceedings, as well as, attorney's fees and costs incurred in determining entitlement to and reasonableness of fees and costs.

(14) This Contract and the Contract Documents constitute the entire agreement of the parties and may not be changed or modified, except by a written document signed by both parties hereto. This Contract shall be binding upon the successors and assigns of the parties.

IN WITNESS WHEREOF, the parties to the agreement have hereunto set their hands and seals and have executed this agreement, the day and year first above written.

(SEAL)

ATTEST:

CITY OF VENICE  
IN SARASOTA COUNTY, FLORIDA

\_\_\_\_\_  
CITY CLERK

BY:\_\_\_\_\_  
MAYOR JOHN HOLIC

ATTEST:

\_\_\_\_\_

\_\_\_\_\_

BY:\_\_\_\_\_

\_\_\_\_\_  
Signed by (typed or printed)

\_\_\_\_\_  
Signed by (typed or printed)

Approved as to Form and Correctness

\_\_\_\_\_  
David Persson, City Attorney



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## **EXHIBIT A**

### **SURETY BONDS**

At the time of executing the Contract Documents, the successful proposer shall append to this sheet separate performance and payment bonds each equal to one-hundred percent (100%) of the Contract amount. Said bonds become an integral part of these Contract Documents and shall meet the following requirements:

1. Surety bonds submitted shall be written by a surety company that is approved by the City Finance Director and authorized to do business in the State of Florida, shall be accompanied by evidence of the authority of the issuing agent, and shall be on a form to be approved by the City Attorney. No bond in an amount greater than \$5,000 required by the City Charter, the Ordinances of The City of Venice, or the laws of the State of Florida shall be approved by the City Finance Director unless the surety company executing the bond is listed by the United States Treasury Department as being approved for writing bonds for Federal projects and its current list in an amount not less than the amount of the bond tendered to The City of Venice.

2. Both the separate payment and performance bonds shall be in the general form of AIA documents A311. Additionally, the payment bond shall state as follows:

“This bond is issued in compliance with Section 255.05, Florida Statutes (1994 Supp.), as may be amended. A claimant, except a laborer, who is not in privity with the Contractor and who has not received payment for his labor, materials, or supplies shall, within 45 days after beginning to furnish labor, materials, or supplies for the prosecution of the work, furnish the Contractor with a notice, that he intends to look to the bond for protection. A claimant who is not in privity with the Contractor and who has not received payment for his labor, materials, or supplies shall, within 90 days after performance of the labor or after complete delivery of the materials or supplies, or with respect to rental equipment, within 90 days after the date that the rental equipment was last on the job site available for use, deliver to the Contractor and to the surety written notice of the performance of the labor or delivery of the materials or supplies and of the nonpayment. No action for the labor, materials, or supplies may be instituted against the Contractor or the surety unless both notices have been given. No action shall be instituted against the Contractor or the surety on the payment bond or the payment provisions of a combined payment and performance bond after 1 year from the performance of the labor or completion of delivery of the materials or supplies. A claimant may not waive in advance his right to bring an action under the bond against the surety. In any action brought to enforce a claim against a payment bond under this section, the prevailing party is entitled to recover a reasonable fee for the services of his attorney for trial and appeal or for arbitration, in an amount to be determined by the court, which fee must be taxed as part of his costs, as allowed in equitable actions.”

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## PUBLIC WORKS PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS:

THAT \_\_\_\_\_, as Principal, hereinafter called Contractor; and \_\_\_\_\_, a corporation of the State of Florida, as surety, hereinafter called Surety, are held and firmly bound unto the City of Venice as Obligee, hereinafter called the City, in the amount of (\$\_\_\_\_\_) \_\_\_\_\_ /100's, for the payment whereof Contractor and Surety bind themselves, their heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, Contractor has by written agreement dated the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_, entered into a Contract with the City for the following described project: **ITB# 3104-19 REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT** which Contract is by reference incorporated herein and made a part hereof, and is hereinafter referred to as the Contract.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if Contractor shall promptly make payments to all persons supplying Contractor labor, materials and supplies, used directly or indirectly by the said Contractor or Subcontractors in the prosecution of the work provided for in said Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

PROVIDED FURTHER, that the said Surety for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the work to be performed thereunder or the Specifications accompanying the same shall in anywise affect its obligation on this Bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the work or to the Specifications.

PROVIDED FURTHER, that this Bond is issued pursuant to Section 255.05, Florida Statutes, and reference is hereby made to the notice and time limitations in said statute for making claims against this Bond.

PROVIDED FURTHER, that any suit under this Bond must be instituted before the expiration of one (1) year from the performance of the labor or completion of delivery of the materials or supplies.

PROVIDED FURTHER, no right of action shall accrue on this Bond to or for the use of any person or corporation other than the City named herein and those persons or corporations provided for by Section 255.05, Florida Statutes, their heirs, executors, administrators, successors or assigns.

SIGNED AND SEALED this \_\_\_\_\_ day of \_\_\_\_\_, A.D., 20\_\_.

IN THE PRESENCE OF:

CONTRACTOR

\_\_\_\_\_

BY: \_\_\_\_\_

INSURANCE COMPANY

BY: \_\_\_\_\_  
Agent and Attorney-in-Fact

## PUBLIC WORKS PERFORMANCE BOND

KNOW ALL MEN BY THESE PRESENTS:

THAT \_\_\_\_\_, as Principal, hereinafter called Contractor; and \_\_\_\_\_, a corporation of the State of Florida, as surety, hereinafter called Surety, are held and firmly bound unto the City of Venice as Obligee, hereinafter called the City, in the amount of (\$ \_\_\_\_\_) & \_\_\_\_\_/100's, for the payment whereof Contractor and Surety bind themselves, their heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, Contractor has by written agreement dated the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_, entered into a Contract with the City of Venice for the following described project: **ITB# 3104-19 REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT** which Contract is by reference incorporated herein and made a part hereof, and is hereinafter referred to as the Contract.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that if the Contractor shall promptly and faithfully perform the Contract during the original term thereof and any extensions thereof which may be granted by the City with or without notice to the Surety and during any guarantee or warranty period, including the obligation to correct any latent defects not discovered until after acceptance of the project by the City, and if he shall satisfy all claims and demands incurred under said Contract and shall fully indemnify and save harmless the City, its agents, Engineer and employees from all losses, damages, expenses, costs and Attorney's Fees, including appellate proceedings which it may suffer by reason of failure to do so, and shall reimburse and repay the City all outlay and expense which the City may incur in making good any default, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

PROVIDED FURTHER, whenever Contractor shall be, and declared by the City to be in default under the Contract, the City having performed its obligations thereunder, the Surety may promptly remedy the default or shall promptly:

- (1) Complete the Contract in accordance with its terms and conditions; or
- (2) Obtain a bid or bids for submission to the City for completing the Contract in accordance with its terms and conditions and upon determination by the City and Surety of the lowest responsible bidder, arrange for a Contract between such bidder and City and make available as work progresses (even though there should be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion, less the balance of the Contract price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term "balance of the Contract price" as used in this paragraph, shall mean the total

amount payable by the City to Contractor under the Contract and any amendments thereto, less the amount properly paid by the City to the Contractor.

PROVIDED FURTHER, the Surety, for value received, hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the work to be performed thereunder or the Contract Documents accompanying the same shall in any waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the work or to the Contract Documents.

PROVIDED FURTHER, any suit under this bond must be instituted before the expiration of two (2) years from the date on which final payment under the Contract falls due; except that, when the action involves a latent defect, suit must be instituted within four (4) years from the time the defect is discovered or should have been discovered with the exercise of due diligence.

PROVIDED FURTHER, no right of action shall accrue on this bond to or for the use of any person or corporation other than the City, its successors or assigns.

SIGNED AND SEALED this \_\_\_\_\_ day of \_\_\_\_\_, AD., 20\_\_.

IN THE PRESENCE OF:

CONTRACTOR

\_\_\_\_\_

BY: \_\_\_\_\_

INSURANCE COMPANY

BY: \_\_\_\_\_  
Agent and Attorney-in-Fact

---

## **EXHIBIT B**

(Bid Form to be Supplied)



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## EXHIBIT C

Before performing any work, the Contractor shall procure and maintain, during the life of the Contract, insurance listed below. The policies of insurance shall be primary and written on forms acceptable to the City and placed with insurance carriers approved and licensed by the Insurance Department in the State of Florida and meet a minimum financial AM Best and Company rating of no less than A:VII. No changes are to be made to these specifications without prior written specific approval by the City.

1. The City of Venice is to be specifically included as an **ADDITIONAL INSURED** for **Commercial General Liability** and **Business Auto Policy**.
2. The City of Venice shall be named as Certificate Holder. *Please Note that the Certificate Holder should read as follows:*

*The City of Venice  
401 W. Venice Avenue  
Venice, FL 34285*

No City Division, Department, or individual name should appear on the certificate. **NO OTHER FORMAT WILL BE ACCEPTABLE.**

3. The “Acord” certification of insurance form shall be used.
  4. Required Coverage
    - a) **Commercial General Liability:** including but not limited to bodily injury, property damage, contractual liability, products and completed operations, and personal injury with limits of not less than \$ 1,000,000 per occurrence, \$1,000,000 aggregate covering all work performed under this Contract. Include broad form property damage (provide insurance for damage to property under the care custody and control of the Contractor)
    - b) **Business Auto Policy:** including bodily injury and property damage for all vehicles owned, leased, hired and non-owned vehicles with limits of not less than \$1,000,000 combined single limit covering all work performed under this Contract.
    - c) **Workers Compensation:** Contractor will provide Workers Compensation Insurance on behalf of all employees, including sub-contractors, who are to provide a service under this Contract, as required under Florida Law, Chapter 440, and Employers Liability with limits of not less than \$100,000 per employee per accident; \$500,000 disease aggregate; and \$100,000 per employee per disease.
  5. Policy Form:
    - a) All policies required by this Contract, with the exception of Workers Compensation, or unless specific approval is given by the City, are to be written on an occurrence basis, shall name the City of Venice, its Elected Officials, Officers, Agents, Employees as additional insured as their interest may appear under this Contract. Insurer(s), with the exception of Workers Compensation, shall agree to waive all rights of subrogation against the City of Venice, its Elected Officials, Officers, Agents, and Employees.
-

- b) Insurance requirements itemized in this Contract, and required of the Contractor, shall be provided on behalf of all subcontractors to cover their operations performed under this Contract. The Contractor shall be held responsible for any modifications, deviations, or omissions in these insurance requirements as they apply to subcontractors.
  - c) Each insurance policy required by this Contract shall:
    - (1) apply separately to each insured against whom claim is made and suit is brought, except with respect to limits of the insurer's liability;
    - (2) be endorsed to state that coverage shall not be suspended, voided or canceled by either party except after thirty (30) calendar days prior written notice by certified mail, return receipt requested, has been given to the City of Venice's Director of Administrative Services.
  - d) The City shall retain the right to review, at any time, coverage form, and amount of insurance.
  - e) The procuring of required policies of insurance shall not be construed to limit Contractor's liability nor to fulfill the indemnification provisions and requirements of this Contract.
  - f) The Contractor shall be solely responsible for payment of all premiums for insurance contributing to the satisfaction of this Contract and shall be solely responsible for the payment of any deductible and/or retention to which such policies are subject, whether or not the City is an insured under the policy. In the event that claims in excess of the insured amounts provided herein are filed by reason of operations under the Contract, the amount excess of such claims, or any portion thereof, may be withheld from any payment due or to become due to the Contractor until such time the Contractor shall furnish additional security covering such claims as may be determined by the City.
  - g) Claims Made Policies will be accepted for professional and hazardous materials and such other risks as are authorized by the City. All Claims Made Policies contributing to the satisfaction of the insurance requirements herein shall have an extended reporting period option or automatic coverage of not less than two years. If provided as an option, the Contractor agrees to purchase the extended reporting period on cancellation or termination unless a new policy is affected with a retroactive date, including at least the last policy year.
  - h) Certificates of Insurance evidencing Claims Made or Occurrence form coverage and conditions to this Contract, as well as the City's Bid Number and description of work, are to be furnished to the City's Director of Administrative Services, 401 West Venice Avenue, Venice, FL 34285, ten (10) business days prior to commencement of work and a minimum of thirty (30) calendar days prior to expiration of the insurance policy.
  - i) Notices of Accidents and Notices of Claims associated with work being performed under this Contract, shall be provided to the Contractor's insurance company and the City's Director of Administrative Services, as soon as practicable after notice to the insured.
  - j) All property losses shall be payable to, and adjusted with, the City.
-

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## CONTRACTOR'S RELEASE OF LIEN

BEFORE ME, the undersigned authority in said County and State, appeared \_\_\_\_\_, who being first duly sworn, deposes and says that he is \_\_\_\_\_ of \_\_\_\_\_ a company and/or corporation authorized to do business under the laws of Florida, which is the Contractor on Project known as City of Venice Bid # 3104-19, located in the City of Venice, County of Sarasota, Florida, under Contract with the City of Venice, dated the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, that the said deponent is duly authorized to make this affidavit by resolution of the Board of Directors of said company and/or corporation; that deponent knows of his own knowledge that said Contract has been complied with in every particular by said Contractor and that all parts of the work have been approved by the City Engineer; that there are no bills remaining unpaid for labor, material or otherwise, in connection with said Contract and work, and that there are no suits pending against the undersigned as Contractor or anyone in connection with the work done and materials furnished or otherwise, under said Contract.

DEPONENT further says that the final estimate which has been submitted to the City simultaneously with the making of this affidavit, constitutes all claims and demands against the City on account of said Contract or otherwise, and that acceptance of the sum specified in said final estimate will operate as a full and final release and discharge of the City from any further claims, demands or compensation by Contractor under the above Contract.

DEPONENT further agrees that all guarantees under this Contract shall start and be in full force from the date of this release as spelled out in the Contract documents.

Signature: \_\_\_\_\_

Printed Name:

STATE OF FLORIDA )  
COUNTY OF )

Signed before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_,  
by \_\_\_\_\_ who is personally known to me or has produced  
\_\_\_\_\_ as identification.  
\_\_\_\_\_

Notary Public  
My Commission Expires:  
Commission Number:

WE, the \_\_\_\_\_, having heretofore executed a performance bond and a payment bond for the above named Contractor covering project and section as described above in the sum of (\$ \_\_\_\_\_) \_\_\_\_\_ Dollars, hereby agree that the Owner may make full payment of the final estimate, including the retained percentage, to said Contractor.

IT IS fully understood that the granting of the right to make the payment of the final estimate to said Contractor and/or his assigns, shall in no way relieve this surety company of its

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obligations under its bonds, as set forth in the specifications, Contract, and bonds pertaining to the above project.

IN WITNESS WHEREOF, the \_\_\_\_\_ has caused this instrument to be executed on its behalf by its \_\_\_\_\_, and/or its duly authorized attorney in fact, and its corporate seal to be hereunto affixed, all on this \_\_\_\_\_ day of \_\_\_\_\_, A.D., 20\_\_.

\_\_\_\_\_  
Surety Company

\_\_\_\_\_  
Attorney in Fact

Power of Attorney must be attached if executed by Attorney in Fact.

STATE OF )

COUNTY OF )

BEFORE ME, the undersigned authority, appeared \_\_\_\_\_, who is personally known to me or has produced \_\_\_\_\_ as identification, and who executed the foregoing instrument in the name of \_\_\_\_\_ as its \_\_\_\_\_ and the said \_\_\_\_\_ acknowledged that he executed said instrument in the name of \_\_\_\_\_ as its \_\_\_\_\_ and/or \_\_\_\_\_, for the purpose therein expressed and that he had due and legal authority to execute the same on behalf of said \_\_\_\_\_, a corporation.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires:

## **CERTIFICATE OF SUBSTANTIAL COMPLETION**

PROJECT NO.

PROJECT:

CONTRACTOR CONTRACT DATE

CONTRACT FOR

Project or Specified Part Shall Include:

### **DEFINITION OF SUBSTANTIAL COMPLETION**

The date of substantial completion of a project or specified part of a project is the date when the work is sufficiently completed, in accordance with the Contract Documents, so that the project or specified part of the project can be utilized for the purpose for which it was intended.

TO: (Contractor)

DATE OF SUBSTANTIAL COMPLETION: \_\_\_\_\_

The work performed under this Contract has been inspected by authorized representatives of the City of Venice and the Contractor, and the project or specified part of the project, is hereby declared to be substantially completed on the above date.

A tentative list of items to be completed or corrected is appended hereto. This list may not be exhaustive, and the failure to include an item on it does not alter the responsibility of the Contractor to complete all the work in accordance with the Contract documents. These items shall be completed by the Contractor within \_\_\_\_\_ days of Substantial Completion.

The date of Substantial Completion is the date upon which all guarantees and warranties begin, except as noted below. The responsibilities between the Owner and the Contractor for maintenance shall be as set forth below.

CITY OF VENICE

\_\_\_\_\_  
By:

Date: \_\_\_\_\_

The Contractor accepts the foregoing Certification of Substantial Completion and agrees to complete and correct the items on the tentative list within the time indicated.

\_\_\_\_\_  
Contractor Authorized Representative

Date: \_\_\_\_\_

RESPONSIBILITIES:

OWNER:

CONTRACTOR:

EXCEPTIONS AS TO GUARANTEES AND WARRANTIES:

ATTACHMENTS (Identify)

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**BIDDER QUALIFICATION STATEMENT**

(Completion of this statement is required in advance of  
consideration for award of Contract.)

**SUBMITTED TO:**

City of Venice  
401 West Venice Avenue  
Venice, FL 34285

**SUBMITTED FOR:**

**REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE  
BULK STORAGE SYSTEM REPLACEMENT**

SUBMITTED BY:

Name of Organization: \_\_\_\_\_  
(Print or Type Name of Bidder)

Name of Individual: \_\_\_\_\_

Title: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Telephone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Bidder's Website: \_\_\_\_\_

If address and phone number given above is for a branch office, provide address and phone number of principal home office:

Principal Home Office Address: \_\_\_\_\_

\_\_\_\_\_

Principal Home Office Telephone No.: \_\_\_\_\_

Gentlemen:

The undersigned certifies under oath the truth and correctness of all statements and of all answers to questions made hereinafter.

(Note: Attach additional sheets as required.)

1.0 Bidder's General Business Information

1.1 Check if:

- ☐ Corporation      ☐ Partnership      ☐ Joint Venture      ☐ Other
- ☐ Limited Liability Company      ☐ Sole Proprietorship

If Corporation:

A. Date and State of Incorporation:

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B. List of Executive Officers:

Name	Title	Address

If Partnership:

A. Date and State of Organization:

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B. Current General Partners (name and address for each):

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C. Type of Partnership

- ☐ General      ☐ Publicly Traded      ☐ Limited
- ☐ Limited Liability      ☐ Other (describe): \_\_\_\_\_

If Joint Venture:

A. Date and State of Organization:

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B. Name, Address, Form of Organization, and State of Organization of Each Joint Venture Partner: (Indicate with an asterisk (\*) the managing or controlling Joint Venturer if applicable):

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If Limited Liability Company:

A. Date and State of Organization:

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B. Members:

Name	Address
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

If Sole Proprietorship:

A. Date and State of Organization:

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B. Name and Address of Owner or Owners:

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If Other Type of Organization:

A. Type of Organization: \_\_\_\_\_

B. Date and State of Organization:

\_\_\_\_\_  
\_\_\_\_\_

C. Name and Address of Each Owner or Principal:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

1.2 Certifications: In addition to the above categories of business entities, indicate whether Bidder's organization is a:

- ☐ Disadvantaged Business Enterprise, certified by \_\_\_\_\_
- ☐ Minority Business Enterprise, certified by \_\_\_\_\_
- ☐ Women's Business Enterprise, certified by \_\_\_\_\_
- ☐ Historically Underutilized Business Zone Small Business Concern,  
certified by \_\_\_\_\_

2.0 How many years has your organization been in business as a general contractor?  
\_\_\_\_\_

3.0 If your organizational structure has changed within the past five years, provide data as listed above in Item 1.0 for your previous organization.

4.0 Do you plan to subcontract any part of this project? \_\_\_\_\_ If so, give details.

- 5.0 Has any construction contract to which you have been a party been terminated by the owner; have you ever terminated work on a project prior to its completion for any reason; has any surety which issued a performance bond on your behalf ever completed the work in its own name or financed such completion on your behalf; has any surety expended any monies in connection with a contract for which they furnished a bond on your behalf? If the answer to any portion of this question is "yes", furnish details of all such occurrences including name of owner, architect or engineer, and surety, and name and date of project.
- 6.0 Has any officer or partner of your organization ever been an officer or partner of another organization that had any construction contract terminated by the owner; terminated work on a project prior to its completion for any reason; had any surety which issued a performance bond complete the work in its own name or financed such completion; or had any surety expend any monies in connection with a contract for which they furnished a bond? If the answer to any portion of this question is "yes", furnish details of all such occurrences including name of owner, architect or engineer, and surety, and name and date of project.
- 7.0 In the last five years, has your organization, or any predecessor organization, failed to substantially complete a project in a timely manner? If the answer to this question is "yes", furnish details of all such occurrences including name of owner, architect or engineer, and surety, and name and date of project.
- 8.0 On Schedule A, attached, list name, location and description of project, owner, architect or engineer, contract price, percent complete and scheduled completion of the major construction projects your organization has in progress on this date. Provide name, address and telephone number of a reference for each project listed.
- 9.0 On Schedule B, attached, list name, location and description of project, owner, architect or engineer, contract price, date of completion and percent of work with your own forces of major projects of the same general nature as this project which your organization has completed in the past five years. Provide name, address and telephone number of a reference for each project listed.

10.0 On Schedule C, attached, list name and construction experience of the principal individuals of your organization directly involved in construction operations.

11.0 Licenses and Registrations:

11.1 Indicate the jurisdictions in which your firm is legally qualified to practice. Indicate license or registration number for each jurisdiction, if applicable, and type of license or registration. Attach separate sheet as required.

Jurisdiction	License/Registration No.	Type
_____	_____	_____
_____	_____	_____
_____	_____	_____

11.2 In the past five years, has Bidder had any business or professional license suspended or revoked?

☐ No      ☐ Yes

If yes, describe on a separate attachment the circumstances, including the jurisdiction and bases for suspension or revocation.

12.0 Provide the following information for your surety:

12.1 Surety Company: \_\_\_\_\_

12.2 Agent: \_\_\_\_\_

A. Address: \_\_\_\_\_

B. Telephone No.: \_\_\_\_\_

13.0 Provide the following with respect to an accredited banking institution familiar with your organization.

13.1 Name of Bank: \_\_\_\_\_

13.2 Address: \_\_\_\_\_

13.3 Account Manager: \_\_\_\_\_

13.4 Telephone No.: \_\_\_\_\_

14.0 Provide the name, address and telephone number of an individual who represents a major equipment/material supplier whom the Owner may contact for a financial reference:

15.0 Industry Affiliations, Memberships, Awards, and Honors

15.1 List below the industry organizations with which your organization is affiliated or which your organization is a member:

15.2 List below the industry awards or honors received by your organization and the date for each. Attach supporting documentation as necessary.

16.0 Statement of Potential Conflicts of Interest: List below business associations, financial interests, or other circumstances that may create a conflict of interest with the Owner or other entity involved in the Project. Attach additional documentation as required.

17.0 Dated at \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Bidder: \_\_\_\_\_  
(Print or Type Name of Bidder)

By: \_\_\_\_\_

Title: \_\_\_\_\_

Attachments A, B and C



(Seal, if corporation)

------(Affidavit for Individual)-----

\_\_\_\_\_ being duly sworn, deposes and says that:

a) the financial statement, taken from his/her books, is a true and accurate statement of his/her financial condition as of the date thereof; and b) all of the foregoing qualification information is true, complete, and accurate.

------(Affidavit for Partnership)-----

\_\_\_\_\_ being duly sworn, deposes and says that:

a) he/she is a member of the partnership of \_\_\_\_\_;  
b) he/she is familiar with the books of said partnership showing its financial condition;  
c) the financial statement, taken from the books of said partnership, is a true and accurate statement of the financial condition of the partnership as of the date thereof; and d) all of the foregoing qualification information is true, complete, and accurate.

------(Affidavit for Corporation)-----

\_\_\_\_\_ being duly sworn, deposes and says that: a) he/she is  
\_\_\_\_\_ of \_\_\_\_\_;  
(Full name of Corporation)

b) he/she is familiar with the books of said corporation showing its financial condition;  
c) the financial statement, taken from the books of said corporation, is a true and accurate statement of the financial condition of said corporation as of the date thereof; and d) that all of the foregoing qualification information is true, complete, and accurate.

------(Affidavit for Limited Liability Company (LLC))-----

\_\_\_\_\_ being duly sworn, deposes and says that: a) he/she is  
\_\_\_\_\_ of \_\_\_\_\_;  
(Full name of LLC)

b) he/she is familiar with the books of said company showing its financial condition; c) the financial statement, taken from the books of said company, is a true and accurate statement of the financial condition of said company as of the date thereof; and d) that all of the foregoing qualification information is true, complete, and accurate.

------(Affidavit for Joint Venture)-----

Each joint venturer shall complete the affidavit appropriate for the joint venturer's type of organization and attach said affidavit to the Bidder Qualifications Statement. Submit separate acknowledgement for each joint venturer's affidavit.

----- (Acknowledgment) -----

\_\_\_\_\_ being duly sworn, deposes and says  
that he/she is \_\_\_\_\_ of \_\_\_\_\_;  
(Name of Bidder)

that he/she is duly authorized to make the foregoing affidavit and that he/she makes it on behalf of

( ) himself/herself; ( ) said partnership; ( ) said corporation;

( ) said joint venture; ( ) said limited liability company

Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, in the County of \_\_\_\_\_, State of \_\_\_\_\_.

\_\_\_\_\_

\_\_\_\_\_  
(Notary Public)

My commission expires \_\_\_\_\_

(Seal)

+ + END OF BIDDER QUALIFICATIONS STATEMENT + +

## ATTACHMENT A

## SCHEDULE A PROJECTS IN PROGRESS

[illegible]

## ATTACHMENT B

**SCHEDULE B**  
**PROJECTS COMPLETED**

<u>Name, Location and Description of Project</u>	<u>Owner</u>	<u>Architect or Engineer</u>	<u>Date Completed</u>	<u>Contract Price</u>	<u>Percent with Own Forces</u>	<u>Reference/Contract Include Address and</u>
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[illegible]

## ATTACHMENT C

**SCHEDULE C**  
**PERSONNEL**

[illegible]

GENERAL CONDITIONS  
OF THE CONSTRUCTION CONTRACT

Adapted from EJCDC C-700, Standard General Conditions  
of the Construction Contract (2007 Edition)

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## GENERAL CONDITIONS

### ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

#### 1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents and printed with initial capital letters, the terms listed below will have the meanings indicated which are applicable to both the singular and plural thereof. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda* – Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  2. *Agreement* – The written instrument which is evidence of the agreement between Owner and Contractor covering the Work.
  3. *Application for Payment* – The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  4. *Asbestos* – Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.
  5. *Bid* – The offer or proposal of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  6. *Bidder* – The individual or entity who submits a Bid directly to Owner.
  7. *Bidding Documents* – The Bidding Requirements and the proposed Contract Documents (including all Addenda).
  8. *Bidding Requirements* – The Advertisement or Invitation to Bid, Instructions to Bidders, bid security of acceptable form, if any, and the Bid Form with any supplements.
  9. *Change Order* – A document recommended by Engineer which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.

10. *Claim* – A demand or assertion by Owner or Contractor seeking an adjustment of Contract Price or Contract Times, or both, or other relief with respect to the terms of the Contract. A demand for money or services by a third party is not a Claim.
11. *Contract* – The entire and integrated written agreement between the Owner and Contractor concerning the Work. The Contract supersedes prior negotiations, representations, or agreements, whether written or oral.
12. *Contract Documents* – Those items so designated in the Agreement. Only printed or hard copies of the items listed in the Agreement are Contract Documents. Approved Shop Drawings, other Contractor's submittals, and the reports and drawings of subsurface and physical conditions are not Contract Documents.
13. *Contract Price* – The moneys payable by Owner to Contractor for completion of the Work in accordance with the Contract Documents as stated in the Agreement (subject to the provisions of Paragraph 11.03 in the case of Unit Price Work).
14. *Contract Times* – The number of days or the dates stated in the Agreement to: (i) achieve Milestones, if any, (ii) achieve Substantial Completion; and (iii) complete the Work so that it is ready for final payment as evidenced by Engineer's written recommendation of final payment.
15. *Contractor* or *CONTRACTOR* – The individual or entity with whom Owner has entered into the Agreement.
16. *Cost of the Work* – See Paragraph 11.01.A for definition.
17. *Drawings* – That part of the Contract Documents prepared or approved by Engineer which graphically shows the scope, extent, and character of the Work to be performed by Contractor. Shop Drawings and other Contractor submittals are not Drawings as so defined.
18. *Effective Date of the Agreement* – The date indicated in the Agreement on which it becomes effective, but if no such date is indicated, it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.
19. *Engineer* or *ENGINEER* – The individual or entity named as such in the Agreement.
20. *Field Order* – A written order issued by Engineer which requires minor changes in the Work but which does not involve a change in the Contract Price or the Contract Times.
21. *General Requirements* – Sections of Division 01 of the Specifications.

22. *Hazardous Environmental Condition* – The presence at the Site of Asbestos, PCBs, Petroleum, Hazardous Waste, or Radioactive Material in such quantities or circumstances that may present a substantial danger to persons or property exposed thereto.
23. *Hazardous Waste* – The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time.
24. *Laws and Regulations; Laws or Regulations* – Any and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
25. *Liens* – Charges, security interests, or encumbrances upon Project funds, real property, or personal property.
26. *Milestone* – A principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.
27. *Notice of Award* – The written notice by Owner to the Successful Bidder stating that upon timely compliance by the Successful Bidder with the conditions precedent listed therein, Owner will sign and deliver the Agreement.
28. *Notice to Proceed* – A written notice given by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work under the Contract Documents.
29. *Owner or OWNER* – The individual or entity with whom Contractor has entered into the Agreement and for whom the Work is to be performed.
30. *PCBs* – Polychlorinated biphenyls.
31. *Petroleum* – Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Waste and crude oils.
32. *Progress Schedule* – A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.
33. *Project* – The total construction of which the Work to be performed under the Contract Documents may be the whole, or a part.

34. *Project Manual* – The bound documentary information prepared for bidding and constructing the Work. A listing of the contents of the Project Manual, which may be bound in one or more volumes, is contained in the table(s) of contents.
35. *Radioactive Material* – Source, special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.
36. *Resident Project Representative* – The authorized representative of Engineer who may be assigned to the Site or any part thereof.
37. *Samples* – Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.
38. *Schedule of Submittals* – A schedule, prepared and maintained by Contractor, of required submittals and the time requirements to support scheduled performance of related construction activities.
39. *Schedule of Values* – A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
40. *Shop Drawings* – All drawings, diagrams, illustrations, schedules, and other data or information which are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work.
41. *Site* – Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by Owner which are designated for the use of Contractor.
42. *Specifications* – That part of the Contract Documents consisting of written requirements for materials, equipment, systems, standards and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable thereto.
43. *Subcontractor* – An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work at the Site.
44. *Substantial Completion* – The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and

“substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.

- 45. *Successful Bidder* – The Bidder submitting a responsive Bid to whom Owner makes an award.
- 46. *Supplementary Conditions* – That part of the Contract Documents which amends or supplements these General Conditions.
- 47. *Supplier* – A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or Subcontractor.
- 48. *Underground Facilities* – All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
- 49. *Unit Price Work* – Work to be paid for on the basis of unit prices.
- 50. *Work* – The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction, and furnishing, installing, and incorporating all materials and equipment into such construction, all as required by the Contract Documents.
- 51. *Work Change Directive* – A written statement to Contractor issued on or after the Effective Date of the Agreement and signed by Owner and recommended by Engineer ordering an addition, deletion, or revision in the Work, or responding to differing or unforeseen subsurface or physical conditions under which the Work is to be performed or to emergencies. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Times.

## 1.02 Terminology

- A. The words and terms referenced in this Paragraph 1.02 are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. *Intent of Certain Terms or Adjectives*



1. The Contract Documents include the terms “as allowed”, “as approved”, “as ordered”, “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action or determination will be solely to evaluate, in general, the Work for compliance with information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.09 or any other provision of the Contract Documents.

C. *Day*

1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.

D. *Defective*

1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
  - a. does not conform to the Contract Documents, or
  - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents, or
  - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 14.04 or 14.05).

E. *Furnish, Install, Perform, Provide*

1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.

3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
  4. When “furnish,” “install,” “perform,” or “provide” is not used in connection with services, materials, or equipment in a context clearly requiring an obligation of Contractor, “provide” is implied.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

## ARTICLE 2 – PRELIMINARY MATTERS

### *2.01 Delivery of Bonds and Evidence of Insurance*

- A. When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Insurance:* Before any Work at the Site is started, Contractor and Owner shall each deliver to the other, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance which either of them or any additional insured may reasonably request) which Contractor and Owner respectively are required to purchase and maintain in accordance with Article 5.

### *2.02 Copies of Documents*

- A. Owner shall furnish to Contractor up to ten printed or hard copies of the Drawings and Project Manual. Additional copies will be furnished upon request at the cost of reproduction.

### *2.03 Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Agreement or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Agreement. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is earlier.

### *2.04 Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times

commence to run. No Work shall be done at the Site prior to the date on which the Contract Times commence to run.

#### 2.05 *Before Starting Construction*

- A. *Preliminary Schedules:* Within 10 days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), Contractor shall submit to Engineer for timely review:
  - 1. a preliminary Progress Schedule;
  - 2. a preliminary Schedule of Submittals; and
  - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

#### 2.06 *Preconstruction Conference; Designation of Authorized Representative*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.05.A, procedures for handling Shop Drawings and other submittals, processing Applications for Payment, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit instructions, receive information, render decisions relative to the Contract and otherwise act on behalf of each respective party.

#### 2.07 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference attended by Contractor, Engineer, and others as appropriate will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.05.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
  - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work nor interfere with or relieve

Contractor from Contractor's full responsibility therefor.

2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to component parts of the Work.

### ARTICLE 3 – CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

#### *3.01 Intent*

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any labor, documentation, services, materials, or equipment that reasonably may be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the indicated result will be provided whether or not specifically called for at no additional cost to Owner.
- C. Clarifications and interpretations of the Contract Documents shall be issued by Engineer as provided in Article 9.

#### *3.02 Reference Standards*

- A. Standards, Specifications, Codes, Laws, and Regulations
  1. Reference to standards, specifications, manuals, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard, specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
  2. No provision of any such standard, specification, manual or code, or any instruction of a Supplier shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees from those set forth in the Contract Documents. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants or subcontractors any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the

provisions of the Contract Documents.

### 3.03 *Reporting and Resolving Discrepancies*

#### A. Reporting Discrepancies

1. *Contractor's Review of Contract Documents Before Starting Work:* Before undertaking each part of the Work, Contractor shall carefully study and compare the Contract Documents and check and verify pertinent figures therein and all applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy which Contractor discovers or has actual knowledge of and shall obtain a written interpretation or clarification from Engineer before proceeding with any Work affected thereby.
2. *Contractor's Review of Contract Documents During Performance of Work:* If, during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents or between the Contract Documents and
  - a) any applicable Law or Regulation,
  - b) any standard, specification, manual or code, or,
  - c) any instruction of any Supplierthen Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 6.16.A) until an amendment or supplement to the Contract Documents has been issued by one of the methods indicated in Paragraph 3.04.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

#### B. *Resolving Discrepancies*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the Contract Documents shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between the provisions of the Contract Documents and:
  - a. the provisions of any standard, specification, manual, code, or the instruction of any Supplier (whether or not specifically incorporated by reference in the Contract Documents); or
  - b. the provisions of any Laws or Regulations applicable to the performance of the

Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

#### *3.04 Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended to provide for additions, deletions, and revisions in the Work or to modify the terms and conditions thereof by either a Change Order or a Work Change Directive.
- B. The requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, by one or more of the following ways:
  - 1. a Field Order;
  - 2. Engineer's approval of a Shop Drawing or Sample; (subject to the provisions of Paragraph 6.17.D.3); or
  - 3. Engineer's written interpretation or clarification.

#### *3.05 Reuse of Documents*

- A. Contractor and any Subcontractor or Supplier shall not:
  - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or Engineer's consultants, including electronic media editions; or
  - 2. reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

#### *3.06 Electronic Data*

- A. Unless otherwise stated in the Supplementary Conditions, the data furnished by Owner or Engineer to Contractor or by Contractor to Owner or Engineer that may be relied upon are limited to the printed copies (also known as hard copies). Files in electronic media format of text, data, graphics, or other types are furnished only for the convenience of the receiving party. Any conclusion or information obtained or derived from such electronic files will be at the user's sole risk. If there is a discrepancy between the electronic files and the hard copies, the hard copies govern.

- B. Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within 60 days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any errors detected within the 60-day acceptance period will be corrected by the transferring party.
- C. When transferring documents in electronic media format, the transferring party makes no representations as to long term compatibility, usability, or readability of documents resulting from the use of software application packages, operating systems, or computer hardware differing from those used by the data's creator.

ARTICLE 4 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS;  
HAZARDOUS ENVIRONMENTAL CONDITIONS; REFERENCE POINTS

*4.01 Availability of Lands*

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work. Owner will obtain in a timely manner and pay for easements for permanent structures or permanent changes in existing facilities. If Contractor and Owner are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, as a result of any delay in Owner's furnishing the Site or a part thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.
- B. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

*4.02 Subsurface and Physical Conditions*

- A. *Reports and Drawings:* The Supplementary Conditions identify:
  - 1. those reports of explorations and tests of subsurface conditions at or contiguous to the Site; that Engineer has used in preparing the Contract Documents; and
  - 2. those drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) that Engineer has used in preparing the Contract Documents.
- B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely on the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical

data,” Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants or subcontractors with respect to:

1. the completeness of such reports and drawings for Contractor’s purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
3. any Contractor interpretation of or conclusion drawn from any “technical data” or any such other data, interpretations, opinions, or information.

#### *4.03 Differing Subsurface or Physical Conditions*

A. *Notice:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed either:

1. is of such a nature as to establish that any “technical data” on which Contractor is entitled to rely as provided in Paragraph 4.02 is materially inaccurate; or
2. is of such a nature as to require a change in the Contract Documents; or
3. differs materially from that shown or indicated in the Contract Documents; or
4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except as aforesaid) until receipt of written order to do so.

B. *Engineer’s Review:* After receipt of written notice as required by Paragraph 4.03.A, Engineer will promptly review the pertinent condition, determine the necessity of Owner’s obtaining additional exploration or tests with respect thereto, and advise Owner in writing (with a copy to Contractor) of Engineer’s findings and conclusions.

C. *Possible Price and Times Adjustments*

1. The Contract Price or the Contract Times, or both, will be equitably adjusted to the



extent that the existence of such differing subsurface or physical condition causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. such condition must meet any one or more of the categories described in Paragraph 4.03.A; and
  - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraphs 9.07 and 11.03.
2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times if:
  - a. Contractor knew of the existence of such conditions at the time Contractor made a final commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract; or
  - b. the existence of such condition could reasonably have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such final commitment; or
  - c. Contractor failed to give the written notice as required by Paragraph 4.03.A.
3. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, a Claim may be made therefor as provided in Paragraph 10.05. However, neither Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants or subcontractors shall be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.

#### 4.04 *Underground Facilities*

- A. *Shown or Indicated:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
  1. Owner and Engineer shall not be responsible for the accuracy or completeness of any such information or data provided by others; and

2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
  - a. reviewing and checking all such information and data,
  - b. locating all Underground Facilities shown or indicated in the Contract Documents,
  - c. coordination of the Work with the owners of such Underground Facilities, including Owner, during construction, and
  - d. the safety and protection of all such Underground Facilities and repairing any damage thereto resulting from the Work.

**B. *Not Shown or Indicated***

1. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown or indicated with reasonable accuracy in the Contract Documents, Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer. Engineer will promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
2. If Engineer concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Price or Contract Times, or both, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated or not shown or indicated with reasonable accuracy in the Contract Documents and that Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment in Contract Price or Contract Times, Owner or Contractor may make a Claim therefor as provided in Paragraph 10.05.

**4.05 *Reference Points***

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the

Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

#### 4.06 *Hazardous Environmental Condition at Site*

- A. *Reports and Drawings:* The Supplementary Conditions identify those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at the Site.,
- B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the “technical data” contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such “technical data” is identified in the Supplementary Conditions. Except for such reliance on such “technical data,” Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants or subcontractors with respect to:
  - 1. the completeness of such reports and drawings for Contractor’s purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
  - 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
  - 3. any Contractor interpretation of or conclusion drawn from any “technical data” or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work. Contractor shall be responsible for a Hazardous Environmental Condition created with any materials brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible.
- D. If Contractor encounters a Hazardous Environmental Condition or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, Contractor shall immediately: (i) secure or otherwise isolate such condition; (ii) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 6.16.A); and (iii) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall

promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 4.06.E.

- E. Contractor shall not be required to resume Work in connection with such condition or in any affected area until after Owner has obtained any required permits related thereto and delivered to Contractor written notice:: (i) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work; or (ii) specifying any special conditions under which such Work may be resumed safely. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, either party may make a Claim therefor as provided in Paragraph 10.05.
- F. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of an adjustment in Contract Price or Contract Times as a result of deleting such portion of the Work, then either party may make a Claim therefor as provided in Paragraph 10.05. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 7.
- G. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06.G shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's sole negligence.
- H. The provisions of Paragraphs 4.02, 4.03, and 4.04 do not apply to a Hazardous Environmental Condition uncovered or revealed at the Site.

## ARTICLE 5 – BONDS AND INSURANCE

### *5.01 Performance, Payment, and Other Bonds*

- A. Contractor shall furnish performance and payment bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all

of Contractor's obligations under the Contract Documents. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 13.07, whichever is later, except as provided otherwise by Laws or Regulations or by the Contract Documents. Contractor shall also furnish such other bonds as are required by the Contract Documents.

- B. All bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. All bonds signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed each bond.
- C. If the surety on any bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of Paragraph 5.01.B, Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the requirements of Paragraphs 5.01.B and 5.02.

#### *5.02 Licensed Sureties and Insurers*

- A. All bonds and insurance required by the Contract Documents to be purchased and maintained by Owner or Contractor shall be obtained from surety or insurance companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds or insurance policies for the limits and coverages so required. Such surety and insurance companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary Conditions.

#### *5.03 Certificates of Insurance*

- A. Contractor shall deliver to Owner, with copies to each additional insured and loss payee identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Owner or any other additional insured) which Contractor is required to purchase and maintain.
- B. Owner shall deliver to Contractor, with copies to each additional insured and loss payee identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Contractor or any other additional insured) which Owner is required to purchase and maintain.
- C. Failure of Owner to demand such certificates or other evidence of Contractor's full

compliance with these insurance requirements or failure of Owner to identify a deficiency in compliance from the evidence provided shall not be construed as a waiver of Contractor's obligation to maintain such insurance.

- D. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor.
- E. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner in the Contract Documents.

5.04 *Contractor's Liability Insurance*

- A. Contractor shall purchase and maintain such insurance as is appropriate for the Work being performed and as will provide protection from claims set forth below which may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable:
  - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts;
  - 2. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees;
  - 3. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees;
  - 4. claims for damages insured by reasonably available personal injury liability coverage which are sustained:
    - a. by any person as a result of an offense directly or indirectly related to the employment of such person by Contractor, or
    - b. by any other person for any other reason;
  - 5. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom; and
  - 6. claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.
- B. The policies of insurance required by this Paragraph 5.04 shall:

1. with respect to insurance required by Paragraphs 5.04.A.3 through 5.04.A.6 inclusive, be written on an occurrence basis, include as additional insureds (subject to any customary exclusion regarding professional liability) Owner and Engineer, and any other individuals or entities identified in the Supplementary Conditions, all of whom shall be listed as additional insureds, and include coverage for the respective officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of all such additional insureds, and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby;
2. include at least the specific coverages and be written for not less than the limits of liability provided in the Supplementary Conditions or required by Laws or Regulations, whichever is greater;
3. include contractual liability insurance covering Contractor's indemnity obligations under Paragraphs 6.11 and 6.20;
4. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other additional insured identified in the Supplementary Conditions to whom a certificate of insurance has been issued (and the certificates of insurance furnished by the Contractor pursuant to Paragraph 5.03 will so provide);
5. remain in effect at least until final payment and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work in accordance with Paragraph 13.07; and
6. include completed operations insurance;
  - a. such insurance shall remain in effect for at least two years after final payment, and
  - b. Contractor shall furnish Owner and each other additional insured identified in the Supplementary Conditions, to whom a certificate of insurance has been issued, evidence satisfactory to Owner and any such additional insured of continuation of such insurance at final payment and one year thereafter.

#### *5.05 Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 5.04, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.

5.06 (Not Used)

5.07 (Not Used)

5.08 (Not Used)

5.09 (Not Used)

*5.10 Acceptance of Bonds and Insurance; Option to Replace*

- A. If either Owner or Contractor has any objection to the coverage afforded by or other provisions of the bonds or insurance required to be purchased and maintained by the other party in accordance with Article 5 on the basis of non-conformance with the Contract Documents, the objecting party shall so notify the other party in writing within 10 days after receipt of the certificates (or other evidence requested) required by Paragraph 2.01.B. Owner and Contractor shall each provide to the other such additional information in respect of insurance provided as the other may reasonably request. If either party does not purchase or maintain all of the bonds and insurance required of such party by the Contract Documents, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage. Without prejudice to any other right or remedy, the other party may elect to obtain equivalent bonds or insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and a Change Order shall be issued to adjust the Contract Price accordingly.

ARTICLE 6 – CONTRACTOR'S RESPONSIBILITIES

*6.01 Supervision and Superintendence*

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction. Contractor shall not be responsible for the negligence of Owner or Engineer in the design or specification of a specific means, method, technique, sequence, or procedure of construction which is shown or indicated in and expressly required by the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances. Unless the Owner shall otherwise agree in writing, the superintendent will be Contractor's representative at the Site and shall have authority to act on behalf of Contractor. All communications given to or



received from the superintendent shall be binding on Contractor.

#### *6.02 Labor; Working Hours*

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours. Contractor will not permit the performance of Work on a Saturday, Sunday, or any legal holiday without Owner's written consent (which will not be unreasonably withheld) given after prior written notice to Engineer.

#### *6.03 Services, Materials, and Equipment*

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start-up, and completion of the Work.
- B. All materials and equipment incorporated into the Work shall be as specified or, if not specified, shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

#### *6.04 Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.07 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.07) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times. Such adjustments will comply with any provisions of the General Requirements applicable thereto.
  - 2. Proposed adjustments in the Progress Schedule that will change the Contract

Times shall be submitted in accordance with the requirements of Article 12. Adjustments in Contract Times may only be made by a Change Order.

6.05 *Substitutes and “Or-Equals”*

A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the specification or description is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or “or-equal” item or no substitution is permitted, other items of material or equipment or material or equipment of other Suppliers may be submitted to Engineer for review under the circumstances described below.

1. *“Or-Equal” Items:* If in Engineer’s sole discretion an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, it may be considered by Engineer as an “or-equal” item, in which case review and approval of the proposed item may, in Engineer’s sole discretion, be accomplished without compliance with some or all of the requirements for approval of proposed substitute items. For the purposes of this Paragraph 6.05.A.1, a proposed item of material or equipment will be considered functionally equal to an item so named if:

a. in the exercise of reasonable judgment Engineer determines that:

- 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics; and
- 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole; and
- 3) it has a proven record of performance and availability of responsive service; and

b. Contractor certifies that, if approved and incorporated into the Work:

- 1) there will be no increase in cost to the Owner or increase in Contract Times; and
- 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.

2. *Substitute Items*

- a. If in Engineer's sole discretion an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item under Paragraph 6.05.A.1, it will be considered a proposed substitute item.
- b. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is essentially equivalent to that named and an acceptable substitute therefor. Requests for review of proposed substitute items of material or equipment will not be accepted by Engineer from anyone other than Contractor.
- c. The requirements for review by Engineer will be as set forth in Paragraph 6.05.A.2.d, as supplemented by the General Requirements and as Engineer may decide is appropriate under the circumstances.
- d. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
  - 1) shall certify that the proposed substitute item will:
    - a) perform adequately the functions and achieve the results called for by the general design,
    - b) be similar in substance to that specified, and
    - c) be suited to the same use as that specified;
  - 2) will state:
    - a) the extent, if any, to which the use of the proposed substitute item will prejudice Contractor's achievement of Substantial Completion on time,
    - b) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
    - c) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty;
  - 3) will identify:
    - a) all variations of the proposed substitute item from that specified, and

- b) available engineering, sales, maintenance, repair, and replacement services; and
  - 4) shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including costs of redesign and claims of other contractors affected by any resulting change.
- B. *Substitute Construction Methods or Procedures:* If a specific means, method, technique, sequence, or procedure of construction is expressly required by the Contract Documents, Contractor may furnish or utilize a substitute means, method, technique, sequence, or procedure of construction approved by Engineer. Contractor shall submit sufficient information to allow Engineer, in Engineer's sole discretion, to determine that the substitute proposed is equivalent to that expressly called for by the Contract Documents. The requirements for review by Engineer will be similar to those provided in Paragraph 6.05.A.2.
- C. *Engineer's Evaluation:* Engineer will be allowed a reasonable time within which to evaluate each proposal or submittal made pursuant to Paragraphs 6.05.A and 6.05.B. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No "or equal" or substitute will be ordered, installed or utilized until Engineer's review is complete, which will be evidenced by a Change Order in the case of a substitute and an approved Shop Drawing for an "or equal." Engineer will advise Contractor in writing of any negative determination.
- D. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- E. *Engineer's Cost Reimbursement:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor pursuant to Paragraphs 6.05.A.2 and 6.05.B. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- F. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute or "or-equal" at Contractor's expense.

#### 6.06 Concerning Subcontractors, Suppliers, and Others

- A. Contractor shall not employ any Subcontractor, Supplier, or other individual or entity (including those acceptable to Owner as indicated in Paragraph 6.06.B), whether initially or as a replacement, against whom Owner may have reasonable objection. Contractor shall not be required to employ any Subcontractor, Supplier, or other

individual or entity to furnish or perform any of the Work against whom Contractor has reasonable objection.

- B. If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, or other individuals or entities to be submitted to Owner in advance for acceptance by Owner by a specified date prior to the Effective Date of the Agreement, and if Contractor has submitted a list thereof in accordance with the Supplementary Conditions, Owner's acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the Bidding Documents or the Contract Documents) of any such Subcontractor, Supplier, or other individual or entity so identified may be revoked on the basis of reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity, and the Contract Price will be adjusted by the difference in the cost occasioned by such replacement, and an appropriate Change Order will be issued. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of any right of Owner or Engineer to reject defective Work.
- C. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions. Nothing in the Contract Documents:
  - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier or other individual or entity; nor
  - 2. shall anything in the Contract Documents create any obligation on the part of Owner or Engineer to pay or to see to the payment of any moneys due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.
- D. Contractor shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work under a direct or indirect contract with Contractor.
- E. Contractor shall require all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work to communicate with Engineer through Contractor.
- F. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- G. All Work performed for Contractor by a Subcontractor or Supplier will be pursuant to

an appropriate agreement between Contractor and the Subcontractor or Supplier which specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer. Whenever any such agreement is with a Subcontractor or Supplier who is listed as a loss payee on the property insurance provided in Paragraph 5.06, the agreement between the Contractor and the Subcontractor or Supplier will contain provisions whereby the Subcontractor or Supplier waives all rights against Owner, Contractor, Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or loss payees (and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them) for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work. If the insurers on any such policies require separate waiver forms to be signed by any Subcontractor or Supplier, Contractor will obtain the same.

#### 6.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if to the actual knowledge of Owner or Engineer its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

#### 6.08 *Permits*

- A. Unless otherwise provided in the Supplementary Conditions, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of opening of Bids, or, if there are no Bids, on the Effective Date of the Agreement. Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

#### 6.09 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work. However, it shall not be Contractor's primary responsibility to make certain that the Specifications and Drawings are in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Changes in Laws or Regulations not known at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids) having an effect on the cost or time of performance of the Work shall be the subject of an adjustment in Contract Price or Contract Times. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

#### 6.10 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

#### 6.11 *Use of Site and Other Areas*

- A. *Limitation on Use of Site and Other Areas*
  - 1. Contractor shall confine construction equipment, the storage of materials and equipment, and the operations of workers to the Site and other areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and other areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof, or of any adjacent land or areas resulting from the performance of the Work.
  - 2. Should any claim be made by any such owner or occupant because of the performance of the Work, Contractor shall promptly settle with such other party by negotiation or otherwise resolve the claim by arbitration or other dispute

resolution proceeding or at law.

3. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused by or based upon Contractor's performance of the Work.
- B. *Removal of Debris During Performance of the Work:* During the progress of the Work Contractor shall keep the Site and other areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
  - C. *Cleaning:* Prior to Substantial Completion of the Work, Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
  - D. *Loading Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

#### 6.12 Record Documents

- A. Contractor shall maintain in a safe place at the Site one record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, and written interpretations and clarifications in good order and annotated to show changes made during construction. These record documents together with all approved Samples and a counterpart of all approved Shop Drawings will be available to Engineer for reference. Upon completion of the Work, these record documents, Samples, and Shop Drawings will be delivered to Engineer for Owner.

#### 6.13 Safety and Protection

- A. Contractor shall be solely responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons and property in the performance of their work nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:



1. all persons on the Site or who may be affected by the Work;
  2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify owners of adjacent property and of Underground Facilities and other utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property.
- C. Contractor shall comply with the applicable requirements of Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety programs with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 6.13.A.2 or 6.13.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- F. Contractor's duties and responsibilities for safety and for protection of the Work shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 14.07.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

#### *6.14 Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site

whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

#### *6.15 Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

#### *6.16 Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

#### *6.17 Shop Drawings and Samples*

- A. Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals (as required by Paragraph 2.07). Each submittal will be identified as Engineer may require.

##### *1. Shop Drawings*

- a. Submit number of copies specified in the General Requirements.
- b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 6.17.D.

##### *2. Samples*

- a. Submit number of Samples specified in the Specifications.
- b. Clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 6.17.D.

B. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

C. *Submittal Procedures*

1. Before submitting each Shop Drawing or Sample, Contractor shall have:
  - a. reviewed and coordinated each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents.;
  - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
  - c. determined and verified the suitability of all materials offered with respect to indicated use, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
  - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review and approval of that submittal.
3. With each submittal, Contractor shall give Engineer specific written notice of any variations, that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be both a written communication separate from the Shop Drawing or Sample submittal; and, in addition, by a specific notation made on each Shop Drawing or Sample submitted to Engineer for review and approval of each such variation.

D. *Engineer's Review*

1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
2. Engineer's review and approval will not extend to means, methods, techniques,

sequences, or procedures of construction (except where a particular means, method, technique, sequence, or procedure of construction is specifically and expressly called for by the Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.

3. Engineer's review and approval shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 6.17.C.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer's review and approval shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 6.17.C.1.

#### *E. Resubmittal Procedures*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.

#### *6.18 Continuing the Work*

- A. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by Paragraph 15.04 or as Owner and Contractor may otherwise agree in writing.

#### *6.19 Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective for a minimum period of one (1) year. Engineer and its officers, directors, members, partners, employees, agents, consultants and subcontractors shall be entitled to rely on representation of Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
  2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the

Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:

1. observations by Engineer;
2. recommendation by Engineer or payment by Owner of any progress or final payment;
3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
4. use or occupancy of the Work or any part thereof by Owner;
5. any review and approval of a Shop Drawing or Sample submittal or the issuance of a notice of acceptability by Engineer;
6. any inspection, test, or approval by others; or
7. any correction of defective Work by Owner.

#### 6.20 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage:
  1. is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of real or personal property (other than the Work itself), including the loss of use resulting therefrom; and
  2. is caused by any act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable, regardless of whether or not caused in part by an individual or entity indemnified hereunder or whether liability is imposed upon such indemnified party by Laws or Regulations.
- B. In any and all claims against Owner or Engineer or any of their , officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor,

- any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 6.20.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 6.20.A shall not be limited in any way by the amount or types of insurance provided by Contractor under Article 5 of the General Conditions.
  - D. The indemnification obligations of Contractor under Paragraph 6.20.A shall not extend to the sole negligence or willful misconduct of Owner or Engineer or of the officers, directors, members, partners, employees, agents, and consultants and subcontractors of each and any of them.

#### *6.21 Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable law.
- B. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.
- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this Paragraph 6.21, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 6.17.D.1.

- E. Contractor shall not be responsible for the adequacy of the performance or design criteria required by the Contract Documents.

## ARTICLE 7 – OTHER WORK AT THE SITE

### *7.01 Related Work at Site*

- A. Owner may perform other work related to the Project at the Site with Owner's employees, or through other direct contracts therefor, or have other work performed by utility owners. If such other work is not noted in the Contract Documents, then:
  - 1. written notice thereof will be given to Contractor prior to starting any such other work; and
  - 2. if Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times that should be allowed as a result of such other work, a Claim may be made therefor as provided in Paragraph 10.05.
- B. Contractor shall afford each other contractor who is a party to such a direct contract, each utility owner and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work, and shall properly coordinate the Work with theirs. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, Contractor may cut or alter the work of others with the written consent of Engineer and the others whose work will be affected. The duties and responsibilities of Contractor under this Paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of Contractor in said direct contracts between Owner and such utility owners and other contractors.
- C. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 7, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

## *7.02 Legal Relationships*

- A. Paragraph 7.01.A is not applicable for utilities not under the control of Owner.
- B. Each other direct contract of Owner under Paragraph 7.01.A shall provide that the other contractor is liable to Owner and Contractor for the reasonable direct delay and disruption costs incurred by Contractor as a result of the other contractor's actions or inactions.
- C. Contractor shall be liable to Owner and any other contractor under direct contract to Owner for the reasonable direct delay and disruption costs incurred by such other contractor as a result of Contractor's action or inactions.

## ARTICLE 8 – OWNER'S RESPONSIBILITIES

### *8.01 Communications to Contractor*

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

### *8.02 Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

### *8.03 Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in Paragraphs 14.02.C and 14.07.C.

### *8.04 Lands and Easements; Reports and Tests*

- A. Owner's duties with respect to providing lands and easements and providing engineering surveys to establish reference points are set forth in Paragraphs 4.01 and 4.05. Paragraph 4.02 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of subsurface conditions and drawings of physical conditions relating to existing surface or subsurface structures at or contiguous to the Site.

### *8.05 Insurance*

- A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 5.



#### 8.06 *Change Orders*

- A. Owner is obligated to execute Change Orders as indicated in Paragraph 10.03.

#### 8.07 *Inspections, Tests, and Approvals*

- A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 13.03.B.

#### 8.08 *Limitations on Owner's Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

#### 8.09 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 4.06.

#### 8.10 *Evidence of Financial Arrangements*

- A. If and to the extent Owner has agreed to furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents, Owner's responsibility in respect thereof will be as set forth in the Supplementary Conditions.

#### 8.11 *Compliance With Safety Programs*

- A. While on the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed pursuant to Paragraph 6.13.B.

### ARTICLE 9 – ENGINEER'S STATUS DURING CONSTRUCTION

#### 9.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract Documents and will not be changed without written consent of Owner and Engineer.

#### 9.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 9.09. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, or have control over Contractor's Work, nor shall Engineer have authority over or responsibility for the means, methods, techniques, sequences, or procedures of construction selected by Contractor, for safety precautions and programs incident to Contractor's Work in progress, nor for any failure of Contractor to comply with Laws and Regulations applicable to Contractor's furnishing and performing the Work.

#### 9.03 *Project Representative*

- A. If Owner and Engineer agree, Engineer will furnish a Resident Project Representative to assist Engineer in providing more extensive observation of the Work. The authority and responsibilities of any such Resident Project Representative and assistants will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 9.09. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

#### 9.04 *Authorized Variations in Work*

- A. Engineer may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. These may be accomplished by a Field Order and will be binding on Owner and also on Contractor, who shall perform the Work involved promptly. If Owner or Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both,

and the parties are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

#### *9.05 Rejecting Defective Work*

- A. Engineer will have authority to reject Work which Engineer believes to be defective, or that Engineer believes will not produce a completed Project that conforms to the Contract Documents or that will prejudice the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Engineer will also have authority to require special inspection or testing of the Work as provided in Paragraph 13.04, whether or not the Work is fabricated, installed, or completed.

#### *9.06 Shop Drawings, Change Orders and Payments*

- A. In connection with Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, see Paragraph 6.17.
- B. In connection with Engineer's authority, and limitations thereof, if any,
  - 1. as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, see Paragraph 6.21;
  - 2. as to Change Orders, see Articles 10, 11, and 12; and
  - 3. as to Applications for Payment, see Article 14.

#### *9.07 Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of Paragraph 10.05.

#### *9.08 Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. All matters in question and other matters between Owner and Contractor arising prior to the date final payment is due relating to the acceptability of the Work, and the interpretation of the requirements of the Contract Documents pertaining to the performance of the Work, will be referred initially to Engineer in writing within 30 days of the event giving rise to the question.

- B. Engineer will, with reasonable promptness, render a written decision on the issue referred. If Owner or Contractor believes that any such decision entitles them to an adjustment in the Contract Price or Contract Times or both, a Claim may be made under Paragraph 10.05. The date of Engineer's decision shall be the date of the event giving rise to the issues referenced for the purposes of Paragraph 10.05.B.
- C. Engineer's written decision on the issue referred will be final and binding on Owner and Contractor, subject to the provisions of Paragraph 10.05.
- D. When functioning as interpreter and judge under this Paragraph 9.08, Engineer will not show partiality to Owner or Contractor and will not be liable in connection with any interpretation or decision rendered in good faith in such capacity.

*9.09 Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 9 or under any other provision of the Contract Documents nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 14.07.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 9.09 shall also apply to the Resident Project Representative, if any, and assistants, if any.

#### 9.10 *Compliance with Safety Programs*

- A. While on the Site, Engineer's employees and representatives shall comply with the specific applicable requirements of the Contractor's safety programs of which Engineer has been informed pursuant to Paragraph 6.13.C.

### ARTICLE 10 – CHANGES IN THE WORK; CLAIMS

#### 10.01 *Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work by a Change Order, or a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).
- B. If Owner and Contractor are unable to agree on entitlement to, or on the amount or extent, if any, of an adjustment in the Contract Price or Contract Times, or both, that should be allowed as a result of a Work Change Directive, a Claim may be made therefor as provided in Paragraph 10.05.

#### 10.02 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents as amended, modified, or supplemented as provided in Paragraph 3.04, except in the case of an emergency as provided in Paragraph 6.16 or in the case of uncovering Work as provided in Paragraph 13.04.B.

#### 10.03 *Execution of Change Orders*

- A. Owner and Contractor shall execute appropriate Change Orders recommended by Engineer covering:
  - 1. changes in the Work which are:
    - a) ordered by Owner pursuant to Paragraph 10.01.A,
    - b) required because of acceptance of defective Work under Paragraph 13.08.A or Owner's correction of defective Work under Paragraph 13.09, or
    - c) agreed to by the parties;

2. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive; and
3. changes in the Contract Price or Contract Times which embody the substance of any written decision rendered by Engineer pursuant to Paragraph 10.05; provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal, Contractor shall carry on the Work and adhere to the Progress Schedule as provided in Paragraph 6.18.A.

#### 10.04 *Notification to Surety*

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

#### 10.05 *Claims*

- A. *Engineer's Decision Required:* All Claims, except those waived pursuant to Paragraph 14.09, shall be referred to the Engineer for decision. A decision by Engineer shall be required as a condition precedent to any exercise by Owner or Contractor of any rights or remedies either may otherwise have under the Contract Documents or by Laws and Regulations in respect of such Claims.
- B. *Notice:* Written notice stating the general nature of each Claim shall be delivered by the claimant to Engineer and the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto. The responsibility to substantiate a Claim shall rest with the party making the Claim. Notice of the amount or extent of the Claim, with supporting data shall be delivered to the Engineer and the other party to the Contract within 60 days after the start of such event (unless Engineer allows additional time for claimant to submit additional or more accurate data in support of such Claim). A Claim for an adjustment in Contract Price shall be prepared in accordance with the provisions of Paragraph 12.01.B. A Claim for an adjustment in Contract Times shall be prepared in accordance with the provisions of Paragraph 12.02.B. Each Claim shall be accompanied by claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant believes it is entitled as a result of said event. The opposing party shall submit any response to Engineer and the claimant within 30 days after receipt of the claimant's last submittal (unless Engineer allows additional time).
- C. *Engineer's Action:* Engineer will review each Claim and, within 30 days after receipt of the last submittal of the claimant or the last submittal of the opposing party, if any,

take one of the following actions in writing:

1. deny the Claim in whole or in part,
  2. approve the Claim, or
  3. notify the parties that the Engineer is unable to resolve the Claim if, in the Engineer's sole discretion, it would be inappropriate for the Engineer to do so. For purposes of further resolution of the Claim, such notice shall be deemed a denial.
- D. In the event that Engineer does not take action on a Claim within said 30 days, the Claim shall be deemed denied.
- E. Engineer's written action under Paragraph 10.05.C or denial pursuant to Paragraphs 10.05.C.3 or 10.05.D will be final and binding upon Owner and Contractor, unless Owner or Contractor invoke the dispute resolution procedure set forth in Article 16 within 30 days of such action or denial.
- F. No Claim for an adjustment in Contract Price or Contract Times will be valid if not submitted in accordance with this Paragraph 10.05.

## ARTICLE 11 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

### 11.01 *Cost of the Work*

- A. *Costs Included:* The term Cost of the Work means the sum of all costs, except those excluded in Paragraph 11.01.B, necessarily incurred and paid by Contractor in the proper performance of the Work. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, the costs to be reimbursed to Contractor will be only those additional or incremental costs required because of the change in the Work or because of the event giving rise to the Claim. Except as otherwise may be agreed to in writing by Owner, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 11.01.B, and shall include only the following items:
1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, vacation and

holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.

2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 11.01.
4. Costs of special consultants (including but not limited to Engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
  - c. Rentals of all construction equipment and machinery, and the parts thereof whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - d. Sales, consumer, use, and other similar taxes related to the Work, and for which



Contractor is liable, imposed by Laws and Regulations.

- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 5.06.D), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.
- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as telegrams, long distance telephone calls, telephone service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance Contractor is required by the Contract Documents to purchase and maintain.

B. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:

- 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 11.01.A.1 or specifically covered by Paragraph 11.01.A.4, all of which are to be considered administrative costs covered by the Contractor's fee.
- 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
- 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.

4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraphs 11.01.A.
- C. *Contractor's Fee:* When all the Work is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 12.01.C.
- D. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to Paragraphs 11.01.A and 11.01.B, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

#### 11.02 *Allowances*

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. *Cash Allowances*
1. Contractor agrees that:
    - a. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
    - b. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*
1. Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.

- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

#### 11.03 *Unit Price Work*

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by Contractor will be made by Engineer subject to the provisions of Paragraph 9.07.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Owner or Contractor may make a Claim for an adjustment in the Contract Price in accordance with Paragraph 10.05 if:
  - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
  - 2. there is no corresponding adjustment with respect to any other item of Work; and
  - 3. Contractor believes that Contractor is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price and the parties are unable to agree as to the amount of any such increase or decrease.

### ARTICLE 12 – CHANGE OF CONTRACT PRICE; CHANGE OF CONTRACT TIMES

#### 12.01 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Claim for an adjustment in the Contract Price shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.
- B. The value of any Work covered by a Change Order or of any Claim for an adjustment

in the Contract Price will be determined as follows:

1. where the Work involved is covered by unit prices contained in the Contract Documents, by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 11.03); or
  2. where the Work involved is not covered by unit prices contained in the Contract Documents, by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 12.01.C.2); or
  3. where the Work involved is not covered by unit prices contained in the Contract Documents and agreement to a lump sum is not reached under Paragraph 12.01.B.2, on the basis of the Cost of the Work (determined as provided in Paragraph 11.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 12.01.C).
- C. *Contractor's Fee:* The Contractor's fee for overhead and profit shall be determined as follows:
1. a mutually acceptable fixed fee; or
  2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. for costs incurred under Paragraphs 11.01.A.1 and 11.01.A.2, the Contractor's fee shall be 15 percent;
    - b. for costs incurred under Paragraph 11.01.A.3, the Contractor's fee shall be five percent;
    - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraph 12.01.C.2.a and 12.01.C.2.b is that the Subcontractor who actually performs the Work, at whatever tier, will be paid a fee of 15 percent of the costs incurred by such Subcontractor under Paragraphs 11.01.A.1 and 11.01.A.2 and that any higher tier Subcontractor and Contractor will each be paid a fee of five percent of the amount paid to the next lower tier Subcontractor;
    - d. no fee shall be payable on the basis of costs itemized under Paragraphs 11.01.A.4, 11.01.A.5, and 11.01.B;
    - e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and

- f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 12.01.C.2.a through 12.01.C.2.e, inclusive.

#### 12.02 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Claim for an adjustment in the Contract Times shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.
- B. Any adjustment of the Contract Times covered by a Change Order or any Claim for an adjustment in the Contract Times will be determined in accordance with the provisions of this Article 12.

#### 12.03 *Delays*

- A. Where Contractor is prevented from completing any part of the Work within the Contract Times due to delay beyond the control of Contractor, the Contract Times will be extended in an amount equal to the time lost due to such delay if a Claim is made therefor as provided in Paragraph 12.02.A. Delays beyond the control of Contractor shall include, but not be limited to, acts or neglect by Owner, acts or neglect of utility owners or other contractors performing other work as contemplated by Article 7, fires, floods, epidemics, abnormal weather conditions, or acts of God.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delays within the control of Contractor. Delays attributable to and within the control of a Subcontractor or Supplier shall be deemed to be delays within the control of Contractor.
- C. If Owner, Engineer, or utility owners performing other work for Owner as contemplated by Article 7, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- D. If Contractor is delayed in the performance or progress of the Work by fire, flood, epidemic, abnormal weather conditions, acts of God, acts or failures to act of other contractors or utility owners, or other causes not the fault of and beyond control of Owner and Contractor, then Contractor shall be entitled to an equitable adjustment in Contract Times, if such adjustment is essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays described in this Paragraph 12.03.D.

- E. Owner and Engineer and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each of them shall not be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.

### ARTICLE 13 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

#### 13.01 *Notice of Defects*

- A. Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor. Defective Work may be rejected, corrected, or accepted as provided in this Article 13.

#### 13.02 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and governmental agencies with jurisdictional interests will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's Site safety procedures and programs so that they may comply therewith as applicable.

#### 13.03 *Tests and Inspections*

- A. Contractor shall give Engineer timely notice of readiness of the Work for all required inspections, tests, or approvals and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.
- B. Owner shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents except:
  - 1. for inspections, tests, or approvals covered by Paragraphs 13.03.C and 13.03.D below;
  - 2. that costs incurred in connection with tests or inspections conducted pursuant to Paragraph 13.04.B shall be paid as provided in said Paragraph 13.04.C; and
  - 3. as otherwise specifically provided in the Contract Documents.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other

representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.

- D. Contractor shall be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests, or approvals required for Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work; or acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work. Such inspections, tests, or approvals shall be performed by organizations acceptable to Owner and Engineer.
- E. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall if requested by Engineer, uncover such Work for observation.
- F. Uncovering Work as provided in Paragraph 13.03.E shall be at Contractor's expense unless Contractor has given Engineer timely notice of Contractor's intention to cover the same and Engineer has not acted with reasonable promptness in response to such notice.

#### 13.04 *Uncovering Work*

- A. If any Work is covered contrary to the written request of Engineer, it must, if requested by Engineer, be uncovered for Engineer's observation and replaced at Contractor's expense.
- B. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, furnishing all necessary labor, material, and equipment.
- C. If it is found that the uncovered Work is defective, Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05.
- D. If, the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or

extent thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.

13.05 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

13.06 *Correction or Removal of Defective Work*

- A. Promptly after receipt of written notice, Contractor shall correct all defective Work, whether or not fabricated, installed, or completed, or, if the Work has been rejected by Engineer, remove it from the Project and replace it with Work that is not defective. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or removal (including but not limited to all costs of repair or replacement of work of others).
- B. When correcting defective Work under the terms of this Paragraph 13.06 or Paragraph 13.07, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.

13.07 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents) or by any specific provision of the Contract Documents, any Work is found to be defective, or if the repair of any damages to the land or areas made available for Contractor's use by Owner or permitted by Laws and Regulations as contemplated in Paragraph 6.11.A is found to be defective, Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. repair such defective land or areas; or
  - 2. correct such defective Work; or
  - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
  - 4. satisfactorily correct or repair or remove and replace any damage to other Work,



to the work of others or other land or areas resulting therefrom.

- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others) will be paid by Contractor.
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this Paragraph 13.07, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- E. Contractor's obligations under this Paragraph 13.07 are in addition to any other obligation or warranty. The provisions of this Paragraph 13.07 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitation or repose.

13.08 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner (and, prior to Engineer's recommendation of final payment, Engineer) prefers to accept it, Owner may do so. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness) and for the diminished value of the Work to the extent not otherwise paid by Contractor pursuant to this sentence. If any such acceptance occurs prior to Engineer's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work, and Owner shall be entitled to an appropriate decrease in the Contract Price, reflecting the diminished value of Work so accepted. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05. If the acceptance occurs after such recommendation, an appropriate amount will be paid by Contractor to Owner.

### 13.09 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work or to remove and replace rejected Work as required by Engineer in accordance with Paragraph 13.06.A, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 13.09, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, take possession of Contractor's tools, appliances, construction equipment and machinery at the Site, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this Paragraph.
- C. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 13.09 will be charged against Contractor, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount of the adjustment, Owner may make a Claim therefor as provided in Paragraph 10.05. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 13.09.

## ARTICLE 14 – PAYMENTS TO CONTRACTOR AND COMPLETION

### 14.01 *Schedule of Values*

- A. The Schedule of Values established as provided in Paragraph 2.07.A will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed.

## 14.02 *Progress Payments*

### A. Applications for Payments

1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens and evidence that the materials and equipment are covered by appropriate property insurance or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
3. The amount of retainage with respect to progress payments will be as stipulated in the Contract.

### B. *Review of Applications*

1. Engineer will, within 10 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to Owner or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
  - a. the Work has progressed to the point indicated;
  - b. the quality of the Work is generally in accordance with the Contract Documents

(subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for Unit Price Work under Paragraph 9.07, and to any other qualifications stated in the recommendation); and

- c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
- a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract Documents; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
- a. to supervise, direct, or control the Work, or
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
  - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
  - d. to make any examination to ascertain how or for what purposes Contractor has used the moneys paid on account of the Contract Price, or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 14.02.B.2. Engineer may also refuse to recommend any such payment or, because of subsequently discovered evidence or the results of subsequent inspections or tests, revise or revoke any such payment recommendation previously made, to such extent as may be necessary in

Engineer's opinion to protect Owner from loss because:

- a. the Work is defective, or completed Work has been damaged, requiring correction or replacement;
- b. the Contract Price has been reduced by Change Orders;
- c. Owner has been required to correct defective Work or complete Work in accordance with Paragraph 13.09; or
- d. Engineer has actual knowledge of the occurrence of any of the events enumerated in Paragraph 15.02.A.

C. *Payment Becomes Due*

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended will (subject to the provisions of Paragraph 14.02.D) become due, and when due will be paid by Owner to Contractor.

D. *Reduction in Payment*

1. Owner may refuse to make payment of the full amount recommended by Engineer because:
  - a. claims have been made against Owner on account of Contractor's performance or furnishing of the Work;
  - b. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
  - c. there are other items entitling Owner to a set-off against the amount recommended; or
  - d. Owner has actual knowledge of the occurrence of any of the events enumerated in Paragraphs 14.02.B.5.a through 14.02.B.5.c or Paragraph 15.02.A.
2. If Owner refuses to make payment of the full amount recommended by Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, when Contractor remedies the reasons for such action.
3. Upon a subsequent determination that Owner's refusal of payment was not

justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 14.02.C.1 and subject to interest as provided in the Agreement.

14.03 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to Owner no later than the time of payment free and clear of all Liens.

14.04 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete (except for items specifically listed by Contractor as incomplete) and request that Engineer issue a certificate of Substantial Completion.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the tentative certificate during which to make written objection to Engineer as to any provisions of the certificate or attached list. If, after considering such objections, Engineer concludes that the Work is not substantially complete, Engineer will within 14 days after submission of the tentative certificate to Owner notify Contractor in writing, stating the reasons therefor. If, after consideration of Owner's objections, Engineer considers the Work substantially complete, Engineer will within said 14 days execute and deliver to Owner and Contractor a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of delivery of the tentative certificate of Substantial Completion, Engineer will deliver to Owner and Contractor a written recommendation as to division of responsibilities pending final payment between Owner and Contractor with respect to security, operation, safety, and protection of the Work, maintenance, heat, utilities, insurance, and warranties and guarantees. Unless Owner and Contractor agree otherwise in writing and so inform Engineer in writing prior to Engineer's issuing the definitive certificate of Substantial Completion, Engineer's aforesaid recommendation will be binding on Owner and Contractor until final payment.

- E. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the tentative list.

#### 14.05 *Partial Utilization*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions.
  - 1. Owner at any time may request Contractor in writing to permit Owner to use or occupy any such part of the Work which Owner believes to be ready for its intended use and substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner and Engineer will follow the procedures of Paragraph 14.04.A through D for that part of the Work.
  - 2. Contractor at any time may notify Owner and Engineer in writing that Contractor considers any such part of the Work ready for its intended use and substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
  - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 14.04 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
  - 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 5.10 regarding property insurance.

#### 14.06 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

#### 14.07 *Final Payment*

##### A. *Application for Payment*

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, marked-up record documents (as provided in Paragraph 6.12), and other documents, Contractor may make application for final payment following the procedure for progress payments.
2. The final Application for Payment shall be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents, including but not limited to the evidence of insurance required by Paragraph 5.04.B.6;
  - b. consent of the surety, if any, to final payment;
  - c. a list of all Claims against Owner that Contractor believes are unsettled; and
  - d. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of or Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 14.07.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that:
  - a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and
  - b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien.

##### B. *Engineer's Review of Application and Acceptance*

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations



under the Contract Documents have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of payment and present the Application for Payment to Owner for payment. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable subject to the provisions of Paragraph 14.09. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

C. *Payment Becomes Due*

1. Thirty days after the presentation to Owner of the Application for Payment and accompanying documentation, the amount recommended by Engineer, less any sum Owner is entitled to set off against Engineer's recommendation, including but not limited to liquidated damages, will become due and will be paid by Owner to Contractor.

14.08 *Final Completion Delayed*

- A. If, through no fault of Contractor, final completion of the Work is significantly delayed, and if Engineer so confirms, Owner shall, upon receipt of Contractor's final Application for Payment (for Work fully completed and accepted) and recommendation of Engineer, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by Owner for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if bonds have been furnished as required in Paragraph 5.01, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by Contractor to Engineer with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

14.09 *Waiver of Claims*

- A. The making and acceptance of final payment will constitute:
  1. a waiver of all Claims by Owner against Contractor, except Claims arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 14.06, from failure to comply with the Contract Documents or the terms of any special guarantees specified therein, or from Contractor's continuing obligations under the Contract Documents; and
  2. a waiver of all Claims by Contractor against Owner other than those previously made in accordance with the requirements herein and expressly acknowledged by Owner in writing as still unsettled.

## ARTICLE 15 – SUSPENSION OF WORK AND TERMINATION

### 15.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by notice in writing to Contractor and Engineer which will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be granted an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension if Contractor makes a Claim therefor as provided in Paragraph 10.05.

### 15.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will justify termination for cause:
  - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule established under Paragraph 2.07 as adjusted from time to time pursuant to Paragraph 6.04);
  - 2. Contractor's disregard of Laws or Regulations of any public body having jurisdiction;
  - 3. Contractor's disregard of the authority of Engineer; or
  - 4. Contractor's repeated violation in any substantial way of any provisions of the Contract Documents.
- B. If one or more of the events identified in Paragraph 15.02.A occur, Owner may, after giving Contractor (and surety) seven days written notice of its intent to terminate the services of Contractor:
  - 1. exclude Contractor from the Site, and take possession of the Work and of all Contractor's tools, appliances, construction equipment, and machinery at the Site, and use the same to the full extent they could be used by Contractor (without liability to Contractor for trespass or conversion);
  - 2. incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere; and
  - 3. complete the Work as Owner may deem expedient.

- C. If Owner proceeds as provided in Paragraph 15.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Owner arising out of or relating to completing the Work, such excess will be paid to Contractor. If such claims, costs, losses, and damages exceed such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this Paragraph Owner shall not be required to obtain the lowest price for the Work performed.
- D. Notwithstanding Paragraphs 15.02.B and 15.02.C, Contractor's services will not be terminated if Contractor begins within seven days of receipt of notice of intent to terminate to correct its failure to perform and proceeds diligently to cure such failure within no more than 30 days of receipt of said notice.
- E. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue. Any retention or payment of moneys due Contractor by Owner will not release Contractor from liability.
- F. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 5.01.A, the termination procedures of that bond shall supersede the provisions of Paragraphs 15.02.B, and 15.02.C.

15.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses;
  - 3. all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred in settlement of terminated

contracts with Subcontractors, Suppliers, and others; and

4. reasonable expenses directly attributable to termination.
- B. Contractor shall not be paid on account of loss of anticipated profits or revenue or other economic loss arising out of or resulting from such termination.

#### 15.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (i) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (ii) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (iii) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the Contract and recover from Owner payment on the same terms as provided in Paragraph 15.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this Paragraph 15.04 are not intended to preclude Contractor from making a Claim under Paragraph 10.05 for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this Paragraph.

### ARTICLE 16 – DISPUTE RESOLUTION

#### 16.01 *Methods and Procedures*

- A. Dispute resolution methods and procedures, if any, shall be as set forth in the Supplementary Conditions. If no method and procedure has been set forth, and subject to the provisions of Paragraph 10.05, Owner and Contractor may exercise such rights or remedies as either may otherwise have under the Contract Documents or by Laws or Regulations in respect of any dispute.

### ARTICLE 17 – MISCELLANEOUS

#### 17.01 *Giving Notice*

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:

1. delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or
2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

17.02 *Computation of Times*

- A. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

17.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract Documents. The provisions of this Paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

17.04 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

17.05 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

17.06 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

++ END OF GENERAL CONDITIONS ++

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## SUPPLEMENTARY CONDITIONS

### SCOPE

These Supplementary Conditions amend or supplement the General Conditions of the Construction Contract. All provisions of the General Conditions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions which are defined in the General Conditions have the meanings assigned to them in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to the singular and plural thereof.

The address system used in these Supplementary Conditions conforms to the address system used in the General Conditions, with the prefix "SC" added thereto.

SC-1.01.A.36      Change the definition of *Resident Project Representative* to read as follows:

SC-1.01.A.36      *Resident Project Representative*: The Owner's representative who will provide day to day inspection services of construction activities.

SC-1.01.A.51      Change the last sentence in the definition of *Work Change Directive* to read as follows:

"A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued IFCA or Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Times."

SC-1.01.A.52      Add the following definition:

1.01.A.52      *Interim Field Change Agreement (IFCA)* - A document signed by the Engineer, Contractor, Owner and Owner's Representative documenting a change to the Work, which does not result in the total contract price exceeding the amount specified in the contract. An IFCA will authorize re-distribution of existing contract amounts or use of Owner's Allowance funds.

SC-4.03, A.      Change the last paragraph to read as follows:

"then Contractor shall, within seven (7) days after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in

connection therewith (except as aforesaid) until receipt of written order to do so.

SC-4.06 Delete Paragraphs 4.06.A and 4.06.B in their entirety and insert the following:

SC-4.06.A. In the preparation of the Drawings and Specifications, Engineer has not utilized any report or drawing related to a Hazardous Environmental Condition identified at the Site.

SC-4.06.B (Not Used)

SC- Article 5 Replace the entire article with the following:

Before performing any work, the Contractor shall procure and maintain, during the life of the Contract, insurance listed below. The policies of insurance shall be primary and written on forms acceptable to the Owner and placed with insurance carriers approved and licensed by the Insurance Department in the State of Florida and meet a minimum financial AM Best and Company rating of no less than A:VII. No changes are to be made to these specifications without prior written specific approval by the City.

1. The City of Venice is to be specifically included as an **ADDITIONAL INSURED**.
2. The City of Venice shall be named as Certificate Holder. *Please Note that the Certificate Holder should read as follows:*

The City of Venice 401  
W. Venice Avenue  
Venice, FL 34285

No City Division, Department, or individual name should appear on the certificate.  
**NO OTHER FORMAT WILL BE ACCEPTABLE.**

3. The "Acord" certification of insurance form shall be used.
4. Required Coverage
  - a) **Commercial General Liability**: including but not limited to bodily injury, property damage, contractual liability, products and completed operations, and personal injury with limits of not less than \$ 1,000,000 per occurrence, \$ 1,000,000 aggregate covering all work performed under this Contract. Include broad form property damage (provide insurance for damage to property under the care custody and control of the contractor)
  - b) **Business Auto Policy**: including bodily injury and property damage for all vehicles owned, leased, hired and non-owned vehicles with limits of not less than \$1,000,000 combined single limit covering all work performed under this Contract.



- c) **Workers Compensation:** Contractor will provide Workers Compensation Insurance on behalf of all employees, including sub-contractors, who are to provide a service under this Contract, as required under Florida Law, Chapter 440, and Employers Liability with limits of not less than \$100,000 per employee per accident; \$500,000 disease aggregate; and \$100,000 per employee per disease.
- d) **Installation Floater/Installation Builders' Risk-Property Coverage:** Policy to cover direct physical loss or damage to materials, supplies, machinery, and equipment being installed, constructed or rigged by the contractor in conjunction with its installation or construction. All items involved in the project including drainage/water sewer pipes, etc. (as included in description of project) need to be insured for the total completed replacement value. Coverage should include perils of fire, theft, vandalism, windstorm/hail, collapse and transit, sewer backup, testing, equipment breakdown, waterborne property. Coverage shall start when the items to be installed are transported to Owner premises and remain in place until the interest of the contractors ceases or the Owner accepts possession whichever comes first. Coverage should apply to owned property and non-owned property in the contractor's care, custody and control. The installation coverage forms shall provide coverage for building materials and supplies at the construction site, in transit to the site and similar property intended for the construction project at other locations as necessary or because of lack of storage space at the construction site. Coverage should apply on a Primary basis and should include a Waiver of Subrogation. Contractor should be responsible for any deductibles.

5. Policy Form:

- a) All policies required by this Contract, with the exception of Workers Compensation, or unless specific approval is given by the Owner, are to be written on an occurrence basis, shall name the City of Venice, its Elected Officials, Officers, Agents, Employees as additional insured as their interest may appear under this Contract. Insurer(s), with the exception of Workers Compensation, shall agree to waive all rights of subrogation against the City of Venice, its Elected Officials, Officers, Agents, and Employees.
- b) Insurance requirements itemized in this Contract, and required of the Contractor, shall be provided on behalf of all subcontractors to cover their operations performed under this Contract. The Contractor shall be held responsible for any modifications, deviations, or omissions in these insurance requirements as they apply to subcontractors.
- c) Each insurance policy required by this Contract shall:
  - (1) apply separately to each insured against whom claim is made and suit is brought, except with respect to limits of the insurer's liability;

- (2) be endorsed to state that coverage shall not be suspended, voided or canceled by either party except after thirty (30) calendar days prior written notice by certified mail, return receipt requested, has been given to the City of Venice's Director of Administrative Services.
- d) The Owner shall retain the right to review, at any time, coverage form, and amount of insurance.
  - e) The procuring of required policies of insurance shall not be construed to limit Contractor's liability nor to fulfill the indemnification provisions and requirements of this Contract.
  - f) The Contractor shall be solely responsible for payment of all premiums for insurance contributing to the satisfaction of this Contract and shall be solely responsible for the payment of any deductible and/or retention to which such policies are subject, whether or not the Owner is an insured under the policy. In the event that claims in excess of the insured amounts provided herein are filed by reason of operations under the contract, the amount excess of such claims, or any portion thereof, may be withheld from any payment due or to become due to the Contractor until such time the contractor shall furnish additional security covering such claims as may be determined by the Owner.
  - g) Claims Made Policies will be accepted for professional and hazardous materials and such other risks as are authorized by the Owner. All Claims Made Policies contributing to the satisfaction of the insurance requirements herein shall have an extended reporting period option or automatic coverage of not less than two years. If provided as an option, the Contractor agrees to purchase the extended reporting period on cancellation or termination unless a new policy is affected with a retroactive date, including at least the last policy year.
  - h) Certificates of Insurance evidencing Claims Made or Occurrence form coverage and conditions to this Contract, as well as the Owner's Bid Number and description of work, are to be furnished to the City's Director of Administrative Services, 401 West Venice Avenue, Venice, FL 34285, ten  
(10) business days prior to commencement of work and a minimum of thirty (30) calendar days prior to expiration of the insurance policy.
  - i) Notices of Accidents and Notices of Claims associated with work being performed under this Contract, shall be provided to the Contractor's insurance company and the City's Director of Administrative Services, as soon as practicable after notice to the insured.
  - j) All property losses shall be payable to, and adjusted with, the City.

- SC-6.02.B Add new paragraphs immediately after Paragraph 6.02.B that are to read as follows:
- SC-6.02.B.1 If it shall become absolutely necessary to perform Work at night or on Saturdays, Sundays, or legal holidays, written notice shall be submitted to Owner and Engineer at least 5 days in advance of the need for such Work. Owner will only consider the performance of such Work as can be performed satisfactorily under the conditions. Good lighting and all other necessary facilities for carrying out and observing the Work shall be provided and maintained where such Work is being performed at night.
- SC-6.02.B.2 If Owner authorizes Work during other than regular working hours, Contractor shall reimburse Owner for all Owner's additional costs associated with such Work, including, but not necessarily limited to, the overtime costs for Owner's, Engineer's, and Resident Project Representative's personnel on the Site and other additional costs assessed against or incurred by the Owner. At Owner's option, such additional costs may either be deducted from Contractor's progress payments or deducted from the retained amount prior to release following Substantial Completion.
- SC-6.07.B Change the first sentence of Paragraph 6.07.B by replacing the term "Owner and Engineer" with the term "Owner, Engineer, and Resident Project Representative".
- SC-6.11.A.3. Change the first sentence of Paragraph 6.11.A.3. by replacing the term "Owner and Engineer" with the term "Owner, Engineer, and Resident Project Representative".
- SC-6.12 Add a new paragraph immediately after Paragraph 6.12.A, that is to read as follows:
- SC-6.12.B Contractor will be required to review with Engineer the status of record documents in connection with the Engineer's review of an Application for Payment. Failure to maintain record documents current may be just cause for Engineer to recommend withholding of payments for Work performed.
- SC-6.15 Add a new paragraph immediately after Paragraph 6.15.A that is to read as follows:
- SC-6.15.B Contractor shall be responsible for coordinating exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with all Laws and Regulations. Contractor shall provide a centralized location for the maintenance of the material safety data sheets or other hazard communication information required to be made available by any

employer on the Site. Location of the material safety data sheets or other hazard communication information shall be readily accessible to the employees of employers on the Site.

SC-6.17 Add the following new paragraphs immediately after Paragraph 6.17.E that are to read as follows:

SC-6.17.F Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval or acceptance of submittal with no more than two (2) submittals (initial submittal plus one re-submittal). Engineer will record Engineer's time for reviewing subsequent submittals of Shop Drawings, Samples, or other submittals or items requiring approval or acceptance, and Contractor shall reimburse Owner for Engineer's charges for such time.

SC-6.19.A Supplement Paragraph 6.19.A by adding, after the term, "Engineer" in the second sentence, the term "and Resident Project Representative".

SC-6.19.C.1. Supplement Paragraph 6.19.C.1. by adding, after the term, "Engineer" the term "or Resident Project Representative".

SC-6.20.A. Change the first sentence of Paragraph 6.20.A by replacing the term "Owner and Engineer" in the first sentence, with the term ", Owner, Engineer, and Resident Project Representative".

SC-6.20.B Change the first sentence of Paragraph 6.20.B by replacing the term "Owner or Engineer" with the term "Owner, Engineer or Resident Project Representative".

SC-7.03 Add a new paragraph immediately after Paragraph 7.02 that is to read as follows:

*SC-7.03 Separate Contractor Claims*

A. Should Contractor cause damage to the work or property of another contractor at the Site, or should any claim arising out of Contractor's performance of the Work at the Site be made by any other contractor against Contractor, Owner or Engineer or Resident Project Representative, Contractor, without involving any other party, shall either:

1. remedy the damage,
2. agree to compensate the other contractor for remedy of the damage,  
or
3. remedy the damage and attempt to settle with such other contractor by agreement, or otherwise resolve the dispute by arbitration or at law.

- B. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner, Engineer, Resident Project Representative, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to, all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising directly, indirectly, or consequentially out of or relating to any claim or action, legal or equitable, brought by any other contractor against Owner or Engineer or Resident Project Representative to the extent said claim is based upon Contractor's performance of the Work.
- C. Should another contractor cause damage to the Work or property of Contractor at the Site or should the performance of work by any other contractor at the Site give rise to any other claim, Contractor shall not institute any action, legal or equitable, against Owner or Engineer or Resident Project Representative, or permit any action against any of them to be maintained and continued in its name or for its benefit in any court or before any arbiter which seeks to impose liability on or to recover damages from Owner or Engineer or Resident Project Representative on account of any such damage or claim.
- D. If Contractor is delayed at any time in performing or furnishing Work by any act or neglect of another contractor and Owner and Contractor are unable to agree as to the extent of any adjustment in Contract Times attributable thereto, Contractor may make a Claim therefore in accordance with Article 12. An extension of the Contract Times shall be Contractor's exclusive remedy with respect to Owner or Engineer or Resident Project Representative for any delay, disruption, interference, or hindrance caused by any other contractor.

SC-8.01.A. Amend paragraph 8.01.A. by adding after the term "Engineer" to words "or Resident Project Representative".

SC-9.03 Add a new paragraph immediately after Paragraph 9.03.A that is to read as follows:

SC-9.03.B Resident Project Representative (RPR) will be Owner's employee or agent at the Site, will act as directed by and under the supervision of the Owner, and will confer with the Owner and Engineer regarding RPR's actions. RPR's dealings in matters pertaining to the Work in general shall be with Engineer and Contractor keeping Owner advised as necessary. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner with the knowledge of the Engineer.

1. Duties and Responsibilities of RPR:
  - a. Schedules: Review the Progress Schedule, Schedule of Submittals, and Schedule of Values prepared by Contractor and consult with Owner and Engineer concerning acceptability.
  - b. Conferences and Meetings: Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences, and other Project-related meetings, and prepare and circulate copies of minutes thereof.
  - c. Liaison:
    - 1) Serve as Owner's and Engineer's liaison with Contractor, working principally through Contractor's superintendent, and assist in providing understanding of the intent of the Contract Documents as directed by the Engineer.
    - 2) Assist in obtaining from Owner or Engineer additional details or information, when required for proper execution of the Work.
  - d. Shop Drawings and Samples:
    - 1) Record date of receipt of Shop Drawings and Samples, that are received at the Site.
    - 2) Receive Samples that are furnished at the Site by Contractor, and notify Engineer of availability of Samples for examination.
    - 3) Advise Engineer and Contractor of the commencement of any Work requiring a Shop Drawing or Sample if the submittal has not been approved by Engineer.
  - e. Review of Work, Rejection of Defective Work, Inspections and Tests:
    - 1) Conduct observations of the Work in progress on the Site to assist Engineer in determining if the Work is, in general, proceeding in accordance with the Contract Documents.
    - 2) Report to Engineer when RPR believes that any Work is unsatisfactory, faulty, or defective or does not conform generally to the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test, or approval required to be made; and advise Engineer of Work that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection, or approval.
    - 3) Verify that tests, equipment, and systems startups, and operating and maintenance training are conducted in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof; and observe, record, and report to Engineer appropriate details relative to the test procedures and startups.
    - 4) Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the results of these inspections and report to Engineer.

- f. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
- g. Modifications: Consider and evaluate Contractor's suggestions for modifications to Drawings or Specifications and report with RPR's recommendations to Engineer. Transmit to Contractor decisions issued by Engineer.
- h. Records:
  - 1) Maintain at the Site orderly files for correspondence, reports of job conferences, Shop Drawings and Samples, and reproductions of original Contract Documents including all Addenda, Change Orders, Work Change Directives, Field Orders, additional Drawings issued subsequent to the execution of the Agreement, Engineer's clarifications and interpretations of the Contract Documents, progress reports, and other Project-related documents.
  - 2) Keep a record recording Contractor's hours, personnel and equipment on the Site, weather conditions, data relative to questions on Change Orders or changed conditions, list of visitors to the Site, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.
  - 3) Record names, addresses, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.
- i. Reports:
  - 1) Furnish Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the Progress Schedule and Schedule of Submittals.
  - 2) Consult with Engineer in advance of scheduled major tests, inspections, or start of important phases of the Work.
  - 3) Report immediately to Engineer and Owner upon the occurrence of any Site accident, any Hazardous Environmental Condition, emergencies or acts of God endangering the Work, or property damage by fire or other cause.
- j. Payment Requests: Review Applications for Payment with Contractor for compliance with the established procedure for their submission, and submit recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.
- k. Certificates, Maintenance and Operation Manuals: During the course of the Work, verify that certificates, maintenance and operation manuals, and other data required by the Specifications to be assembled and furnished by Contractor are applicable to the items actually

installed and in accordance with the Contract Documents, and have this material delivered to Engineer for review and forwarding to Owner prior to final payment for the Work.

1. Completion:
  - 1) Before Engineer issues a certificate of Substantial Completion, submit to Contractor a list of observed items requiring completion or correction.
  - 2) Observe whether Contractor has arranged for inspections required by Laws and Regulations, including but not limited to those to be performed by public authorities having jurisdiction over the Work.
  - 3) Conduct final inspection in the company of Engineer, Owner, and Contractor, and prepare a final list of items to be completed or corrected.
  - 4) Observe that all items on final list have been completed or corrected and make recommendations to Engineer concerning acceptance of the Work.
2. The RPR shall not:
  - a. Authorize any deviation from the Contract Documents or substitution of materials or equipment, including “or equal” items.
  - b. Exceed limitations of Engineer’s authority as set forth in the Contract Documents.
  - c. Undertake any of the responsibilities of Contractor, Subcontractors, or Contractor’s superintendent.
  - d. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of construction, unless such advice or directions are specifically required by the Contract Documents.
  - e. Advise on, issue directions regarding, or assume control over safety precautions and programs in connection with the Work.
  - f. Accept Shop Drawing or Sample submittals from anyone other than Contractor.
  - g. Authorize Owner to occupy the Project in whole or in part.
  - h. Participate in specialized field or laboratory tests or inspections conducted by others except as specifically authorized by Engineer.

SC-9.08.A Change “30 days” in the last sentence to read “10 days”.

SC-10.05.B Delete paragraph B in its entirety and replace with the following:.

Notice: Written notice stating the general nature of each Claim shall be delivered by the claimant to Engineer and the other party to the Contract promptly (but in no event later than 10 days) after the start of the event giving rise thereto. The responsibility to substantiate a Claim shall rest with the party making the Claim. Notice of the amount or extent of the Claim, with supporting data shall be delivered to the Engineer and the other party to the Contract within 30 days after



the start of such event (unless Engineer allows additional time for claimant to submit additional or more accurate data in support of such Claim). A Claim for an adjustment in Contract Price shall be prepared in accordance with the provisions of Paragraph 12.01.B. A Claim for an adjustment in Contract Times shall be prepared in accordance with the provisions of Paragraph 12.02.B. Each Claim shall be accompanied by claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant believes it is entitled as a result of said event. The opposing party shall submit any response to Engineer and the claimant within 30 days after receipt of the claimant's last submittal (unless Engineer allows additional time).

- SC-12.01.C Delete the semicolon at the end of GC 12.01.C.2.c, and add the following:
- provided, however, that on any subcontracted work the total maximum fee to be paid by Owner to Contractor under this Paragraph shall be no greater than 27 percent of the costs incurred by the Subcontractor who actually performs the work;
- SC-12.03.C. Change the first sentence of Paragraph 12.03.C by replacing the term "Owner and Engineer" in the first sentence, with the term "Owner, Engineer, and Resident Project Representative".
- SC-12.03.E. Change the first sentence of Paragraph 12.03.E by replacing the term "Owner and Engineer" in the first sentence, with the term "Owner, Engineer, and Resident Project Representative".
- SC-13.01.A. Change the first sentence of Paragraph 13.01.A. by replacing the term "Owner or Engineer" with the term "Owner, Engineer, or Resident Project Representative".
- SC-13.03.A. Change the first sentence of Paragraph 13.03.A. by replacing the term "Engineer" with the term "Engineer and Resident Project Representative".
- SC-13.03.B. Delete Paragraph 13.03.B. and subparagraphs in their entirety and replace with the following:
- B. Contractor shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents.
- SC-13.04.A. Delete Paragraph 13.04.A. in its entirety and replace with the following:
- A. If any Work is covered contrary to the written request of Engineer or Resident Project Representative, it must, if requested by Engineer or Resident Project Representative, be uncovered for Engineer's or Resident Project Representative's observation and replaced at Contractor's expense.

SC-13.04.D. Change the words “If, the uncovered work is not found to be defective,” to read “Unless the Contractor was provided with prior written request not to cover the work, if the uncovered work is not found to be defective,”.

SC-14.02.A Add new paragraphs immediately after Paragraph 14.02.A.3 that are to read as follows:

SC-14.02.A.4. Owner shall make monthly progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment as recommended by Engineer. Contractor's Applications for Payment will be due within 7 days after the last day of each month during performance of the Work. All progress payments will be on the basis of the progress of the Work measured by the Schedule of Values provided for in Paragraph 2.07.A of the General Conditions (and in the case of Unit Price Work, based on the number of units completed) or, in the event there is no Schedule of Values, as provided in the General Requirements. A progress payment will not be made whenever the value of the Work completed since the last previous progress payment is less than \$5,000.

1. Prior to Substantial Completion

- a. Progress payments will be made in the amount of up to 90 percent of the Work completed, (with the balance being retainage), less the aggregate of payments previously made and less such amounts as Engineer shall determine, or Owner may withhold, in accordance with Paragraph 14.02 of the General Conditions; and
- b. 90 percent of the cost of materials and equipment not incorporated in the Work but suitably stored (with the balance being retainage).

2. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts as Engineer shall determine in accordance with Paragraph 14.02.B.5 of the General Conditions and less 200 percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the tentative list of items to be completed or corrected attached to the certificate of Substantial Completion.

SC-14.02.C. Replace the existing paragraph with the following:

All payments to Contractor shall be made in accordance with Florida's Local Government Prompt Payment Act.

SC-14.04.B. Change the terms “Owner, Contractor and Engineer” to read “Owner, Contractor, Engineer and Resident Project Representative”.

SC-14.07.C. Replace the existing paragraph with the following:

All payments to Contractor shall be made in accordance with Florida's Local Government Prompt Payment Act.

SC-16.01 Add new paragraphs immediately after Paragraph 16.01.A that are to read as follows:

SC-16.01.B Either Owner or Contractor may request mediation of any Claim submitted to Engineer for a decision under Paragraph 10.05 before such decision becomes final and binding. The mediation will be governed by the Construction Industry Mediation Rules of the American Arbitration Association in effect as of the Effective Date of the Agreement. The request for mediation shall be submitted in writing to the American Arbitration Association and the other party to the Contract. Timely submission of the request shall stay the effect of Paragraph 10.05.E.

SC-16.01.C Owner and Contractor shall participate in the mediation process in good faith. The process shall be concluded within 60 days of filing of the request. The date of termination of the mediation shall be determined by application of the mediation rules referenced above.

SC-16.01.D If the Claim is not resolved by mediation, Engineer's action under Paragraph 10.05.C or a denial pursuant to Paragraphs 10.05.C.3 or 10.05.D shall become final and binding 30 days after termination of the mediation unless, within that time period, Owner or Contractor,

1. elects in writing to demand arbitration of the Claim, pursuant to Paragraph SC-16.02, or
2. agrees with the other party to submit the Claim to another dispute resolution process.

SC-16.02 Add a new paragraph immediately after Paragraph 16.01 that is to read as follows:

SC-16.02      *Arbitration*

- A. All Claims or counter claims, disputes, or other matters in question between Owner and Contractor arising out of or relating to the Contract Documents or the breach thereof (except for Claims that have been waived by the making or acceptance of final payment as provided by Paragraph 14.09), including but not limited to those not resolved under the provisions of Paragraph SC-16.01.B and SC-16.01.C will be decided by arbitration in accordance with Construction Industry Arbitration Rules of the American Arbitration Association, subject to the conditions and limitations

of this Paragraph SC-16.02. This agreement to arbitrate and any other agreement or consent to arbitrate entered into will be specifically enforceable under the prevailing law of any court having jurisdiction.

- B. The demand for arbitration will be filed in writing with the other party to the Contract and with the selected arbitrator or arbitration provider, and a copy will be sent to Engineer for information. The demand for arbitration will be made within the 30-day period specified in Paragraph SC-16.01.D. and in all other cases within a reasonable time after the Claim or counter claim, dispute, or other matter in question has arisen, and in no event shall any such demand be made after the date when institution of legal or equitable proceedings based on such Claim or counter claim, dispute, or other matter in question would be barred by the applicable statute of limitations.
- C. No arbitration arising out of or relating to the Contract Documents shall include by consolidation, joinder, or in any other manner any individual or entity (including Engineer, Resident Project Representative, and the officers, directors, partners, employees, agents, or consultants of each and any of them) who is not party to this Contract unless:
  - 1. the inclusion of such other individual or entity is necessary if complete relief is to be afforded among those who are already parties to the arbitration; and
  - 2. such other individual or entity is substantially involved in a question of law or fact which is common to those who are already parties to the arbitration and which will arise in such proceedings, and
- D. The award rendered by the arbitrator(s) shall be:
  - 1. consistent with the agreement between the parties, and
  - 2. in writing, and shall include:
    - a. a concise breakdown of the award, and
    - b. a written explanation of the award specifically citing the Contract Document provisions deemed applicable and relied on in making the award.
- E. Subject to provisions of the Controlling Law relating to vacating or modifying an arbitration award, the award will be final. Judgment may be entered upon it in any court having jurisdiction thereof and it will not be subject to modification or appeal.

- F. The fees and expenses of the arbitrator(s) and any arbitration service shall be shared equally by Owner and Contractor.

SC-17.07 Add a new paragraph immediately after Paragraph 17.06 that is to read as follows:

*SC-17.07 Confidential Information*

- A. All Drawings, Specifications, technical data, and other information furnished to Contractor either by Owner or Engineer or developed by Contractor or others in connection with the Work are, and will remain, the property of Owner or Engineer, and shall not be copied or otherwise reproduced or used in any way except in connection with the Work, or disclosed to third parties or used in any manner detrimental to the interests of Owner or Engineer.
- B. The following information is not subject to the above confidentiality requirements:
1. information in the public domain through no action of Contractor in breach of the Contract Documents; or
  2. information lawfully possessed by Contractor before receipt from Owner or Engineer; or
  3. information required to be disclosed by Laws or Regulations, or by a court or agency of competent jurisdiction. However, in the event Contractor shall be so required to disclose such information, Contractor shall, prior to disclosure, provide reasonable notice to Owner and Engineer, who shall have the right to interpose all objections Owner may have to the disclosure of such information.

SC-18 Add new Article immediately after Article 17, which is to read as follows:

ARTICLE SC-18 – STATUTORY REQUIREMENTS

SC-18.01 This Article contains portions of certain Laws or Regulations which, by provision of Laws or Regulations, are required to be included in the Contract Documents. The material included in this Article may not be complete or current. Contractor's obligation to comply with all Laws and Regulations applicable to the Work is set forth in Paragraph 6.09 of the General Conditions.

++ END OF SPECIAL CONDITIONS ++

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City of Venice, Florida

200 North Warfield Ave  
Venice, FL 34285

Set No. \_\_\_\_\_

**Technical Specifications**

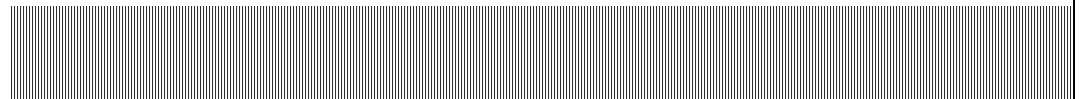
**City of Venice, Florida**

**Reverse Osmosis Water  
Treatment Plant**

**Sodium Hypochlorite Bulk  
Storage System Replacement**

**Bid Submittal**

**February 2019**



Prepared By:

**Arcadis U.S., Inc.**

3109 W. Dr. Martin Luther King Jr. Blvd.  
Suite 350  
Tampa, FL 33607  
Telephone: 813-903-3100

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# CITY OF VENICE RO WTP SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT

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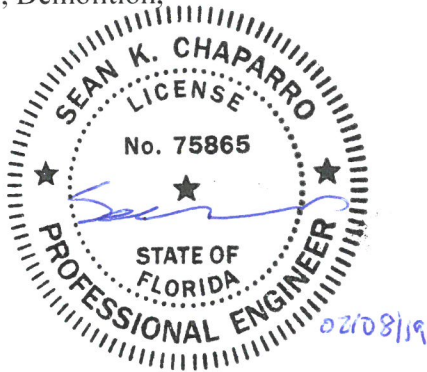
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**CITY OF VENICE  
VENICE, FLORIDA  
SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT**

**SEALS AND CERTIFICATIONS PAGE**

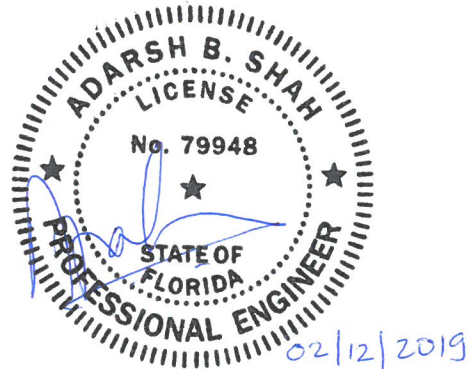
**ENGINEER:  
Arcadis U.S., Inc.  
3109 West Dr. Martin Luther King Jr. Blvd., Suite 350  
Tampa, FL 33607**

For General, Demolition,  
Mechanical:



Sean K. Chaparro, PE  
License No. 75865

For Structural:



Adarsh B. Shah, PE  
License No. 79948

For: Electrical, Instrumentation:



Eric B. Battle II, PE  
License No. 81285

++ END OF SEALS AND CERTIFICATIONS PAGE ++

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BID FORM

CITY OF VENICE  
REVERSE OSMOSIS WATER TREATMENT PLANT  
SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT

TABLE OF ARTICLES

1. Bid Recipient
2. Bidder's Acknowledgements
3. Bidder's Representations
4. Bidder's Certifications
5. Basis of Bid
6. Time of Completion
7. Attachments to this Bid
8. Defined Terms
9. Bid Submittal

ARTICLE 1 - BID RECIPIENT

- 1.01 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an AGREEMENT with OWNER in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the price(s) and within the times indicated in this Bid and in accordance with the Bidding Documents.

ARTICLE 2 - BIDDER'S ACKNOWLEDGEMENTS

- 2.01 Bidder accepts all of the terms and conditions of the Advertisement or Invitation to Bid and Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 90 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of OWNER. Bidder will sign the AGREEMENT and will furnish the required contract security, and other required documents within the time periods set forth in the Bidding Documents.

ARTICLE 3 - BIDDER'S REPRESENTATIONS

- 3.01 In submitting this Bid, Bidder represents that:
  - A. Bidder has examined and carefully studied the Bidding Documents, the other related data identified in the Bidding Documents, if any, and the following Addenda, receipt of all of which is hereby acknowledged.

Addendum No.	Date Received	Addendum No.	Date Received
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

- B. Bidder has visited the Site and become familiar with and is satisfied as to the general, local and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and is satisfied as to all federal, state and local Laws and Regulations that may affect cost, progress and performance of the Work.
- D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in Paragraph 4.02 of the General Conditions, and (2) reports and drawings of Hazardous Environmental Conditions identified at the Site, if any, which that have been identified in the Supplementary Conditions as provided in Paragraph 4.06 of the General Conditions.
- E. Bidder has obtained and carefully studied (or accepts the consequences for not doing so) all additional or supplementary examinations, investigations, explorations, tests, studies and data concerning conditions (surface, subsurface and Underground Facilities) at or contiguous to the Site which may affect cost, progress or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences and procedures of construction to be employed by Bidder, including applying the specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents to be employed by Bidder, and safety precautions and programs incident thereto.
- F. Bidder does not consider that any further examinations, investigations, explorations, tests, studies or data are necessary for the determination of this Bid for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work (if any) to be performed by OWNER and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies and data with the Bidding Documents.

- I. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and the written resolution thereof by Engineer is acceptable to Bidder.
  - J. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work for which this Bid is submitted.
- 3.02 Bidder further represents that:
- A. this Bid is genuine and is not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation;
  - B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid; Bidder has not solicited or induced any individual or entity to refrain from bidding;
  - C. Bidder has not sought by collusion to obtain for itself any advantage over any other Bidder or over OWNER; and
  - D. No person or persons acting in any official capacity for the OWNER are directly or indirectly interested in this Bid, or in any portion of the profit thereof.

#### ARTICLE 4 – BIDDER’S CERTIFICATIONS

4.01 Bidder certifies that:

- A. this Bid is genuine and is not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid
- C. Bidder; has not solicited or induced any individual or entity to refrain from bidding; and
- D. Bidder has not engaged in corrupt, fraudulent, collusive or coercive practices in competing for the Contract. For the purposes of the Paragraph 4.01.D;
  - 1. Corrupt practice” means the offering, giving, or soliciting of anything of value likely to influence the action of a public official in the bidding process

2. "Fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition.
3. "Collusive practice" means to scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels.
4. "Coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### ARTICLE 5 - BASIS OF BID

- 5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price(s):



		CITY OF VENICE REVERSE OSMOSIS WATER TREATMENT PLANT SODIUM HYPOCHLORITE BULK STORAGE SYSTEM REPLACEMENT			
Item	Description	Quantity	Unit	Unit Price	Total Price (In Numbers)
1	Mobilization and Demobilization	1	Lump Sum	-	
2	Demolition	1	Lump Sum	-	
3	Replacement of Sodium Hypochlorite Bulk Storage System	1	Lump Sum	-	
4	Replacement of Canopy/Roof system	1	Lump Sum	-	
5	Interior coating of Sodium Hypochlorite Bulk Storage Room.	1	Lump Sum		
6	Interior and exterior crack repairs to Sodium Hypochlorite Bulk Storage Room.	30	LF		
7	Interior surface spall repair to Sodium Hypochlorite Bulk Storage Room.	50	SF		
8	Owner's Contingency Allowance for additional Mechanical, Electrical, Instrumentation, and Structural Work, as needed.	1	Additional	-	\$50,000
<p>Total Base Bid (Sum of Items 1 through 8, inclusive) \$_____.</p> <p style="text-align: right;">(in numbers)</p> <p>Total Base Bid in Words (Sum of Items 1 through 8, inclusive) \$_____.</p>					

Notice Needed Prior To Commencement Of WORK: \_\_\_\_\_ calendar days

NAME OF BIDDER: \_\_\_\_\_ BIDDER'S SIGNATURE: \_\_\_\_\_

CURRENT LICENSE NUMBER: \_\_\_\_\_ DATE: \_\_\_\_\_

- 5.04 Unit prices have been computed in accordance with Paragraph 11.03.B of the General Conditions.
- 5.05 Bidder acknowledges that estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids, and final payment for all Unit Price items will be based on actual quantities of Unit Price Work determined as provided in the Contract Documents.
- 5.06 All specified cash allowances are included in the price(s) set forth above and have been completed in accordance with Paragraph 11.02 of the General Conditions.

#### ARTICLE 6 - TIME OF COMPLETION

- 6.01 Bidder agrees that the Work will be substantially complete within 180 calendar days after the date when the Contract Times commence to run as provided in Paragraph 2.03 of the General Conditions, and will be completed and ready for final payment in accordance with paragraph 14.07.B of the General Conditions within 210 calendar days after the date when the Contract Times commence to run, which days will be entered by OWNER into the AGREEMENT as the Contract Times.
- 6.02 Bidder accepts the provisions of the AGREEMENT as to liquidated and special damages, if any, in the event of failure to complete the Work within the Contract Times.

#### ARTICLE 7 - ATTACHMENTS TO THIS BID

- 7.01 The following documents are attached to and made a condition of this Bid:
- A. Required Bid security.
  - B. Required Bidder Qualifications Statement with supporting data.
  - C. Miscellaneous Bid Forms

#### ARTICLE 8 - DEFINED TERMS

- 8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders and the General Conditions and Supplementary Conditions.

ARTICLE 9 - BID SUBMITTAL

9.01 This Bid submitted on \_\_\_\_\_, 20\_\_ by:

If Bidder is:

Individual

Name (Typed or Printed): \_\_\_\_\_

By \_\_\_\_\_  
(Individual's Signature)

Doing business as \_\_\_\_\_

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

A Partnership

Partnership Name: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of General Partner - Attach evidence of authority to sign)

(Name (Typed or Printed): \_\_\_\_\_

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

A Corporation

Corporation Name: \_\_\_\_\_

\_\_\_\_\_  
(State of Incorporation)

By \_\_\_\_\_  
(Signature - Attach evidence of authority to sign)

Name and Title (Typed or Printed): \_\_\_\_\_

(CORPORATE  
SEAL)

Attest: \_\_\_\_\_  
(Secretary)

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_  
Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

Limited Liability Company

By: \_\_\_\_\_  
(Firm Name)

\_\_\_\_\_  
(State of Formation)

By: \_\_\_\_\_  
(Signature of Member/Authorized to Sign)

\_\_\_\_\_  
(Printed or Typed Name and Title of Member Authorized to Sign)  
(Attach evidence of authority to sign.)

License or Registration Number: \_\_\_\_\_

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_ Facsimile: \_\_\_\_\_

A Joint Venture

Name of Joint Venture: \_\_\_\_\_

First Joint Venturer Name: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of First Joint Venturer - Attach evidence of authority to sign)

Name (Typed or Printed): \_\_\_\_\_  
(Title)

Title: \_\_\_\_\_

Second Joint Venturer Name: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of Second Joint Venturer - Attach evidence of authority to sign)

Name (Typed or Printed): \_\_\_\_\_  
(Title)

(Each joint venturer must sign. The manner of signing for each individual, partnership, corporation or limited liability company that is a party to the joint venture shall be in the manner indicated above).

Business Address: \_\_\_\_\_

Phone and FAX number and address for receipt of communications to joint venture:

Phone: \_\_\_\_\_ Facsimile: \_\_\_\_\_

+ + END OF BID FORM + +

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## SECTION 01 11 13

### SUMMARY OF WORK

#### PART 1 – GENERAL

##### 1.1 SECTION INCLUDES

A. Table of Articles for this Section is:

<u>Article</u>	<u>Title</u>
1.1	Section Includes
1.2	Location and Description of Work
1.3	Other Construction Contracts
1.4	Work By Others
1.5	Work By Owner
1.6	Owner-furnished Equipment and Materials
1.7	Assigned Procurement Contracts
1.8	Sequence and Progress of Work
1.9	Contractor's Use of Site
1.10	Easements and Rights-of-Way
1.11	Notices to Owners and Authorities of Properties Adjacent to the Work
1.12	Salvage of Equipment and Materials
1.13	Partial Utilization by Owner

##### 1.2 LOCATION AND DESCRIPTION OF WORK

- A. The Work is located at the site of the City of Venice Water Treatment Plant (WTP) at 200 N. Warfield Ave. Venice, FL 34285.
- B. The work to be performed by the CONTRACTOR generally includes furnishing of all labor, equipment, materials, tools and services required to replace, test, and startup the proposed City of Venice WTP sodium hypochlorite bulk storage system. The specific areas of work include, but are not limited to items 1-3 listed below, along with associated site work, yard piping, valves, support systems and appurtenances, structural, painting, electrical, instrumentation, tie-ins to existing systems, and testing.
1. Remove and replace the existing sodium hypochlorite bulk storage system including bulk storage tanks, transfer pump, piping and associated valves, appurtenances, conduits, and wiring as shown and specified. Reuse existing bulk storage tank level sensors and transmitters. Calibration of these level sensors and associated SCADA updates will be completed by others.
  2. Remove existing failed coatings within the sodium hypochlorite bulk storage room containment area. Complete concrete repairs, install waterproof coating

- and apply base and finish coating to sodium hypochlorite bulk storage room containment area.
3. Remove and replace canopy/roof system over sodium hypochlorite bulk storage room.
- C. The CONTRACTOR shall perform system startup and testing. The CONTRACTOR shall be responsible for coordinating and completing the overall system startup and testing. The CONTRACTOR is responsible for providing all labor equipment and materials for conducting systems startup and testing. The CONTRACTOR is responsible for ensuring that all provisions for the Contract Documents have been properly executed and successfully completed.
- D. The Contract Documents indicate existing conditions only where they impact the proposed facility modifications and equipment installation. It is the responsibility of the CONTRACTOR to field verify existing and proposed equipment locations and notify the ENGINEER of any conflicts prior to construction. The contract documents have been developed to indicate the final location of equipment, piping, conduits and miscellaneous items associated with this project. The CONTRACTOR is responsible for developing and updating a construction schedule, which will allow installation of equipment in phases. Startup, testing and final certification of the project shall be included in the schedule.
- E. CONTRACTOR'S Duties:
1. Cooperate with the ENGINEER, other contractors for other projects, and the OWNER.
  2. Except as specifically noted, provide and pay for:
    - a. Labor, materials and equipment.
    - b. Tools, construction equipment, and machinery.
    - c. Water and utilities required for construction.
    - d. Other facilities and services necessary for the proper execution and completion of the Work.
  3. Secure and pay for, as necessary for the proper execution and completion of the Work, and as applicable at time of receipt of bids:
    - a. Permits.
    - b. Government fees.
    - c. Licenses.
  4. Give required notices in writing.
  5. Comply with codes, ordinances, rules, regulations, orders, and other
  6. Legal requirements of public authorities that bear on performance of Work.
  7. Promptly submit written notice to ENGINEER of observed variance of Contract Documents from legal requirements.
  8. Enforce strict discipline and good order among employees. Do not employ persons lacking the required skills for their assigned task.
  9. The CONTRACTOR shall furnish personnel and equipment that will be efficient, appropriate, and large enough to secure a satisfactory quality of work and a rate of progress that will ensure the completion of the work within the time stipulated.



10. The CONTRACTOR shall be responsible for restoring all disturbed property, resulting from his construction activities, or the activities of his sub-consultant, at no additional cost to the OWNER.
11. The CONTRACTOR shall confine his activities to the site(s) designated by the OWNER for the Work or for materials storage.

F. Contracting Method: Work shall be constructed under one prime contract.

### 1.3 OTHER CONSTRUCTION CONTRACTS

- A. Other construction contracts have been or will be awarded by OWNER that are in close proximity to or border on the Work of this Contract. Work under these other contracts is briefly described as follows:
  1. Building Renovation Project

### 1.4 WORK BY OTHERS (NOT USED)

### 1.5 WORK BY OWNER (NOT USED)

### 1.6 OWNER-FURNISHED EQUIPMENT AND MATERIALS (NOT USED)

### 1.7 ASSIGNED PROCUREMENT CONTRACTS (NOT USED)

### 1.8 SEQUENCE AND PROGRESS OF WORK

- A. Requirements for sequencing and coordinating with Owner's operations, including maintenance of plant operations during construction, and requirements for tie-ins and shutdowns, are in Section 01 14 16, Coordination with Owner's Operations.

### 1.9 CONTRACTOR'S USE OF SITE

- A. CONTRACTOR will only have access to the portions of the Site shown for storage and operations of workers.
- B. Move stored products that interfere with operations of OWNER, other contractors, and others performing work for OWNER.
- C. Site access shall be directed by the OWNER and ENGINEER.

### 1.10 EASEMENTS AND RIGHTS-OF-WAY

- A. Easements and rights-of-way will be provided by OWNER in accordance with the General Conditions. Confine construction operations within OWNER'S property, public rights-of-way, easements obtained by OWNER, and the limits shown. Use care in placing construction tools, equipment, excavated materials, and materials and equipment to be incorporated into the Work to avoid damaging property and

interfering with traffic. Do not enter private property outside the construction limits without permission from the owner of the property.

B. On Private Property:

1. General limits of easements are shown on the Drawings.

1.11 NOTICES TO OWNERS AND AUTHORITIES OF PROPERTIES ADJACENT TO THE WORK

- A. Notify owners of adjacent property and utilities when prosecution of the Work may affect their property, facilities, or use of property.
- B. When it is necessary to temporarily obstruct access to property, or when utility service connection will be interrupted, provide notices sufficiently in advance to enable affected persons to provide for their needs. Conform notices to Laws and Regulations and, whether delivered orally or in writing, include appropriate information concerning the interruption and instructions on how to limit inconvenience caused thereby.
- C. Notify utility owners and other concerned entities at least 48 hours prior to cutting or closing streets or other traffic areas or excavating near Underground Facilities or exposed utilities.

1.12 SALVAGE OF EQUIPMENT AND MATERIALS

- A. Existing equipment and materials removed and not shown or specified to be reused in the Work will become Contractor's property.
- B. Existing equipment and materials removed by Contractor shall not be reused in the Work, except where so specified or indicated.
- C. Carefully remove in manner to prevent damage all equipment and materials specified or indicated to be salvaged and reused or to remain property of Owner. Store and protect salvaged items specified or indicated to be used in the Work. Replace in kind or with new items equipment, materials, and components damaged in removal, storage, or handling through carelessness or improper procedures.
- D. Contractor may furnish and install new items, with Engineer's approval, instead of those specified or indicated to be salvaged and reused, in which case such removed items will become Contractor's property.

1.13 PARTIAL UTILIZATION BY OWNER (NOT USED)

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

++ END OF SECTION ++

## SECTION 01 14 16

### COORDINATION WITH OWNER'S OPERATIONS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for coordinating with OWNER's operations during the Work, and includes requirements for tie-ins and shutdowns necessary to complete the Work without impact on OWNER's operations except as allowed in this Section.
  - 2. CONTRACTOR shall provide labor, materials, tools, equipment and incidentals shown, specified and required to coordinate with OWNER's operations during the Work.
- B. Coordination:
  - 1. Review installation procedures under other Specification sections and coordinate Work that must be performed with or before the Work specified in this Section.
- C. Related Sections:
  - 1. Section 01 11 13, Summary of Work.
  - 2. Section 40 23 26, Piping, Valves, and Appurtenances for Chemical Systems.
  - 3. Section 43 21 13.16, Centrifugal Magnetic Drive Sealless End Suction Pumps.
  - 4. Section 43 41 00, Polyethylene Tanks.
- D. Perform the Work such that OWNER's facility remains in continuous satisfactory operation during the Project. Schedule and conduct the Work such that the Work does not: impede OWNER's production or processes, create potential hazards to operating equipment and personnel, reduce the quality of the facility's products or effluent, or cause odors or other nuisances.
- E. Work not specifically covered in this Section or in referenced Sections may, in general, be completed at any time during regular working hours in accordance with the General Conditions and Supplementary Conditions, subject to the requirements in this Section.
- F. CONTRACTOR has the option of providing additional temporary facilities that can eliminate or mitigate a constraint without additional cost to OWNER, provided such additional temporary facilities: do not present hazards to the public, personnel, structures, and equipment; that such additional temporary facilities do not adversely affect OWNER's ability to comply with Laws and Regulations, permits, and operating requirements; that such temporary facilities do not

generate or foster the generation of odors and other nuisances; and that requirements of the Contract Documents are fulfilled.

- G. Coordinate shutdowns with OWNER and ENGINEER. When possible, combine multiple tie-ins into a single shutdown to minimize impacts on OWNER's operations and processes.
- H. Do not shut off or disconnect existing operating systems, unless accepted by ENGINEER in writing. Operation of existing equipment will be by OWNER unless otherwise specified or indicated. Where necessary for the Work, CONTRACTOR shall seal or bulkhead OWNER-operated gates and valves to prevent leakage that may affect the Work, OWNER's operations, or both. Provide temporary watertight plugs and bulkheads as required. After completing the Work, remove seals, plugs, and bulkhead to satisfaction of ENGINEER.
- I. Bypassing:
  - 1. Diversion of flows around treatment processes is not allowed.

## 1.2 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Phasing and Shutdown Plan:
    - a. CONTRACTOR shall provide submittal explaining the proposed phasing and shutdown plan for the work under this project. Phasing and shutdown plan shall indicate in detail the proposed sequence of work, required shutdowns, and testing to be completed. Submittal shall also indicate the proposed containment methods, labor, materials and estimate of time required to complete the various phases and shutdowns required to perform the work.
    - b. When deviation from specified sequence is proposed, submittal shall explain in detail the proposed sequence change and its effects, including evidence that OWNER's operations will not be adversely affected by proposed change. List benefits of proposed sequence change, including benefits to Progress Schedule.
    - c. For each shutdown, submit an inventory of labor and materials required to perform the shutdown and tie-in tasks, an estimate of time required to accomplish the complete shutdown including time for OWNER to take down and start up existing equipment, systems, or conduits, and written description of steps required to complete the Work associated with the shutdown.
  - 2. Shutdown Notification: After acceptance of shutdown planning submittal and prior to starting the shutdown, provide written notification to OWNER and ENGINEER of date and time each shutdown is to start. Provide notification at least 72 hours in advance of each shutdown.

### 1.3 GENERAL CONSTRAINTS

- A. Specified in the Contract Documents are the sequence and shutdown durations, where applicable, for OWNER'S equipment, systems, and conduits that are to be taken out of service temporarily for the Work. New equipment, materials, and systems may be used by OWNER after the specified field quality controls and testing are successfully completed and the materials or equipment are Substantially Complete.
- B. The following constraints apply to coordination with OWNER's operations:
  - 1. CONTRACTOR shall maintain one sodium hypochlorite storage tank in service at all times during construction.
  - 2. Operational Access: OWNER'S personnel shall have access to equipment and areas that remain in operation.
  - 3. Schedule and perform equipment and system start-ups for Monday through Thursday. Equipment and systems shall not be placed into operation on Friday, Saturday, and Sunday.
  - 4. Dead End Valves or Pipe: Provide blind flanges, watertight bulkheads, or valve at temporary and permanent terminuses of pipes and conduits. Blind flanges and bulkheads shall be suitable for the service and braced and blocked, as required, or otherwise restrained as directed by ENGINEER. Temporary valves shall be suitable for their associated service. Where valve is provided at permanent terminus of pipe or conduit, also provide on downstream side of valve a blind flange with drain/flushing connection.
  - 5. OWNER shall be responsible for operating valves within the sodium hypochlorite bulk storage room. Maintain clean and dry work area by pumping and properly disposing of fluid that accumulates in work areas.
  - 6. Contractor shall protect sodium hypochlorite bulk storage tank that remains in service from weather, demolition, sandblasting, and painting activities within the bulk storage room during construction.
  - 7. Contractor shall protect all components that remain within the sodium hypochlorite bulk storage room throughout construction; including but not limited to piping, utilities, equipment, level transmitters and sensors, handrail grating, emergency eyewash and shower and associated potable water line.

### 1.4 SEQUENCE OF WORK

- A. Perform the Work in the specified sequence. CONTRACTOR may propose alternate sequence of work if OWNER operations are not adversely affected by proposed sequence change, with ENGINEER's acceptance. Stages specified in this Article 1.4 are sequence-dependent.
  - 1. Stage equipment for project activities.
  - 2. Remove conduits, wiring and HVAC ducts supported from roofing system, and remove canopy/roof system within the Sodium Hypochlorite Bulk Storage Room. Provide temporary support for existing potable water line within Sodium Hypochlorite Bulk Storage Room.

3. Install protective measures for sodium hypochlorite bulk storage tank that remains in service. Ensure adequate venting and other piping connections are maintained at all times for the sodium hypochlorite bulk storage tank that remains in service.
4. Remove all piping associated with Sodium Hypochlorite Bulk Storage Tank No. 1. Cap off 4-inch Fill line to Sodium Hypochlorite Bulk Storage Tank No.1 to maintain fill capability of Sodium Hypochlorite Bulk Storage Tank No. 2. Remove FRP Sodium Hypochlorite Bulk Storage Tank No. 1.
5. Remove existing coatings within containment area around Sodium Hypochlorite Bulk Storage Tank No. 1. Complete required crack and surface spall repair and apply waterproofing system and base and finish coating within containment area around Sodium Hypochlorite Bulk Storage Tank No. 1. Provide required curing time for concrete repairs and coating applications as specified and recommended by manufacturer.
6. Install new PE Sodium Hypochlorite Bulk Storage Tank No. 1 and make all required piping connections to this tank as shown and specified.
7. Install protective measures for Sodium Hypochlorite Bulk Storage Tank No. 1. Ensure adequate venting is maintained at all times for the sodium hypochlorite bulk storage tank that remains in service. OWNER will require up to two weeks to remove/transfer sodium hypochlorite from existing Bulk Storage Tank No. 2 prior to initiating demolition of this tank. CONTRACTOR shall account for this time in their schedule.
8. Remove all piping associated with Sodium Hypochlorite Bulk Storage Tank No. 2. Cap off 4-inch Fill line to Sodium Hypochlorite Bulk Storage Tank No.2 to maintain fill capability of Sodium Hypochlorite Bulk Storage Tank No. 1. Remove FRP Sodium Hypochlorite Bulk Storage Tank No. 2.
9. Remove existing coatings within containment area around Sodium Hypochlorite Bulk Storage Tank No. 2. Complete required crack and surface spall repair and apply waterproofing system and base and finish coating within containment area around Sodium Hypochlorite Bulk Storage Tank No. 2. Provide required curing time for concrete repairs and coating applications as specified and recommended by manufacturer.
10. Replace existing transfer pump with new Sodium Hypochlorite transfer pump and associated piping and appurtenances.
11. Install new PE Sodium Hypochlorite Bulk Storage Tank No. 2 and make all required piping connections to this tank as shown and specified.
12. Install new canopy/roof system. Reconnect existing level sensors using new conduit system. Reinstall existing HVAC duct.

#### 1.5 TIE-INS

- A. Table 01 14 16-A in this Section lists connections by CONTRACTOR to existing facilities. Table 01 14 16-A may not include all tie-ins required for the Work; CONTRACTOR shall perform tie-ins required to complete the Work. For tie-ins not included in Table 01 14 16-A, obtain requirements for tie-ins from ENGINEER.

## 1.6 SHUTDOWNS

### A. General:

1. Terminology: A “shutdown” is when a portion of the normal operation of OWNER’s facility, whether equipment, systems, piping, or conduit, has to be temporarily suspended or taken out of service to perform the Work.
2. Work that may interrupt normal operations shall be accomplished at times convenient to OWNER.
3. Furnish at the Site, in close proximity to the shutdown and tie-in work areas, tools, equipment, spare parts and materials, both temporary and permanent, necessary to successfully complete the shutdown. Complete to the extent possible, prefabrication of piping and other assemblies prior to the associated shutdown. Demonstrate to ENGINEER’s satisfaction that CONTRACTOR has complied with these requirements before commencing the shutdown.
4. If CONTRACTOR’s operations cause an unscheduled interruption of OWNER’s operations, immediately re-establish satisfactory operation for OWNER.
5. Unscheduled shutdowns or interruptions of continued safe and satisfactory operation of OWNER’s facilities that result in fines or penalties by authorities having jurisdiction shall be paid solely by CONTRACTOR if, in ENGINEER’s opinion, CONTRACTOR did not conform to the requirements of the Contract Documents, or was negligent in the Work, or did not exercise proper precautions in conducting the Work.
6. Shutdowns shall be in accordance with Table 01 14 16-B of this Section. Work requiring service interruptions for tie-ins shall be performed during scheduled shutdowns.
7. Temporary, short-term shutdowns of smaller piping, conduits, equipment, and systems may not be included in Table 01 14 16-B. Coordinate requirements for such shutdowns with ENGINEER and OWNER.

- B. Shutdowns of Electrical Systems: Comply with Laws and Regulations, including the National Electric Code. CONTRACTOR shall lock out and tag circuit breakers and switches operated by OWNER and shall verify that affected cables and wires are de-energized to ground potential before shutdown Work is started. Upon completion of shutdown Work, remove the locks and tags and notify ENGINEER that facilities are available for use.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION

### 3.1 DETAILED SHUTDOWN REQUIREMENTS

#### A. Shutdown A:

1. General:
  - a. Affected Equipment Operating Prior to Shutdown: Sodium Hypochlorite Bulk Storage Room
  - b. Equipment Operating During Shutdown: Full Plant except Sodium Hypochlorite Fill Station.
  - c. Equipment Out of Service During Shutdown: Sodium Hypochlorite Fill Station.
  - d. Replacement of the 4-inch Sodium Hypochlorite Fill line within the bulk storage room shall occur between sodium hypochlorite bulk deliveries.
2. Prior to Shutdown:
  - a. Obtain ENGINEER's acceptance of proposed shutdown planning submittal and shutdown notification submittal.
  - b. Bring necessary piping, couplings, valves, equipment, and appurtenances to the work areas.
  - c. Assist OWNER in preparing to take equipment and conduits temporarily out of service.
  - d. Coordinate other tie-ins to be performed simultaneously.
  - e. OWNER to fill Sodium Hypochlorite Bulk Storage Tank that remains in service prior to replacing the 4-inch Sodium Hypochlorite Fill line within the bulk storage room.
3. During Shutdown:
  - a. Demolish existing 4-inch Sodium Hypochlorite Fill line.
  - b. Install new 4-inch Sodium Hypochlorite Fill Line and connect to Sodium Hypochlorite Bulk Storage Tank No. 1. Cap off at 4-inch tee that connects to Sodium Hypochlorite Bulk Storage Tank No. 2.

#### B. Shutdown B:

1. General:
  - a. Affected Equipment Operating Prior to Shutdown: Sodium Hypochlorite Bulk Storage Room
  - b. Equipment Operating During Shutdown: Full Plant except Sodium Hypochlorite Transfer Pump.
  - c. Equipment Out of Service During Shutdown: Sodium Hypochlorite Transfer Pump.
  - d. Replacement and tie in of the transfer pump and associated piping and appurtenances shall occur within the available time provided by the day tank storage volume. If additional time is required for the transfer pump and associated piping tie in, CONTRACTOR shall provide temporary means to transfer sodium hypochlorite from the bulk storage tank to the day tank.



2. Prior to Shutdown:
  - a. Obtain ENGINEER's acceptance of proposed shutdown planning submittal and shutdown notification submittal.
  - b. Bring necessary piping, couplings, valves, equipment, and appurtenances to the work areas.
  - c. Assist OWNER in preparing to take equipment and conduits temporarily out of service.
  - d. Coordinate other tie-ins to be performed simultaneously.
  - e. OWNER to fill day tank prior to replacing and tying in the new transfer pump and associated piping and appurtenances.
3. During Shutdown:
  - a. Replace existing Transfer Pump with new Transfer Pump and complete piping and electrical tie in.
  - b. Connect new 2-inch Sodium Hypochlorite line to new transfer pump and tie into existing 2-inch Sodium Hypochlorite line at wall penetration within Sodium Hypochlorite bulk storage room.
  - c. Demolish existing 2-inch Sodium Hypochlorite line that remains within the Bulk Storage Room.

### 3.2 SCHEDULES

- A. The schedules listed below, following the "End of Section" designation, are part of this Specification section:
  1. Table 01 14 16-A, Schedule of Tie-ins.
  2. Table 01 14 16-B, Schedule of Shutdowns.

+ + END OF SECTION + +

<b>TABLE 01 14 16-A</b> <b>SCHEDULE OF TIE-INS</b>					
<b>Tie-In No.</b>	<b>New Line Size and Service</b>	<b>Existing (Connecting) Line Size &amp; Service</b>	<b>Tie-In Building/Location</b>	<b>Construction Stage</b>	<b>Remarks</b>
1	4" Sodium Hypochlorite Fill Piping	Existing 4" sodium hypochlorite fill piping	Sodium Hypochlorite Bulk Storage Room	6	-
2	2" Day Tank Overflow	Existing 2" Day Tank Overflow	Sodium Hypochlorite Bulk Storage Room	11	-
3	1" Day Tank Drain	Existing 1" Day Tank Drain	Sodium Hypochlorite Bulk Storage Room	11	-
4	2" Sodium Hypochlorite Line	Existing 2" Sodium Hypochlorite Line	Sodium Hypochlorite Bulk Storage Room	10	-

<b>TABLE 01 14 16-B</b> <b>SCHEDULE OF SHUTDOWNS</b>				
<b>Shut-down No.</b>	<b>Process Equipment and Service Lines Out-of-Service During Shutdown</b>	<b>Process Equipment In Operation During Shutdown</b>	<b>Stage Nos.</b>	<b>Maximum Duration</b>
A	Sodium Hypochlorite Fill Station	Full Plant	6	Time between bulk sodium hypochlorite deliveries
B	Sodium Hypochlorite Transfer Pump Replacement	Full Plant	10	8 hours

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## SECTION 01 22 13

### MEASUREMENT AND PAYMENT

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Items listed starting in Article 1.4 of this Section refer to and are the same pay items listed in the Bid Form and constitute all pay items for completing the Work. No direct or separate payment will be made for providing miscellaneous temporary or accessory works, plant services, CONTRACTOR's or ENGINEER's field offices, layout surveys, sanitary requirements, testing, safety provisions and safety devices, submittals and record drawings, water supplies, power and fuel, traffic maintenance, removal of waste, security, coordination with OWNER's operations, information technology (including hardware, software, and services) required during construction, bonds, insurance, or other requirements of the General Conditions, Supplementary Conditions, General Requirements, and other requirements of the Contract Documents. Compensation for all services, items, materials, and equipment shall be included in prices stipulated for lump sum pay items listed in this Section and included in the Contract.
- B. Each lump sum bid price shall include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR's overhead and profit for each separately identified item.

##### 1.2 ENGINEER'S ESTIMATE OF QUANTITIES

- A. ENGINEER's estimated quantities for items of Work, as included in the Contract, are approximate only and are included solely for purpose of comparing Bids and pricing. OWNER does not expressly or by implication agree that nature of materials encountered below the ground surface or actual quantities of material encountered or required will correspond with the quantities included in the Contract at the time of award and reserves right to increase or decrease quantities or to eliminate quantities as OWNER may deem necessary.

##### 1.3 RELATED PROVISIONS

- A. Payments to CONTRACTOR: Refer to General Conditions and Agreement.
- B. Changes in Contract Price: Refer to General Conditions.
- C. Schedule of Values: Refer to Section 01 29 73, Schedule of Values.

## 1.4 GENERAL CONSTRUCTION

### A. Item 1 – Mobilization/Demobilization:

1. Measurement: Lump sum payment for Item 1 will be full compensation for completing all work associated with mobilization and demobilization as shown and specified. Seventy-five percent (75%) will be paid upon services rendered for mobilization and the remaining twenty-five percent (25%) will be paid upon services rendered for demobilization.
2. Payment: The lump sum price bid shall include all expenses related to the amassing of all labor, equipment, temporary offices, transportation, storage and materials required for the construction of the project, as shown on the Drawings and as specified for mobilization. Demobilization shall include removal of equipment and temporary offices as well as cleaning of site.

### B. Item 2 – Demolition:

1. Measurement: The lump sum price bid shall include removal of the sodium hypochlorite bulk storage tanks; and associated piping, valves and appurtenances; transfer pump; conduits and wiring, and removal of the existing HVAC duct.
2. Payment: Lump sum payment for Item 2 will be full compensation for completing all demolition work associated with the sodium hypochlorite bulk storage system as shown and specified.

### C. Item 3 – Replacement of Hypochlorite Bulk Storage System:

1. Measurement: The lump sum price bid shall include the installation of Sodium Hypochlorite Bulk Storage Tank Nos. 1 and 2, and associated piping, valves and appurtenances; installation of the Sodium Hypochlorite Transfer Pump, associated piping, valves and appurtenances, start-up and performance testing; installation of the existing level sensors and associated conduits and wiring; and reinstallation of the existing HVAC duct. This pay item shall also include furnishing a spare Sodium Hypochlorite Transfer Pump as specified.
2. Payment: Lump sum payment for Item 3 will be full compensation for completing all construction work associated with the replacement of the sodium hypochlorite bulk storage system as shown and specified.

### D. Item 4 –Replacement of Canopy/Roof System:

1. Measurement: This item includes removing existing canopy/roof system for the installation of sodium hypochlorite bulk storage system, and replacing with a new canopy/roof system.
2. Payment: Lump sum payment for Item 4 will be full compensation for completing all demolition and construction work associated with the canopy/roof system replacement as shown and specified.

- E. Item 5 – Interior coating of Sodium Hypochlorite Bulk Storage Room:
1. Measurement: Payment for this item will be full compensation for completing the work associated with interior coating, as shown and specified.
  2. Payment: The unit price bid shall be on a lump sum basis, and shall include all, supervision, labor, materials, tools, equipment and services for the completion of all work associated with the interior removal of the existing coating system, preparation of surfaces, adding waterproofing system, and applying base and finish coatings of the Sodium Hypochlorite Bulk Storage Room as shown and specified and as recommended by coating system manufacturer.
- E. Item 6 – Interior and exterior crack repairs to Sodium Hypochlorite Bulk Storage Room:
1. Measurement: Payment for this item will be full compensation for completing the work associated with crack repairs, as shown and specified. Actual extent of crack repairs required will be agreed upon with CONTRACTOR prior to initiating crack repair work.
  2. Payment: The unit price bid shall be on a linear foot (LF) basis, and shall include all, supervision, labor, materials, tools, equipment and services for the completion of all work associated with the crack repairs of the interior and exterior of the Sodium Hypochlorite Bulk Storage Room as shown and specified.
- F. Item 7 – Interior surface spall repair to Sodium Hypochlorite Bulk Storage Room:
1. Measurement: Payment for this item will be full compensation for completing the work associated with surface spall repair, as shown and specified. Actual extent of surface spall repairs required will be agreed upon with CONTRACTOR prior to initiating surface spall repair work.
  2. Payment: The unit price bid shall be on a square foot (SF) basis, and shall include all, supervision, labor, materials, tools, equipment and services for the completion of all work associated with the surface spall repair of the interior of the Sodium Hypochlorite Bulk Storage Room
- G. Item 8 – Owner's Contingency Allowance for additional Mechanical, Electrical, Instrumentation, and Structural Work, as needed:
1. Measurement: Includes a stipulated amount available as reserve for sole use by OWNER to cover unanticipated costs including, minor items unforeseen and necessary but not included in bid, unanticipated conflicts and/or design changes required during construction, and minor increases to existing bid item quantities, which are necessary for safe and timely completion of the Work.
  2. Payment: Payment for Work authorized under Item 8 will be full compensation for providing all Work authorized under the contingency allowance, complete as shown, indicated, or directed by ENGINEER, only upon written authorization from OWNER. Work authorized under contingency allowance may be included in subsequent Application(s) for Payment, as applicable, following authorization of and performance of contingency allowance Work.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +



## SECTION 01 25 00

### SUBSTITUTION PROCEDURES

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Scope: Section includes:
  - 1. Administrative and procedural requirements for selecting products for the Project.
  - 2. Procedural requirements for product substitutions.
  - 3. Procedural requirements for substitute construction methods or procedures, when construction methods or procedures are specified.

##### 1.2 TERMINOLOGY

- A. The following words or terms are not defined but, when used in this Section, have the following meaning:
  - 1. “Products” includes materials, equipment, machinery, components, fixtures, systems, and other goods incorporated in the Work. Products do not include machinery and equipment used for preparing, fabricating, conveying, erecting, or installing the Work. Products include OWNER-furnished goods incorporated in the Work where use of such goods is specifically required in the Contract Documents.

##### 1.3 PRODUCT SUBSTITUTIONS

- A. Requests for approval of substitute products or items will be considered for a period of 45 days after the Effective Date of the Agreement. After end of specified period, requests will be considered only in case of unavailability of a specified product or other conditions beyond CONTRACTOR’s control.
- B. Procedure:
  - 1. Submit number of copies of request for substitution as specified for Shop Drawings and other submittals in Section 01 33 00, Submittal Procedures.
  - 2. Submit separate request for each substitution.
  - 3. Submit substitution request using forms attached to this Section by completing all information requested on the forms, and enclose with the forms supplementary information as required. In addition to requirements of the General Conditions and information required on substitution request forms, include with request the following:
    - a. Product identification, including manufacturer’s name and address.
    - b. Manufacturer’s literature with product description, performance and test data, and reference standards with which product complies.
    - c. Samples, if appropriate.

- d. Name and address of similar projects on which product was used, and date of installation.

#### 1.4 SUBSTITUTE CONSTRUCTION METHODS OR PROCEDURES

- A. Where construction methods or procedures are specified, for a period of 60 days after the Effective Date of the Agreement, ENGINEER will consider CONTRACTOR's written requests for substitute construction methods or procedures specified.
- B. Procedure:
  - 1. Submit number of copies of request for substitution as specified for Shop Drawings and other submittals in Section 01 33 00, Submittal Procedures.
  - 2. Submit separate request for each substitution.
  - 3. Submit substitution request using forms attached to this Section by completing all applicable information requested on the forms, and enclose with the forms supplementary information as required. In addition to requirements of the General Conditions and information required on substitution request forms, include with request the following:
    - a. Detailed description of proposed method or procedure.
    - b. Itemized comparison of the proposed substitution with the specified method or procedure.
    - c. Drawings illustrating method or procedure.
    - d. Other data required by ENGINEER to establish that proposed substitution is equivalent to specified method or procedure.

#### 1.5 CONTRACTOR'S REPRESENTATION AND ACCEPTANCE

- A. In submitting request for substitution, CONTRACTOR represents that:
  - 1. CONTRACTOR has investigated proposed substitution and determined that it is equivalent to item, product, method, or procedure specified, as applicable.
  - 2. CONTRACTOR will provide the same or better guarantees or warranties for proposed substitution as for the specified product, manufacturer, method, or procedure, as applicable.
  - 3. CONTRACTOR waives all Claims for additional costs or extension of time related to proposed substitution that subsequently may become apparent.
- B. A proposed substitution will not be accepted for review if:
  - 1. Approval would require changes in design concept or a substantial revision of the Contract Documents.
  - 2. Approval would delay completion of the Work or the work of other contractors.
  - 3. Substitution request is indicated or implied on a Shop Drawing or other submittal, or on a request for interpretation or clarification, and is not accompanied by CONTRACTOR's formal request for substitution.
- C. If ENGINEER does not approve the proposed substitute, CONTRACTOR shall provide the specified product, manufacturer, method, or procedure, as applicable.

- D. Approval of a substitution request will not relieve CONTRACTOR from requirement for submitting Shop Drawings as set forth in the Contract Documents.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION

3.1 SUPPLEMENTS

- A. The forms listed below, following the “End of Section” designation, are part of this Specification Section:
1. Substitution Request Form (two pages).
  2. Product Substitution Checklist (one page).

+ + END OF SECTION + +

## **SUBSTITUTION REQUEST**

Project: \_\_\_\_\_ Substitution Request Number: \_\_\_\_\_  
\_\_\_\_\_  
From: \_\_\_\_\_  
To: \_\_\_\_\_ Date: \_\_\_\_\_  
\_\_\_\_\_  
Engineer Proj. No. \_\_\_\_\_  
Re: \_\_\_\_\_ Contract For: \_\_\_\_\_

---

Specification Title: \_\_\_\_\_ Description: \_\_\_\_\_  
Section: \_\_\_\_\_ Page: \_\_\_\_\_ Article/Paragraph: \_\_\_\_\_

---

Proposed Substitution: \_\_\_\_\_  
Manufacturer: \_\_\_\_\_ Address: \_\_\_\_\_ Phone: \_\_\_\_\_  
Trade Name: \_\_\_\_\_ Model No.: \_\_\_\_\_  
Installer: \_\_\_\_\_ Address: \_\_\_\_\_ Phone: \_\_\_\_\_  
History: ☐ New product ☐ 1-4 years old ☐ 5-10 years old ☐ More than 10 years old

Differences between proposed substitution and specified product: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

☐ Point-by-point comparative data attached — REQUIRED BY THE CONTRACT DOCUMENTS

---

Reason for not providing specified item: \_\_\_\_\_  
\_\_\_\_\_

### Similar Installation:

Project: \_\_\_\_\_ Engineer: \_\_\_\_\_  
Address: \_\_\_\_\_ Owner: \_\_\_\_\_  
\_\_\_\_\_ Date Installed: \_\_\_\_\_

Proposed substitution affects other parts of Work: ☐ No ☐ Yes; explain \_\_\_\_\_  
\_\_\_\_\_

---

Savings to Owner for accepting substitution: \_\_\_\_\_ (\$ \_\_\_\_\_ )  
(attach detailed, itemized estimate)

Proposed substitution changes Contract Time: ☐ No ☐ Yes [Add] [Deduct] \_\_\_\_\_ days.  
(clarify whether change is to Substantial Completion, Milestone, or time for readiness for final payment)

---

Supporting Data Attached: ☐ Drawings ☐ Product Data ☐ Samples ☐ Tests ☐ Reports ☐ \_\_\_\_\_

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# SUBSTITUTION REQUEST

(Continued)

☐ Substitute product, method, or procedure is subject to payment of licensing fee or royalty (check if "yes" and attach information)

☐ Substitute product, method, or procedure is patented or copyrighted (check if "yes" and attach information)

The undersigned certifies:

- Representations in the General Conditions and in Section 01 25 00, Substitution Procedures, regarding substitutions are valid.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay Progress Schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for Engineer's review and changes, if any, to the design and Contract Documents, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted by: \_\_\_\_\_

Signed by: \_\_\_\_\_

Firm: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Attachments: ☐

## ENGINEER'S REVIEW AND ACTION (FOR ENGINEER'S USE ONLY)

- ☐ Substitution approved.
- ☐ Substitution approved as noted.
- ☐ Substitution rejected - Use specified materials.
- ☐ Substitution Request received too late - Use specified materials.

Signed by: \_\_\_\_\_ Date: \_\_\_\_\_

Additional Comments: ☐ Contractor ☐ Subcontractor ☐ Supplier ☐ Manufacturer ☐ Engineer  
☐ Other:

Adapted from CSI Form No. 13.0B, 2004 edition

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## **PRODUCT SUBSTITUTION CHECKLIST**

Date: \_\_\_\_\_

Re: \_\_\_\_\_

Engineer Proj No.: \_\_\_\_\_

Manufacturer's Project No.: \_\_\_\_\_

Filing No.: \_\_\_\_\_

Contract For: \_\_\_\_\_

---

### **Product Equivalence:**

☐ Is the submitted product equivalent to the specified item? \_\_\_\_\_

☐ Does it serve the same function? \_\_\_\_\_

☐ Does it have the same dimensions? \_\_\_\_\_

☐ Does it have the same appearance? \_\_\_\_\_

☐ Will it last as long? \_\_\_\_\_

☐ Does it comply with the same codes, and standards and performance requirements? \_\_\_\_\_

☐ Has the product been used locally, and where are the projects? \_\_\_\_\_

☐ Has a problem occurred with the product, and what was the remedy? \_\_\_\_\_

---

### **Effect on the Project:**

☐ Will the substitution affect other aspects of the construction? \_\_\_\_\_

☐ Are any details affected and are changes required? \_\_\_\_\_

☐ What is the cost of the changes? \_\_\_\_\_

☐ Who pays for the required changes? \_\_\_\_\_

☐ Is construction time affected? \_\_\_\_\_

---

### **Effect on the Warranty:**

☐ How does the proposed warranty differ from the specified warranty? \_\_\_\_\_

☐ Does the manufacturer have a track record of standing behind the warranty? \_\_\_\_\_

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Adapted from CSI Form No. 20.3, 1998 edition

## SECTION 01 29 73

### SCHEDULE OF VALUES

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Submit to ENGINEER for acceptance a Schedule of Values that allocates cost to each item of the Work. Schedule of Value list of line items shall correspond to each aspect of the Work, establishing in detail the portion of the Contract Price allocated to each major component of the Work.
- B. Upon request of ENGINEER, support values with data that substantiate their correctness.
- C. Submit preliminary Schedule of Values to ENGINEER for initial review. CONTRACTOR shall incorporate ENGINEER's comments into the Schedule of Values and resubmit to ENGINEER. ENGINEER may require corrections and re-submittals until Schedule of Values is acceptable.
- D. Schedule of Values and the Progress Schedule updates specified in Section 01 32 16, Progress Schedule, shall be basis for preparing each Application for Payment. Schedule of Values may be used as a basis for negotiating price of changes, if any, in the Work.
- E. Include in Schedule of Values unit price payment items with their associated quantity. Provide in the Schedule of Values detailed breakdown of unit prices when required by ENGINEER.
- F. Include in Schedule of Values itemized list of Work for each major part of the Contract, for each payment item specified in Section 01 22 13, Measurement and Payment.
- G. Requirements for preliminary Schedule of Values and Schedule of Values are:
  - 1. Schedule of Values shall show division of Work between CONTRACTOR and Subcontractors. Line items for Work to be done by Subcontractor shall include the word, "(SUBCONTRACTED)".
  - 2. Schedule of Values shall include breakdown of costs for materials and equipment, installation, and other costs used in preparing the Bid by CONTRACTOR and each Subcontractor. List purchase and delivery costs for materials and equipment for which CONTRACTOR may apply for payment as stored materials.
  - 3. Include separate amounts for each Specification Section in the Contract Documents by structure and work area.

4. Identify each line item with number corresponding to the associated Specification Section number. List sub-items of major products or systems, as appropriate or when requested by ENGINEER.
5. Sum of individual values shown on the Schedule of Values shall equal the total of associated payment item. Sum of payment item totals in the Schedule of Values shall equal the Contract Price.
6. Include in each line item a directly proportional amount of CONTRACTOR's overhead and profit. Do not include overhead and profit as separate item(s).
7. Include separate line item for each allowance, and for each unit price item
8. Include line item for bonds and insurance.
9. Include items for the General Conditions, permits (when applicable), construction Progress Schedule, and other items required by ENGINEER. Include such items in Applications for Payment on schedule accepted by ENGINEER.
10. Line items for Site maintenance such as dust control, compliance with storm water pollution prevention plans and permits, spill prevention control and countermeasures plans, and for construction photographic documentation; temporary utilities and temporary facilities, field offices, temporary controls, field engineering, and similar Work shall be included in the Schedule of Values and proportioned in Applications for Payment throughout duration of the Work.
11. Include separate line items under each appropriate payment item for mobilization and demobilization. Document for ENGINEER the activities included in mobilization and demobilization line items. Payment for mobilization and demobilization activities shall occur in accordance with Section 01 22 13, Measurement and Payment.
12. Include costs for submittals, operations and maintenance manuals, field testing, training of operations and maintenance personnel, and similar Work.
13. Contractor will be required to review with ENGINEER the status of record documents in connection with the Engineer's review of an Application for Payment. Failure to maintain record document current may be just cause for ENGINEER to recommend withholding of payments for Work performed.
14. Coordinate Schedule of Values with resource loading of the Progress Schedule, in accordance with Section 01 32 16, Progress Schedule.

## 1.2 SUBMITTALS

- A. Informational Submittals: Submit the following:
  1. Submit to ENGINEER four copies of Schedule of Values.
  2. Content of Schedule of Values submittals shall conform to Article 1.1 of this Section.



3. Time Frames for Submittals:
  - a. Submit preliminary Schedule of Values within ten days of date that the Contract Times commence running in accordance with the Notice to Proceed.
  - b. Submittal of the Schedule of Values shall be in accordance with the General Conditions. ENGINEER will not accept Applications for Payment without an acceptable Schedule of Values.
  - c. When required by ENGINEER, promptly submit updated Schedule of Values to include cost breakdowns for changes in the Contract Price.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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## SECTION 01 31 13

### PROJECT COORDINATION

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. CONTRACTOR shall coordinate the Work, including testing agencies whether hired by CONTRACTOR, OWNER, or others; Subcontractors, Suppliers, and others with whom coordination is necessary, in accordance with the General Conditions, Supplementary Conditions, and this Section, to complete the Work within the Contract Times and in accordance with the Contract Documents.
- B. In accordance with the General Conditions as may be modified by the Supplementary Conditions, CONTRACTOR shall cooperate with and coordinate the Work with other contractors, utility service companies, OWNER's employees working at the Site, and other entities working at the Site, in accordance with Section 01 11 13, Summary of Work.
- C. CONTRACTOR will not be responsible or liable for damage unless damage is through negligence of CONTRACTOR, or Subcontractors, Supplier, or other entity employed by CONTRACTOR.
- D. Attend and participate in all project coordination and progress meetings, and report on the progress of the Work and compliance with the Progress Schedule.
- E. Maintain sufficient competent personnel, drafting and CADD equipment, and supplies at the Site for preparing layout drawings, coordination drawings, and record documents. With the Contract Documents and Shop Drawings, use such coordination drawings as tools for coordinating the Work of various trades. Where such coordination drawings are to be prepared by mechanical, electrical, plumbing, or heating-ventilating-air conditioning Subcontractors and other Subcontractors, ensure that each Subcontractor maintains required personnel and facilities at the Site.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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## SECTION 01 31 19

### PRE-CONSTRUCTION CONFERENCE

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. A pre-construction conference will be held for the Project.
  - 2. CONTRACTOR shall attend the conference prepared to discuss all items on the agenda.
  - 3. ENGINEER will distribute an agenda, preside at conference, and prepare and distribute minutes to all conference participants and others as requested.
- B. Purpose of conference is to designate responsible personnel, establish working relationships, discuss preliminary schedules submitted by CONTRACTOR, and review administrative and procedural requirements for the Project. Matters requiring coordination will be discussed and procedures for handling such matters will be established.
- C. Date, Time and Location: Conference will be held after execution of the Contract and before Work starts at the Site. ENGINEER will establish the date, time, and location of conference and notify the interested and involved parties.
- D. Prior to the conference, submit the following preliminary schedules in accordance with the General Conditions:
  - 1. Progress Schedule.
  - 2. Schedule of Submittals.
  - 3. Schedule of Values.
- E. CONTRACTOR shall provide information required and contribute appropriate items for discussion. CONTRACTOR shall bring to the conference the following, with sufficient number of copies for each attendee:
  - 1. Preliminary Progress Schedule, as submitted to ENGINEER.
  - 2. Preliminary Schedule of Submittals, as submitted to ENGINEER.
  - 3. Preliminary Schedule of Values, as submitted to ENGINEER.
  - 4. List of emergency contact information, in accordance with Article 1.4 of this Section.

## 1.2 REQUIRED ATTENDANCE

- A. Representative of each entity attending the conference shall be authorized to act on that entity's behalf.
- B. Contractor Attendance: Conference shall be attended by CONTRACTOR's project manager, Site superintendent, project managers for major Subcontractors, and major equipment Suppliers as CONTRACTOR deems appropriate.
- C. Other attendees will be representatives of:
  - 1. OWNER, if available.
  - 2. ENGINEER.
  - 3. Authorities having jurisdiction over the Work, if available.
  - 4. Utility owners, as applicable.
  - 5. Others as requested by OWNER, CONTRACTOR, or ENGINEER.

## 1.3 AGENDA

- A. Preliminary Agenda: Be prepared to discuss in detail the topics listed below. Revisions to this agenda, if any, will be furnished to CONTRACTOR prior to conference.
  - 1. Procedural and Administrative:
    - a. Personnel and Teams:
      - 1) Designation of roles and personnel.
      - 2) Limitations of authority of personnel, including personnel who will sign Contract modifications and make binding decisions.
      - 3) Lists of proposed Subcontractors and manufacturers (where applicable).
      - 4) Authorities having jurisdiction.
    - b. Procedures for communications and correspondence.
    - c. Copies of the Contract Documents and availability.
    - d. Subcontractors.
    - e. The Work and Scheduling:
      - 1) Scope of the Work.
      - 2) Contract Times, including Milestones (if any).
      - 3) Phasing and sequencing.
      - 4) Preliminary Progress Schedule.
      - 5) Critical path activities.
    - f. Safety:
      - 1) Responsibility for safety.
      - 2) Designation of Contractor's safety representative.
      - 3) Emergency procedures and accident reporting.
      - 4) Emergency contact information.
      - 5) Confined space entry procedures.
      - 6) Hazardous materials communication program.
      - 7) Impact of Project on public safety.
    - g. Permits.

- h. Review of insurance requirements and insurance claims.
- i. Coordination:
  - 1) Project coordination, and coordination among contractors.
  - 2) Coordination with Owner's operations.
  - 3) Progress meetings.
- j. Products and Submittals:
  - 1) Preliminary Schedule of Submittals.
  - 2) Shop Drawings, Samples, and other submittals.
  - 3) Product options, "or equals", and substitutions.
  - 4) Construction photographic documentation.
- k. Contract Modification Procedures:
  - 1) Requests for interpretation.
  - 2) Clarification notices.
  - 3) Field Orders.
  - 4) Proposal requests.
  - 5) Change Order proposals.
  - 6) Work Change Directives.
  - 7) Change Orders.
  - 8) Procedure for filing Claims.
- l. Payment:
  - 1) Owner's Project financing and funding.
  - 2) Owner's tax-exempt status.
  - 3) Preliminary Schedule of Values, and procedures for measuring for payment.
  - 4) Retainage.
  - 5) Progress payment procedures.
  - 6) Prevailing wage rates and payrolls.
- m. Testing and inspections, including notification requirements.
- n. Disposal of demolition materials.
- o. Record documents.
- p. Preliminary Discussion of Contract Closeout:
  - 1) Procedures for Substantial Completion.
  - 2) Contract closeout requirements.
  - 3) Correction period.
  - 4) Duration of bonds and insurance.
- 2. Site Mobilization (if not covered in a separate meeting):
  - a. Working hours and overtime.
  - b. Field offices, trailers, and staging areas.
  - c. Temporary facilities.
  - d. Temporary utilities and limitations on utility consumption (where applicable).
  - e. Utility company coordination (if not done as a separate meeting).
  - f. Access to Site, access roads, and parking for construction vehicles.
  - g. Maintenance and protection of traffic.
  - h. Use of premises.
  - i. Protection of existing property.
  - j. Security.

- k. Temporary controls, such as sediment and erosion control, noise control, dust control, storm water control, and other such measures.
  - l. Site barriers and temporary fencing.
  - m. Storage of materials and equipment.
  - n. Reference points and benchmarks; surveys and layouts.
  - o. Site maintenance during the Project.
  - p. Cleaning and removal of trash and debris.
  - q. Restoration.
  - r. Site specific safety plan including hurricane preparedness plan.
- 3. General discussion and questions.
  - 4. Next meeting.
  - 5. Site visit, if required.

#### 1.4 EMERGENCY CONTACT INFORMATION

- A. CONTRACTOR shall provide list of emergency contact information for 24-hour use throughout the Project. Emergency contact information shall be updated and kept current throughout the Project. If personnel or contact information change, provide updated emergency contact information list at the next progress meeting.
- B. CONTRACTOR's list of emergency contact information shall include:
  - 1. CONTRACTOR's project manager's office, field office, and cellular numbers.
  - 2. CONTRACTOR's Site superintendent's office, field office, and cellular numbers.
  - 3. CONTRACTOR's foreman's field office, and cellular numbers.
  - 4. Major Subcontractors' and Suppliers' office, and cellular numbers of project manager and foreman (when applicable).
- C. Additional Emergency Contact Information:
  - 1. OWNER's office and cellular telephone numbers.
  - 2. OWNER's central 24-hour emergency telephone number, if applicable.
  - 3. ENGINEER's project manager's office and cellular telephone numbers.
  - 4. Resident Project Representative's office, field office, and cellular telephone numbers for each RPR.
  - 5. Utility companies' 24-hour contact telephone number(s), including gas, water, sewer, oil, telephone, cable television/telecommunications, and other companies or concerns having utilities in the vicinity of the Work.
  - 6. Highway and street owners' 24-hour telephone number(s).
  - 7. Emergency telephone numbers, including: "Emergency: Dial 911", and ten-digit telephone numbers for the hospital, ambulance, police, and fire department nearest to the Site. Provide names of each of these institutions.
  - 8. Other involved entities as applicable.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

++ END OF SECTION ++



## SECTION 01 32 16

### PROGRESS SCHEDULE

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. Prepare and submit Progress Schedules in accordance with the General Conditions and this Section, unless otherwise accepted by ENGINEER.
2. Maintain and update Progress Schedules. Submit updated Progress Schedules as specified in this Section unless otherwise directed by ENGINEER.
3. ENGINEER's acceptance of the Progress Schedule, and comments or opinions concerning the activities in the Progress Schedule shall not control CONTRACTOR's independent judgment relative to means, methods, techniques, sequences, and procedures of construction. CONTRACTOR is solely responsible for complying with the Contract Times.

##### 1.2 SUBMITTALS

###### A. Informational Submittals: Submit the following:

1. Progress Schedules:
  - a. Submit 3 copies of preliminary Progress Schedule in accordance with Paragraph 2.05 of the General Conditions. Submit in accordance with Section 01 33 00, Submittal Procedures.
  - b. After making revisions in accordance with ENGINEER's comments on the preliminary Progress Schedule, submit 3 copies of Progress Schedule in accordance with Paragraph 2.07 of the General Conditions. Submit in accordance with Section 01 33 00, Submittal Procedures.
  - c. Submit each Progress Schedule submittal with letter of transmittal complying with requirements of Section 01 33 00, Submittal Procedures, and specifically indicating the following:
    - 1) Listing of activities and dates that have changed since the previous Progress Schedule submittal.
    - 2) Discussion of problems causing delays, anticipated duration of delays, and proposed countermeasures.
2. Recovery Schedules: Submit in accordance with this Section.

##### 1.3 PROGRESS SCHEDULE FORMAT AND CONTENT

###### A. Format:

1. Type:
  - a. Horizontal bar chart or Gantt chart.
2. Sheet Size: 11 inches x 17 inches unless otherwise accepted by ENGINEER.
3. Time Scale: Indicate first date of each work week.
4. Organization:

- a. Indicate on the separate Schedule of Submittals dates for submitting and reviewing Shop Drawings, Samples, and other submittals.
  - b. Group deliveries of materials and equipment into a separate sub-schedule that is part of the Progress Schedule.
  - c. Group construction into a separate sub-schedule (that is part of the Progress Schedule) by activity.
  - d. Group critical activities that dictate the rate of progress (the “critical path”) into a separate sub-schedule that is part of the Progress Schedule. Clearly indicate the critical path on the Progress Schedule.
  - e. Organize each sub-schedule by Specification Section number.
5. Activity Designations: Indicate title and related Specification Section number.
- B. Content: Progress Schedules shall indicate the following:
1. Delivery dates for materials and equipment to be incorporated into the Work.
  2. Dates for beginning and completing each phase of the Work by activity and by trade.
  3. Dates for start-up and check-out, field-testing, and instruction of OWNER’s personnel.
  4. Dates corresponding to the Contract Times, and planned completion date associated with each Milestone (if any), Substantial Completion, and readiness for final payment.
- C. Coordinate the Progress Schedule with the Schedule of Submittals.

#### 1.4 RECOVERY SCHEDULES

- A. Recovery Schedules, General:
1. When updated Progress Schedule indicates that the ability to comply with the Contract Times falls 5 or more days behind schedule, and there is no excusable delay, Change Order, or Work Change Directive to support an extension of the Contract Times, CONTRACTOR shall prepare and submit a Progress Schedule demonstrating CONTRACTOR’s plan to accelerate the Work to achieve compliance with the Contract Times (“recovery schedule”) for ENGINEER’s acceptance.
  2. Submit recovery schedule within 3 days after submittal of updated Progress Schedule where need for recovery schedule is indicated.
- B. Implementation of Recovery Schedule:
1. At no additional cost to OWNER, do one or more of the following: furnish additional labor, provide additional construction equipment, provide suitable materials, employ additional work shifts, expedite procurement of materials and equipment to be incorporated into the Work, and other measures necessary to complete the Work within the Contract Times.
  2. Upon acceptance of recovery schedule by ENGINEER, incorporate recovery schedule into the next Progress Schedule update.
- C. Lack of Action:

1. CONTRACTOR's refusal, failure, or neglect to take appropriate recovery action, or to submit a recovery schedule, shall constitute reasonable evidence that CONTRACTOR is not prosecuting the Work or separable part thereof with the diligence that will ensure completion within the Contract Times. Such lack of action shall constitute sufficient basis for OWNER to exercise remedies available to OWNER under the Contract Documents.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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## SECTION 01 32 33

### PHOTOGRAPHIC DOCUMENTATION

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. CONTRACTOR shall perform services specified, including:
  - 1. Digital photography.
- B. Provide photographic documentation for the following:
  - 1. Pre-construction.
  - 2. Construction progress.
  - 3. Final.
- C. Image Quality:
  - 1. Photographic documentation shall be in color.
  - 2. Photographic images shall be suitably staged and set up (“framed”), focused, and shall have adequate lighting.
  - 3. For still photographs, use camera with minimum 7.0-megapixel resolution.

##### 1.2 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Photographer:
    - a. Photographer shall be experienced in photographing construction sites.
    - b. Upon request of ENGINEER, submit documentation of having successfully performed photographic documentation for at least five previous construction projects, each lasting at least five months.
- B. ENGINEER will approve the views to be taken and select time at which images will be taken. Photographic subjects, views, and angles will vary with progress of the Work.

##### 1.3 SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Pre-construction Photographic Documentation: Submit acceptable pre-construction photographic documentation prior to mobilizing to and disturbing the Site. Provide pre-construction photographic documentation no later than first Application for Payment, unless other schedule is accepted by ENGINEER.
  - 2. Construction Progress Photographic Documentation: Submit acceptable construction progress photographic documentation monthly. Submit with each Application for Payment, unless otherwise agreed to by ENGINEER.
  - 3. Qualifications Statements:

- a. When requested by ENGINEER, submit photographer qualifications and record of experience, prior to starting photographic documentation Work. List of construction photography experience shall include the following for each project: project name and location, nature of construction, photographer's client with contract information, and approximate duration of project.
- B. Closeout Submittals: Submit the following:
  1. Final Photographic Documentation: Submit acceptable final photographic documentation prior to submitting final Application for Payment.

#### 1.4 PHOTOGRAPHIC DOCUMENTATION, GENERAL

- A. Digital Files of Photographs:
  1. For each photograph taken, provide high-quality digital image on compact disc (CD) in "JPG" file format compatible with Microsoft Windows XP and Microsoft Windows Vista.
  2. Image resolution shall be sufficient for clear, high-resolution prints. Minimum resolution shall be 150 dots per inch (dpi). Minimum size of digital images shall be equal to specified print size.
  3. Do not imprint date and time in the image.
  4. Electronic image filename shall describe the image; do not submit filenames automatically created by digital camera. For example, an acceptable electronic filename would be, "Dewatering Building – Looking West at Centrifuge No. 2.jpg".
  5. Submit three copies of each disc with photographic images.
  6. Label each CD as specified in Paragraph 1.4.A.3 of this Section.

#### 1.5 PRE-CONSTRUCTION PHOTOGRAPHIC DOCUMENTATION

- A. Pre-construction Photographic Documentation:
  1. Obtain and submit sufficient pre-construction photographic documentation to record Site conditions prior to construction. Photographs shall document work areas of all prime contracts.
  2. Submit specified number of photographs. Pre-construction photographs are not part of required number of construction progress photographs specified in Article 1.6 of this Section.
- B. If disagreement arises on condition of the Site and insufficient pre-construction photographic documentation was submitted prior to the disagreement, restore the grounds or area in question to extent directed by ENGINEER and to complete satisfaction of ENGINEER.

#### 1.6 CONSTRUCTION PROGRESS PHOTOGRAPHIC DOCUMENTATION

- A. Progress Photographs:
  1. Take photographs at least twice per month.

2. Take at least ten photographs each time photographer is at the Site.
3. Maximum number of progress photographs required will be 250, based on the Contract Times and scope of Project on date Contract Times commence running. Proportionately modify amount of photographic documentation if scope of Project or Contract Times are modified.
4. Provide interior and exterior photographic documentation of each structure as directed by ENGINEER at the time photographic documentation is taken.

#### 1.7 FINAL PHOTOGRAPHIC DOCUMENTATION

##### A. Final Photographs:

1. Take photographs at time and day acceptable to ENGINEER. Do not take final photographs prior to Substantial Completion. Work documented in final photographs shall be generally complete, including painting and finishing, furnishings, landscaping, and other visible Work
2. Take at least 20 final photographs, based on scope of the Project at the time Contract Times commence running. Proportionately modify the number of final photographs if scope of Project is modified. Final photographs are not part of construction progress photographs required under Paragraph 1.6.A of this Section.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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## SECTION 01 33 00

### SUBMITTAL PROCEDURES

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide submittals in accordance with the General Conditions as modified by the Supplementary Conditions, and this Section.
2. Provide submittals well in advance of need for the material or equipment, or procedure (as applicable), in the Work and with ample time required for delivery of material or equipment and to implement procedures following ENGINEER's approval or acceptance of the associated submittal. Work covered by a submittal will not be included in progress payments until approval or acceptance of related submittals has been obtained in accordance with the Contract Documents.
3. CONTRACTOR is responsible for dimensions to be confirmed and corrected at the Site, for information pertaining solely to the fabrication processes and to techniques of construction, and for coordinating the work of all trades. CONTRACTOR's signature of submittal's stamp and letter of transmittal shall be CONTRACTOR's representation that CONTRACTOR has met his obligations under the Contract Documents relative to that submittal.

###### B. Samples:

1. Conform submittal of Samples to the General Conditions as modified by the Supplementary Conditions, this Section, and the Specification Section in which the Sample is specified.
2. Furnish at the same time Samples and submittals that are related to the same unit of Work or Specification Section. ENGINEER will not review submittals without associated Samples, and will not review Samples without associated submittals.
3. Samples shall clearly illustrate functional characteristics of product, all related parts and attachments, and full range of color, texture, pattern, and material.

##### 1.2 TYPES OF SUBMITTALS

- ###### A. Submittal types are classified as follows: 1) Action Submittals, 2) Informational Submittals, 3) Closeout Submittals, and 4) Maintenance Material submittals. Type of each required submittal is designated in the respective Specification Sections; when type of submittal is not specified in the associated Specification Section, submittal will be classified as follows:

1. Action Submittals include:
  - a. Shop Drawings.
  - b. Product data.
  - c. Delegated design submittals, which include documents prepared,

sealed, and signed by a design professional retained by CONTRACTOR, Subcontractor, or Supplier for materials and equipment to be incorporated into the completed Work. Delegated design submittals do not include submittals related to temporary construction unless specified otherwise in the related Specification Section. Delegated design submittals include: design drawings, design data including calculations, specifications, certifications, and other submittals prepared by such design professional.

- d. Samples.
- e. Testing plans, procedures, and testing limitations.
- 2. Informational Submittals include:
  - a. Certificates.
  - b. Design data not sealed and signed by a design professional retained by CONTRACTOR, Subcontractor, or Supplier.
  - c. Pre-construction test and evaluation reports, such as reports on pilot testing, subsurface investigations, potential Hazardous Environmental Condition, and similar reports.
  - d. Supplier instructions, including installation data, and instructions for handling, starting-up, and troubleshooting.
  - e. Source quality control submittals (other than testing plans, procedures, and testing limitations), including results of shop testing.
  - f. Field or Site quality control submittals (other than testing plans, procedures, and testing limitations), including results of operating and acceptability tests at the Site.
  - g. Supplier reports.
  - h. Sustainable design submittals (other than sustainable design closeout documentation).
  - i. Special procedure submittals, including health and safety plans and other procedural submittals.
  - j. Qualifications statements.
- 3. Closeout Submittals include:
  - a. Maintenance contracts.
  - b. Operations and maintenance data.
  - c. Bonds, such as maintenance bonds and bonds for a specific product or system.
  - d. Warranty documentation.
  - e. Record documentation.
  - f. Sustainable design closeout documentation.
  - g. Software.

4. Maintenance Material Submittals include:
    - a. Spare parts.
    - b. Extra stock materials.
    - c. Tools.
  5. When type of submittal is not specified and is not included in the list above, ENGINEER will determine the type of submittal.
- B. Not Included in this Section: Administrative and procedural requirements for the following are covered elsewhere in the Contract Documents:
1. Requests for interpretations of the Contract Documents.
  2. Change Orders, Work Change Directives, and Field Orders.
  3. Applications for Payment
  4. Progress Schedules.
  5. Photographic documentation.
  6. Reports and documentation required in accordance with applicable permits.
  7. Site survey data.

### 1.3 SUBMITTALS REQUIRED IN THIS SECTION

- A. Informational Submittals: Provide the following:
1. Schedule of Submittals:
    - a. Timing:
      - 1) Provide submittal within time frames specified in the Contract Documents.
      - 2) Provide updated Schedule of Submittals with each submittal of the updated Progress Schedule.
    - b. Content: In accordance with the General Conditions as modified by the Supplementary Conditions, and this Section. Requirements for content of preliminary Schedule of Submittals and subsequent submittals of the Schedule of Submittals are identical. Identify on Schedule of Submittals all submittals required in the Contract Documents. Updates of Schedule of Submittals shall show scheduled dates and actual dates for completed tasks. Indicate submittals that are on the Project's critical path. Indicate the following for each submittal:
      - 1) Date by which submittal will be provided to ENGINEER.
      - 2) Whether submittal will be for a substitution or "equal". Procedures for substitutions and "or equals" are specified in the General Conditions and the Division 01 Specifications.
      - 3) Date by which ENGINEER's response is required. At least 14 days shall be allowed from ENGINEER's receipt of each submittal. Allow increased time for large or complex submittals.
      - 4) For submittals for materials or equipment, date by which material or equipment must be at the Site to avoid delaying the Work and to avoid delaying the work of other contractors.
    - c. Prepare Schedule of Submittals using same software, and in same format, specified for Progress Schedules.
    - d. Coordinate Schedule of Submittals with the Progress Schedule.

- e. Schedule of Submittals that is not compatible with the Progress Schedule, or that does not indicate submittals on the Project's critical path, or that that places extraordinary demands on ENGINEER for time and resources, is unacceptable. Do not include submittals not required by the Contract Documents.
- f. In preparing Schedule of Submittals:
  - 1) Considering the nature and complexity of each submittal, allow sufficient time for review and revision.
  - 2) Reasonable time shall be allowed for: ENGINEER's review and processing of submittals, for submittals to be revised and resubmitted, and for returning submittals to CONTRACTOR.
  - 3) Identify and accordingly schedule submittals that are expected to have long anticipated review times.

#### 1.4 PROCEDURE FOR SUBMITTALS

A. Submittal Identification System: Use the following submittal identification system, consisting of submittal number and review cycle number.

1. Submittal Number: Shall be separate and unique number correlating to each individual submittal required. CONTRACTOR shall assign submittal number as follows:
  - a. First part of submittal number shall be the applicable Specification Section number, followed by a hyphen.
  - b. Second part of submittal number shall be a three-digit number (sequentially numbered from 001 through 999) assigned to each separate and unique submittal provided under the associated Specification Section.
  - c. Typical submittal number for the third submittal provided for Section 40 05 31, Thermoplastic Process Pipe, would be "40 05 31-003".
2. Review Cycle Number: Shall be a letter designation indicating the initial submittal or re-submittal associated with each submittal number:
  - a. "A" = Initial (first) submittal.
  - b. "B" = Second submittal (e.g., first re-submittal).
  - c. "C" = Third submittal (e.g., second re-submittal).
3. Examples:

Example Description	Submittal Identification	
	Submittal No.	Review Cycle
Initial (first) review cycle of the third submittal provided under Section 40 05 31, Thermoplastic Process Pipe.	40 05 31-003-	A
Second review cycle (first re-submittal) of third submittal provided under Section 40 05 31, Thermoplastic Process Pipe.	40 05 31-003-	B

B. Letter of Transmittal for Submittals:

1. Provide separate letter of transmittal with each submittal. Each submittal shall be for one Specification Section.

2. At beginning of each letter of transmittal, provide a reference heading indicating: CONTRACTOR's name, OWNER's name, Project name, Contract name and number, transmittal number, and submittal number.
3. For submittals with proposed deviations from requirements of the Contract Documents, letter of transmittal shall specifically describe each proposed variation.

C. CONTRACTOR's Review and Stamp:

1. CONTRACTOR's Review: Before transmitting submittals to ENGINEER, review submittals to:
  - a. Assure proper coordination of the Work;
  - b. Determine that each submittal is in accordance with CONTRACTOR's desires;
  - c. Verify that submittal contains sufficient information for ENGINEER to determine compliance with the Contract Documents.
2. Incomplete or inadequate submittals will be returned without review.
3. CONTRACTOR's Stamp and Signature:
  - a. Each submittal provided shall bear CONTRACTOR's stamp of approval and signature, as evidence that submittal has been reviewed by CONTRACTOR and verified as complete and in accordance with the Contract Documents.
  - b. Submittals without CONTRACTOR's stamp and signature will be returned without review. Signatures that appear to be computer-generated will be regarded as unsigned and the associated submittal will be returned without review.
  - c. CONTRACTOR's stamp shall contain the following:

"Project Name": \_\_\_\_\_

Contractor's Name: \_\_\_\_\_

Date: \_\_\_\_\_

----- *Reference* -----

Item/Submittal Title: \_\_\_\_\_

Specifications:

Section: \_\_\_\_\_

Page No.: \_\_\_\_\_

Paragraph No.: \_\_\_\_\_

Drawing No.: \_\_\_\_\_ of \_\_\_\_\_

Location of Work: \_\_\_\_\_

Submittal No. and Review Cycle: \_\_\_\_\_

Coordinated by Contractor with Submittal Nos.: \_\_\_\_\_

I hereby certify that the Contractor has satisfied Contractor's obligations under the Contract Documents relative to Contractor's review and approval of this submittal.

Approved By (for Contractor): \_\_\_\_\_

**D. Submittal Marking and Organization:**

1. Mark on each page of submittal and each individual component submitted with submittal number and applicable Specification paragraph.
2. Arrange submittal information in same order as requirements are written in the associated Specification Section.
3. Each Shop Drawing sheet shall have title block with complete identifying information satisfactory to ENGINEER.
4. Package together submittals for the same Specification Section. Do not provide required information piecemeal.

**E. Format of Submittal and Recipients:**

1. Action Submittals and Informational Submittals: Furnish in accordance with Table 01 33 00-A, except that submittals of Samples shall be as specified elsewhere in this Section:

**TABLE 01 33 00-A: SUBMITTAL CONTACTS  
AND REQUIRED COPIES**

	<b>Address for Deliveries</b>	<b>Contact Person</b>	<b>E-mail Address</b>	<b>No. of Hard-copies*</b>	<b>Remarks</b>
a.	Engineer: ARCADIS-US, Inc. 3109 West Dr. Martin Luther King Jr. Boulevard, Suite 350, Tampa, FL 33607	Sean Chaparro	Sean.chaparro@arcadis-us.com	One	One Electronic Version
b.	Owner: City of Venice 3510 E. Laurel Road Nokomis, FL 34275	John Banks	JBanks@venicegov.com	Three	One Electronic Version

*\*Hard copies are required for final approved submittals only. Interim submittals for review can be submitted electronically.*

**2. Samples:**

- a. Securely label or tag Samples with submittal identification number. Label or tag shall include clear space at least three inches by three inches in size for affixing ENGINEER's review stamp. Label or tag shall not cover, conceal, or alter appearance or features of Sample. Label or tag shall not be separated from the Sample.
- b. Submit number of Samples required in Specifications. If number of Samples is not specified in the associated Specification Section, provide at least three identical Samples of each item required for ENGINEER's approval. Samples will not be returned to CONTRACTOR. If CONTRACTOR requires Sample(s) for CONTRACTOR's use, notify ENGINEER in writing and provide additional Sample(s). CONTRACTOR is responsible for furnishing, shipping, and transporting additional Samples.

- c. Deliver one Sample to ENGINEER's field office at the Site. Deliver balance of Samples to ENGINEER at address listed in Table 01 33 00-A, unless otherwise directed by ENGINEER.
- 3. Closeout Submittals:
  - a. Provide the following Closeout Submittals in accordance with Table 01 33 00-A: maintenance contracts; bonds for specific products or systems; warranty documentation; and sustainable design closeout documentation. On documents such as maintenance contracts and bonds, include on each document furnished original signature of entity issuing the document.
  - b. Operations and Maintenance Data: Submit in accordance with Section 01 78 23, Operations and Maintenance Data.
  - c. Record Documentation: Submit in accordance with Section 01 78 39, Project Record Documentation.
  - d. Software: Submit number of copies required in Specification Section where the software is specified. If number of copies is not specified, provide two copies on compact disc in addition to software loaded on to OWNER's computer(s) or microprocessor(s).
- 4. Maintenance Material Submittals: For spare parts, extra stock materials, and tools, submit quantity of items specified in associated Specification Section. Furnish in accordance with Section 01 78 43, Spare Parts and Extra Materials.
- F. Distribution:
  - 1. Refer to Table 01 33 00-A.
- G. Resubmittals: Refer to the General Conditions for requirements regarding resubmitting required submittals.
- H. Submittal Log: Maintain an up-to-date log documenting required submittal description, submittal number, review cycle, status, dates, and other pertinent information.

## 1.5 ENGINEER'S REVIEW

- A. Timing: ENGINEER's review will conform to timing accepted by ENGINEER in the accepted Schedule of Submittals.
- B. Submittals not required in the Contract Documents will not be reviewed by ENGINEER and will not be recorded in ENGINEER's submittal log. All hardcopies of such submittals will be returned to CONTRACTOR.
- C. Action Submittals, Results of ENGINEER's Review: Each submittal will be given one of the following dispositions:
  - 1. Approved: Upon return of submittal marked "Approved", order, ship, or fabricate materials and equipment included in the submittal (pending ENGINEER's approval or acceptance, as applicable, of source quality control

submittals) or otherwise proceed with the Work in accordance with the submittal and the Contract Documents.

2. Approved as Corrected: Upon return of submittal marked “Approved as Corrected”, order, ship, or fabricate materials and equipment included in the submittal (pending ENGINEER’s approval or acceptance, as applicable, of source quality control submittals) or otherwise proceed with the Work in accordance with the submittal and the Contract Documents, provided it is in accordance with corrections indicated.
  3. Approved as Corrected – Resubmit: Upon return of submittal marked “Approved as Corrected – Resubmit”, order, ship, or fabricate materials and equipment included in the submittal (pending ENGINEER’s approval or acceptance, as applicable, of source quality control submittals) or otherwise proceed with the Work in accordance with the submittal and the Contract Documents, provided it is in accordance with corrections indicated. Provide to ENGINEER record re-submittal with all corrections made. Receipt of corrected re-submittal is required before materials or equipment covered in the submittal will be eligible for payment.
  4. Revise and Resubmit: Upon return of submittal marked “Revise and Resubmit”, make the corrections indicated and re-submit to ENGINEER for approval.
  5. Not Approved: This disposition indicates material or equipment that cannot be approved. Upon return of submittal marked “Not Approved”, repeat initial submittal procedure utilizing approvable material or equipment.
- D. Informational Submittals, Results of ENGINEER’s Review:
1. Each submittal will be given one of the following dispositions:
    - a. Accepted: Information included in submittal conforms to the applicable requirements of the Contract Documents, and is acceptable. No further action by CONTRACTOR is required relative to this submittal, and the Work covered by the submittal may proceed, and products with submittals with this disposition may be shipped or operated, as applicable.
    - b. Not Accepted: Submittal does not conform to applicable requirements of the Contract Documents and is not acceptable. Revise submittal and re-submit to indicate acceptability and conformance with the Contract Documents.
- E. Closeout Submittals, Results of ENGINEER’s Review: Dispositions and meanings are the same as specified for Informational Submittals. When acceptable, Closeout Submittals will not receive a written response from ENGINEER. Disposition as “accepted” will be recorded in ENGINEER’s submittal log. When Closeout Submittal is not acceptable, ENGINEER will provide written response to CONTRACTOR.
- F. Maintenance Material Submittals, Results of ENGINEER’s Review: Dispositions and meanings are the same as specified for Informational Submittals. When acceptable, Maintenance Material Submittals will not receive a written response



from ENGINEER. Disposition as “accepted” will be recorded in ENGINEER’s submittal log. When Maintenance Material Submittal is not acceptable, ENGINEER will provide written response to CONTRACTOR, and CONTRACTOR is responsible for costs associated with transporting and handling of maintenance materials until compliance with the Contract Documents is achieved.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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## SECTION 01 57 05

### TEMPORARY CONTROLS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide and maintain methods, equipment, and temporary construction as required to control environmental conditions at the Site and adjacent areas.
  - 2. Maintain controls until no longer required.
  - 3. Temporary controls include, but are not limited to, the following:
    - a. Erosion and sediment controls.
    - b. Noise controls.
    - c. Dust control.
    - d. Pest and rodent control.
    - e. Control of water, including storm water runoff.
    - f. Pollution control.

##### 1.2 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with applicable provisions and recommendations of jurisdictions having authority, including, but not limited to:
  - 1. Florida Department of Environmental Protection.
  - 2. Florida Department of Health.
  - 3. City of Venice Building Department.

#### PART 2 – PRODUCTS

##### 2.1 MATERIALS FOR TEMPORARY EROSION AND SEDIMENT CONTROLS

- A. Materials for temporary erosion and sediment controls shall be as shown or indicated on the Drawings.
- B. Filter Bag on Dewatering Pump Discharge:
  - 1. Provide filter bag on discharge of each dewatering pump drawing from an excavation. Filter bag is not required on pumps associated with dewatering wells.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. UltraTech Dewatering Bag, by Interstate Products.
    - b. Filter Bag, by US Fabrics.
    - c. Dewatering (Filter) Bag, Indian Valley Industries.
    - d. DirtBag, by Atlantic Construction Fabrics (ACF) Environmental.
    - e. Or equal.

3. Size filter bags for maximum flow of the pump. Filter bags shall be specifically fabricated for use as a dewatering pump filter bag.
4. Provide sufficient spare filter bags for continuous dewatering operations.

C. Temporary Stone Construction Entrance:

1. Stone: Tough, hard, durable stone complying with the following gradation requirements:

Sieve Size	Total Percent Passing
Four-inch (100 mm)	100
3.5-inch (90 mm)	90 to 100
2.5-inch (65 mm)	25 to 60
1.5-inch (37.5 mm)	Zero to 15

2. Geotextile: As recommended by geotextile manufacturer for separating stone from subgrade, for the vehicle weight and traffic frequency required.

### PART 3 – EXECUTION

#### 3.1 NOISE CONTROL

A. Noise Control – General:

1. CONTRACTOR's vehicles and equipment shall minimize noise emissions to greatest degree practicable. Provide mufflers, silencers, and sound barriers when necessary.
2. Noise levels shall comply with Laws and Regulations, including OSHA requirements and local ordinances.
3. Noise emissions shall not interfere with the work of OWNER or others.

#### 3.2 DUST CONTROL

A. Dust Control – General:

1. Control objectionable dust caused by CONTRACTOR's operation of vehicles and equipment, clearing, and other actions. To minimize airborne dust, apply water or use other methods subject to acceptance of ENGINEER and approval of authorities having jurisdiction.
2. CONTRACTOR shall prevent blowing and movement of dust from exposed soil surfaces and access roads to reduce on- and off-Site damage, nuisances, and health hazards associated with dust emissions. Control may be achieved by irrigation in which the Site shall be sprinkled with water until the surface is moist. Apply dust controls as frequently as required without creating nuisances such as excessive mud and ponding of water at the Site.
3. Remove dust from roadways and access roads at maximum intervals of seven days by mechanical brooming or other method acceptable to ENGINEER.

#### 3.3 PEST AND RODENT CONTROL

- A. Pest and Rodent Control – General:
  - 1. Provide rodent and pest control as required to prevent infestation of the Site and storage areas.
  - 2. Employ methods and use materials that do not adversely affect conditions at the Site or on adjoining properties.
  - 3. In accordance with Laws and Regulations, promptly and properly dispose of pests and rodents trapped or otherwise controlled.

### 3.4 WATER CONTROL

- A. Water Control – General:
  - 1. Provide methods to control surface water and water from excavations and structures to prevent damage to the Work, the Site, and adjoining properties.
  - 2. Control fill, grading, and ditching to direct water away from excavations, pits, tunnels and other construction areas and to direct drainage to proper runoff courses to prevent erosion, damage, or nuisance.
- B. Equipment and Facilities for Water Control: Provide, operate, and maintain equipment and facilities of adequate size to control surface water.
- C. Discharge and Disposal: Dispose of drainage water in manner to prevent flooding, erosion, and other damage to any and all parts of the Site and adjoining areas, and that complies with Laws and Regulations.

### 3.5 POLLUTION CONTROL

- A. Pollution Control – General:
  - 1. Provide means, methods, and facilities required to prevent contamination of soil, water, and atmosphere caused by discharge of noxious substances from construction operations.
  - 2. Equipment used during construction shall comply with Laws and Regulations.
- B. Spills and Contamination:
  - 1. Provide equipment and personnel to perform emergency measures required to contain spills and to remove contaminated soils and liquids.
  - 2. Excavate contaminated material and properly dispose of off-Site, and replace with suitable compacted fill and topsoil.
- C. Protection of Surface Waters: Implement special measures to prevent harmful substances from entering surface waters. Prevent disposal of wastes, effluents, chemicals, and other such substances in or adjacent to surface waters and open drainage routes, in sanitary sewers, or in storm sewers.
- D. Atmospheric Pollutants:
  - 1. Provide systems for controlling atmospheric pollutants related to the Work.
  - 2. Prevent toxic concentrations of chemicals and vapors.

3. Prevent harmful dispersal of pollutants into atmosphere.
- E. Solid Waste:
1. Provide systems for controlling and managing solid waste related to the Work.
  2. Prevent solid waste from becoming airborne, and from discharging to surface waters and drainage routes.
  3. Properly handle and dispose of solid waste.

### 3.6 EROSION AND SEDIMENT CONTROL

- A. Protection of Storm Water Drainage Inlets and Catch Basins:
1. Protect each drainage inlet and catch basin that has the potential to receive storm water runoff from exposed soils, and does not discharge into a storm water settlement basin.
  2. Install either, inlet filter bags inside of drainage inlet or catch basin, or a silt fence barrier around drainage inlets and catch basins. Secure inlet filter bag with the structure's grate or by other acceptable means.
  3. Inlet filter bags shall not pose any obstruction above the elevation of the drainage inlet or catch basin grate requiring barricades or flashers.
  4. When removing silt and sediment from inlet filter bag, do not dump filter bag's contents into the drainage inlet or catch basin.
  5. Remove silt and sediment from inlet filter bag, or replace inlet filter bag, when inlet filter bag is not more than half full.
- C. Filter Bag on Dewatering Pump Discharge:
1. Provide dewatering of excavations in compliance with Division 31 Sections on earthmoving, excavation, and fill.
  2. Locate filter bags and temporary pump discharge lines to avoid interfering with the public, use of private property, and OWNER's operations. Relocate filter bags and appurtenances when required.
  3. Filter bag discharge shall be directed to appropriate storm water drainage route. Do not discharge into roadways, driveways, or access roads. When temporary settlement basin is used, locate filter bags to discharge to temporary settlement basin when practicable.
  4. Provide filter bag on discharge of each dewatering pump drawing from an excavation.
  5. Securely attach filter bag to pump discharge pipe or hose.
  6. Maintain, clean out, and replace filter bags as required.
- D. Temporary Stone Construction Entrance:
1. Where shown on the Drawings, and where construction vehicles will regularly transit to paved surfaces from unstabilized surfaces, provide a temporary stone construction entrance. Contractor vehicles shall use temporary construction entrances.
  2. Provide temporary stone construction entrances of the width, length, and thickness shown or indicated on the Drawings. When not shown or indicated

on the Drawings, temporary stone construction entrance shall be not less than 50 feet long, by 20 feet wide, by eight inches deep.

3. Installation:
  - a. Ensure that subgrade under temporary stone construction entrance is suitably dense for the intended purpose. Suitably prepare subgrade as required for temporary construction entrance.
  - b. Provide on subgrade a layer of geotextile fabric, installed in accordance with geotextile manufacturer's recommendations for separation.
  - c. Provide stone on installed geotextile. Grade stone for passage of vehicles.
4. Maintenance:
  - a. Maintain temporary stone construction entrance at not less than the minimum required thickness. Add stone as required to maintain thickness.
  - b. When upper layer of temporary stone construction entrance becomes contaminated with soil, remove the contaminated material and replace with clean stone.
  - c. Using water to wash down temporary construction entrance or paved areas onto which soil material has been tracked is not allowed.

### 3.7 REMOVAL OF TEMPORARY CONTROLS

- A. Removals – General:
  1. Upon completion of the Work, remove temporary controls and restore Site to pre-construction condition.
  2. After soils are permanently stabilized, remove from the Site temporary erosion and sediment controls.

+ + END OF SECTION + +

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## SECTION 01 65 00

### PRODUCT DELIVERY REQUIREMENTS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes the general requirements for preparing for shipping, delivering, and handling materials and equipment.
  - 2. CONTRACTOR shall make all arrangements for transporting, delivering, and handling of materials and equipment required for prosecution and completion of the Work.
  - 3. When required, move stored materials and equipment without additional compensation and without changes to the Contract Times.

##### 1.2 SUBMITTALS

- A. Refer to individual Specification Sections for submittal requirements relative to delivering and handling materials and equipment.

##### 1.3 PREPARING FOR SHIPMENT

- A. When practical, factory-assemble materials and equipment. Match mark or tag separate parts and assemblies to facilitate field assembly. Cover machined and unpainted parts that may be damaged by the elements with strippable, protective coating.
- B. Package materials and equipment to facilitate handling, and protect materials and equipment from damage during shipping, handling, and storage. Mark or tag outside of each package or crate to indicate the associated purchase order number, bill of lading number, contents by name, OWNER's contract name and number, CONTRACTOR name, equipment number, and approximate weight. Include complete packing lists and bills of materials with each shipment.
- C. Protect materials and equipment from exposure to the elements and keep thoroughly dry and dust-free at all times. Protect painted surfaces against impact, abrasion, discoloration, and other damage. Lubricate bearings and other items requiring lubrication in accordance with manufacturer's instructions.
- D. Advance Notice of Shipments:
  - 1. Keep ENGINEER informed of delivery of all materials and equipment to be incorporated in the Work.

2. Upon receipt of Supplier's advance notice of shipment, at least seven days prior to delivery of materials and equipment, provide ENGINEER written notification of anticipated date and place of arrival.
- E. Do not ship materials and equipment until:
1. Related Shop Drawings and other submittals have been approved or accepted (as applicable) by ENGINEER, including, but not necessarily limited to, all Action Submittals associated with the materials and equipment being delivered.
  2. Manufacturer's instructions for handling, storing, and installing the associated materials and equipment have been submitted to and accepted by ENGINEER in accordance with the Specifications.
  3. Results of source quality control testing (factory testing), when required by the Contract Documents for the associated materials or equipment, have been reviewed and accepted by ENGINEER.
  4. Facilities required for handling materials and equipment in accordance with manufacturer's instructions are in place and available.
  5. Required storage facilities have been provided.

#### 1.4 DELIVERY

- A. Scheduling and Timing of Deliveries:
1. Arrange deliveries of materials and equipment in accordance with the accepted Progress Schedule and in ample time to facilitate inspection prior to installation.
  2. Schedule deliveries to minimize space required for and duration of storage of materials and equipment at the Site or delivery location, as applicable.
  3. Coordinate deliveries to avoid conflicting with the Work and conditions at Site, and to accommodate the following:
    - a. Work of other contractors and OWNER.
    - b. Storage space limitations.
    - c. Availability of equipment and personnel for handling materials and equipment.
    - d. OWNER's use of premises.
  4. Deliver materials and equipment to the Site during regular working hours.
  5. Deliver materials and equipment to avoid delaying the Work and the Project, including work of other contractors, as applicable. Deliver anchor system materials, including anchor bolts to be embedded in concrete or masonry, in ample time to avoid delaying the Work.
- B. Deliveries:
1. Shipments shall be delivered with CONTRACTOR's name, Subcontractor's name (if applicable), Site name, Project name, and contract designation (example: "ABC Construction Co., City of Somewhere, Idaho, Wastewater Treatment Plant Primary Clarifier Improvements, Contract 25, General Construction") clearly marked.

2. Site may be listed as the “ship to” or “delivery” address; but OWNER shall not be listed as recipient of shipment unless otherwise directed in writing by ENGINEER.
  3. Provide CONTRACTOR’s telephone number to shipper; do not provide OWNER’s telephone number.
  4. Arrange for deliveries while CONTRACTOR’s personnel are at the Site. CONTRACTOR shall receive and coordinate shipments upon delivery. Shipments delivered to the Site when CONTRACTOR is not present will be refused by OWNER, and CONTRACTOR shall be responsible for the associated delays and additional costs, if incurred.
- C. Containers and Marking:
1. Have materials and equipment delivered in manufacturer’s original, unopened, labeled containers.
  2. Clearly mark partial deliveries of component parts of materials and equipment to identify materials and equipment, to allow easy accumulation of parts, and to facilitate assembly.
- D. Inspection of Deliveries:
1. Immediately upon delivery, inspect shipment to verify that:
    - a. Materials and equipment comply with the Contract Documents and approved or accepted (as applicable) submittals.
    - b. Quantities are correct.
    - c. Materials and equipment are undamaged.
    - d. Containers and packages are intact and labels are legible.
    - e. Materials and equipment are properly protected.
  2. Promptly remove damaged materials and equipment from the Site and expedite delivery of new, undamaged materials and equipment, and remedy incomplete or lost materials and equipment to furnish materials and equipment in accordance with the Contract Documents, to avoid delaying progress of the Work.
  3. Advise ENGINEER in writing when damaged, incomplete, or defective materials and equipment are delivered, and advise ENGINEER of the associated impact on the Progress Schedule.

#### 1.5 HANDLING OF MATERIALS AND EQUIPMENT

- A. Provide equipment and personnel necessary to handle materials and equipment, including those furnished by OWNER, by methods that prevent soiling or damaging materials and equipment and packaging.
- B. Provide additional protection during handling as necessary to prevent scraping, marring, and otherwise damaging materials and equipment and surrounding surfaces.
- C. Handle materials and equipment by methods that prevent bending and overstressing.

- D. Lift heavy components only at designated lifting points.
- E. Handle materials and equipment in safe manner and as recommended by the manufacturer to prevent damage. Do not drop, roll, or skid materials and equipment off delivery vehicles or at other times during handling. Hand-carry or use suitable handling equipment.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

## SECTION 01 66 00

### PRODUCT STORAGE AND HANDLING REQUIREMENTS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. This Section includes general requirements for storing and protecting materials and equipment.

##### 1.2 STORAGE

- A. Store and protect materials and equipment in accordance with manufacturer's recommendations and the Contract Documents.
- B. CONTRACTOR shall make all arrangements and provisions necessary for, and pay all costs for, storing materials and equipment. Excavated materials, construction equipment, and materials and equipment to be incorporated into the Work shall be placed to avoid injuring the Work and existing facilities and property, and so that free access is maintained at all times to all parts of the Work and to public utility installations in vicinity of the Work. Store materials and equipment neatly and compactly in locations that cause minimum inconvenience to OWNER, other contractors, public travel, and owners, tenants, and occupants of adjoining property. Arrange storage in manner to allow easy access for inspection.
- C. Store materials and equipment to become OWNER's property to facilitate their inspection and ensure preservation of quality and fitness of the Work, including proper protection against damage by freezing, moisture, and high temperatures with ambient temperatures as high as 100 degrees F. Store in indoor, climate-controlled storage areas all materials and equipment subject to damage by moisture, humidity, heat, cold, and other elements, unless otherwise acceptable to OWNER. When placing orders to Suppliers for equipment and controls containing computer chips, electronics, and solid-state devices, CONTRACTOR shall obtain, coordinate, and comply with specific temperature and humidity limitations on materials and equipment, because temperature inside cabinets and components stored in warm temperatures can approach 200 degrees F.
- D. CONTRACTOR shall be fully responsible for loss or damage (including theft) to stored materials and equipment.
- E. Do not open manufacturer's containers until time of installation, unless recommended by the manufacturer or otherwise specified in the Contract Documents.

- F. Do not store materials or equipment in structures being constructed unless approved by ENGINEER in writing.
- G. Do not use lawns or other private property for storage without written permission of the owner or other person in possession or control of such premises.

### 1.3 PROTECTION

- A. Equipment to be incorporated into the Work shall be boxed, crated, or otherwise completely enclosed and protected during shipping, handling, and storage, in accordance with Section 01 65 00, Product Delivery Requirements.
- B. Store all materials and equipment off the ground (or floor) on raised supports such as skids or pallets.
- C. Protect painted surfaces against impact, abrasion, discoloration, and other damage. Painted equipment surfaces that are damaged or marred shall be repainted in their entirety in accordance with equipment manufacturer and paint manufacturer requirements, to the satisfaction of ENGINEER.
- D. Protect electrical equipment, controls, and instrumentation against moisture, water damage, heat, cold, and dust. Space heaters provided in equipment shall be connected and operating at all times until equipment is placed in operation and permanently connected.

### 1.4 UNCOVERED STORAGE

- A. The following types of materials may be stored outdoors without cover on supports so there is no contact with the ground:
  - 1. Reinforcing steel.
  - 2. Precast concrete materials.
  - 3. Structural steel.
  - 4. Rigid electrical conduit.
  - 5. Piping, except polyvinyl chloride (PVC) pipe.
  - 6. Canopy materials.

### 1.5 COVERED STORAGE

- A. The following materials and equipment may be stored outdoors on supports and completely covered with covering impervious to water:
  - 1. Grout and mortar materials.
  - 2. Masonry units.
  - 3. Soil materials and granular materials such as aggregate.
  - 4. Ductile iron pipe.
- B. Tie down covers with rope, and slope covering to prevent accumulation of water.

## 1.6 FULLY PROTECTED STORAGE

- A. Store all material and equipment not named in Articles 1.4 and 1.5 of this Section on supports in buildings or trailers that have concrete or wooden flooring, roof, and fully closed walls on all sides. Covering with visquine plastic sheeting or similar material in space without floor, roof, and walls is not acceptable. Comply with the following:
  - 1. Provide heated storage for materials and equipment that could be damaged by low temperatures or freezing.
  - 2. Provide air-conditioned storage for materials and equipment that could be damaged by high temperatures.
  - 3. Protect mechanical and electrical equipment from being contaminated by dust, dirt, and moisture.
  - 4. Maintain humidity at levels recommended by manufacturers for electrical and electronic equipment.

## 1.7 HAZARDOUS PRODUCTS

- A. Prevent contamination of personnel, storage area, and the Site. Comply with all applicable Laws and Regulations and manufacturer's instructions.

## 1.8 MAINTENANCE OF STORAGE

- A. On scheduled basis, periodically inspect stored materials and equipment to ensure that:
  - 1. Condition and status of storage facilities is adequate to provide required storage conditions.
  - 2. Required environmental conditions are maintained on continuing basis.
  - 3. Materials and equipment exposed to elements are not adversely affected.
- B. Mechanical and electrical equipment requiring long-term storage shall have complete manufacturer's instructions for servicing each item, with notice of enclosed instructions shown on exterior of container or package.
  - 1. Comply with manufacturer's instructions on scheduled basis.
  - 2. Space heaters that are part of electrical equipment shall be connected and operated continuously until equipment is placed in service and permanently connected.

## 1.9 MICROPROCESSORS, PANELS, AND INSTRUMENTATION STORAGE

- A. Store panels, microprocessor-based equipment, electronics, and other devices subject to damage or decreased useful life because of temperatures below 40 degrees F or above 100 degrees F, relative humidity above 90 percent, or exposure to rain or exposure to blowing dust in climate-controlled storage space.

B. Requirements:

1. Storage locations at the Site shall be coordinated with OWNER. Off-site storage of materials is permitted in climate-controlled storage space.
2. OWNER and ENGINEER have the right to inspect materials and equipment during normal working hours.
3. Placed inside each panel or device a desiccant, volatile corrosion inhibitor blocks (VCI), moisture indicator, and maximum-minimum indicating thermometer.
4. Check panels and equipment at least once per month. Replace desiccant, VCI, and moisture indicator as often as required, or every six months, whichever occurs first.

C. Costs for storing climate-sensitive materials and equipment shall be paid by CONTRACTOR. Replace panels and devices damaged during storage, or for which storage temperatures or humidity range has been exceeded, at no additional cost to OWNER. Delays resulting from such replacement are causes within CONTRACTOR's control.

D. Do not ship panels and equipment to the Site until conditions at the Site are suitable for installation, including slabs and floors, walls, roofs, and environmental controls. Failure to have the Site ready for installation shall not relieve CONTRACTOR from complying with the Contract Documents.

1.10 RECORDS

A. Keep up-to-date account of materials and equipment in storage to facilitate preparation of Applications for Payment, if the Contract Documents provide for payment for materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

++ END OF SECTION ++



## SECTION 01 75 11

### CHECKOUT AND STARTUP PROCEDURES

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall initially start up and place equipment installed under the Contract into successful operation, in accordance with the equipment manufacturer's written instructions and as instructed by Supplier at the Site.
2. Provide all material, labor, tools, and equipment required to complete equipment checkout and start-up.
3. Provide chemicals, lubricants, and other required operating fluids.
4. Provide fuel, electricity, water, filters, and other expendables required for start-up of equipment, unless otherwise specified.
5. General Activities Include:
  - a. Cleaning, as required under other provisions of the Contract Documents.
  - b. Removing temporary protective coatings.
  - c. Flushing and replacing lubricants, where required by manufacturer.
  - d. Lubrication.
  - e. Checking shaft and coupling alignments and resetting where required.
  - f. Checking and setting motor, pump, and other equipment rotation, safety interlocks, and belt tensions.
  - g. Checking and correcting (if necessary) leveling plates, grout, bearing plates, anchorage devices, fasteners, and alignment of piping, conduits, and ducts that may place stress on the connected equipment.
  - h. All adjustments required.

###### B. Coordination:

1. Coordinate checkout and start-up with other contractors, as necessary.
2. Do not start up system or subsystem for continuous operation until all components of that system or subsystem, including instrumentation and controls, have been tested to the extent practicable and proven to be operable as intended by the Contract Documents.
3. OWNER will provide sufficient personnel to assist CONTRACTOR in starting up equipment, but responsibility for proper operation is CONTRACTOR's.
4. Supplier shall be present during checkout, start-up, and initial operation, unless otherwise acceptable to ENGINEER.
5. Start-up of heating and air conditioning equipment and systems is dependent upon the time of year. Return to the Site at beginning of next heating or air conditioning season (as applicable) to recheck and start the appropriate systems.

6. Do not start up system, unit process, or equipment without submitting acceptable preliminary operations and maintenance manuals by CONTRACTOR, in accordance with Section 01 78 23, Operations and Maintenance Data.
- C. OWNER's Assumption of Responsibility for Equipment and Systems:
1. OWNER will assume responsibility for the equipment upon Substantial Completion.
  2. Prior to turning over to OWNER responsibility for operating and maintaining system or equipment:
    - a. Provide training of operations and maintenance personnel in accordance with Section 01 79 23, Instruction of Operations and Maintenance Personnel.
    - b. Complete system field quality control testing in accordance with the Contract Documents.
    - c. Submit acceptable final operations and maintenance manuals in accordance with Section 01 78 23, Operations and Maintenance Data.
    - d. Obtain from ENGINEER final certificate of Substantial Completion for either entire Work or the portion being turned over to OWNER.

## 1.2 SUBMITTALS

- A. Closeout Submittals: Submit the following:
1. Certifications:
    - a. Supplier's certification of installation in accordance with Paragraph 3.1.B of this Section.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION

### 3.1 SERVICES OF SUPPLIER

- A. When specified, furnish services of competent, qualified representatives of material and equipment manufacturers as specified, including supervising installation, adjusting, checkout, start-up, and testing of materials and equipment.
- B. Certification:
1. When services by Supplier are required at the Site, within 14 days after first test operation of equipment, submit to ENGINEER a letter from Supplier, on Supplier's letterhead, stating that materials and equipment are installed in accordance with Supplier's requirements and installation instructions, and in accordance with the Contract Documents.
  2. In lieu of Supplier letter, submit completed form attached to this Section.
  3. Include in the final operations and maintenance manual for the associated equipment a copy of the letter or completed form, as applicable.

### 3.2 MINIMUM START-UP REQUIREMENTS

- A. Bearings and Shafting:
  - 1. Inspect for cleanliness, and clean and remove foreign matter.
  - 2. Verify alignment.
  - 3. Replace defective bearings and those that operate in a rough or noisy manner.
  - 4. Grease as necessary, in accordance with manufacturer's recommendations.
- B. Drives:
  - 1. Adjust tension in V-belt drives and adjust vari-pitch sheaves and drives for proper equipment speed.
  - 2. Adjust drives for alignment of sheaves and V-belts.
  - 3. Clean and remove foreign matter before starting operation.
- C. Motors:
  - 1. Check each motor for comparison to amperage nameplate value.
  - 2. Correct conditions that produce excessive current flow and conditions that exist due to equipment malfunction.
- D. Pumps:
  - 1. Check glands and seals for cleanliness and adjustment before running pump.
  - 2. Inspect shaft sleeves for scoring.
  - 3. Inspect mechanical faces, chambers, and seal rings, and replace if defective.
  - 4. Verify that piping system is free of dirt and scale before circulating liquid through pump.
- E. Valves:
  - 1. Inspect manual and automatic control valves, and clean bonnets and stems.
  - 2. Tighten packing glands to ensure no leakage, but allow valve stems to operate without galling.
  - 3. Replace packing in valves to retain maximum adjustment after system is determined to be complete.
  - 4. Replace packing on valves that continue to leak.
  - 5. Remove and repair bonnets that leak.
  - 6. After cleaning, coat packing gland threads and valve stems with surface preparation of "Molycote" or "Fel-Pro".
- F. Verify that control valve seats are free of foreign matter and are properly positioned for intended service.
- G. Tighten flanges and other pipe joints after system has been placed in operation. Replace gaskets that show signs of leakage after tightening.
- H. Inspect all joints for leakage:
  - 1. Promptly remake each joint that appears to be faulty; do not wait for rust or other corrosion to form.
  - 2. Clean threads on both parts, and apply compound and remake joints.

- I. After system has been placed in operation, clean strainers, drives, pockets, orifices, valve seats, and headers in fluid system to ensure freedom from foreign matter.
- J. Open air vents, where used, and remove operating elements. Clean thoroughly, replace internal parts, and place back into operation.
- K. Remove rust, scale, and foreign matter from equipment and renew defaced surfaces.
- L. Set and calibrate equipment as required.
- M. Inspect fan wheels for clearance and balance. Provide factory-authorized personnel for adjustment when needed.
- N. Check each electrical control circuit to verify that operation complies with the Contract Documents.
- O. Inspect each pressure gauge, thermometer, and other instruments for calibration. Replace items that are defaced, broken, or that read incorrectly.
- P. Repair damaged insulation.
- Q. Excess Gasses and Fluids:
  - 1. Vent gasses trapped in systems.
  - 2. Verify that liquids are drained from all parts of air systems.

### 3.3 ATTACHMENTS

- A. The attachment listed below, following the “End of Section” designation, is a part of this Specification Section.
  - 1. Supplier’s Installation Certification Form (one page).

+ + END OF SECTION + +

## SUPPLIER'S INSTALLATION CERTIFICATION

Contract No. and Name: \_\_\_\_\_

Equipment Specification Section: \_\_\_\_\_

Equipment Name: \_\_\_\_\_

Contractor: \_\_\_\_\_

Manufacturer of Equipment: \_\_\_\_\_

The undersigned Supplier of the equipment described above hereby certifies that Supplier has checked the equipment installation and that the equipment, as specified in the Contract Documents, has been provided in accordance with the manufacturer's recommendations and the Contract Documents, and that the trial operation of the equipment has been satisfactory.

Comments: \_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Supplier Name (print)

\_\_\_\_\_  
Signature of Supplier

\_\_\_\_\_  
Date

\_\_\_\_\_  
Contractor Name (print)

\_\_\_\_\_  
Signature of Contractor

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## SECTION 01 77 19

### CLOSEOUT REQUIREMENTS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION OF REQUIREMENTS

- A. Definitions: Closeout is defined to include the general requirements near the end of the Contract Time, in preparation for final acceptance, final payment, normal termination of the Contract, occupancy by the OWNER and similar actions evidencing completion of the WORK.

##### 1.2 PREREQUISITES FOR FINAL ACCEPTANCE

- A. General: Prior to requesting the OWNER's final inspection for certifications of final acceptance and final payment, as required by the General Conditions, complete the following and list known exceptions (if any) in request:
  - 1. Submit final payment request with final releases and supports not previously submitted and accepted. Include certificates of insurance for products and completed operations.
  - 2. Submit updated final statement, accounting for additional changes to the Contract Sum.
  - 3. Submit certified copy of the OWNER's final punch-list of itemized WORK to be completed or corrected, stating that each item has been completed or otherwise resolved for acceptance, endorsed and dated by the OWNER.

##### 1.3 CLOSEOUT PROCEDURES

- A. General Operating/Maintenance Instructions: Arrange for each installer of WORK requiring continuing maintenance (by the OWNER) or operation, to meet with the OWNER's personnel, at the project site, to provide basic instructions needed for proper operation and maintenance of the entire WORK. Include instructions by manufacturer's representatives where installers are not expert in the required procedures. Review maintenance manuals, record documentation, tools, spare parts and materials, identification system, hazards, cleaning and similar procedures and facilities. For operational equipment, demonstrates start-up, shut-down, emergency operations, noise and vibration adjustments, safety, economy/efficiency adjustments, and similar operations. Review maintenance and operations in relation with applicable guarantees, warranties, agreements to maintain, bonds and similar continuing commitments.

#### 1.4 FINAL CLEANING

- A. General: As specified herein, provide final cleaning of the WORK. The following are examples, but not by way of limitation, of the cleaning levels required.
  - 1. Clean project site (yard and grounds), including landscaping, development areas, of litter and foreign substances. Sweep paved areas to a broom-clean condition; remove stains, petrochemical spills and other foreign deposits. Rake grounds, which are neither planted nor paved, to a smooth evenly textured surface.
  - 2. Restore the grass and landscaping to original condition. Repair ruts caused by equipment.
  - 3. Remove discharge piping and restore discharge pipeline trends to original condition. Sod where needed. Mow grass where needed.
  - 4. Remove discharge piping and restore outfall area to original condition.

#### 1.5 REMOVAL OF PROTECTION

- A. Except as otherwise indicated or requested by the OWNER, remove temporary protection devices and facilities which were installed during the course of the WORK to protect previously completed WORK during the remainder of the construction period.

#### 1.6 COMPLIANCY

- A. Comply with safety standards and governing regulations for cleaning operations. Do not burn waste materials at the site, or bury debris or excess materials on the OWNER's property, or discharge volatile or other harmful or dangerous materials into drainage systems; remove waste materials from the site and dispose of in a lawful manner.
- B. Where extra materials of value remaining after completion of the associated WORK have become the OWNER's property, dispose or store at the site as directed by the OWNER.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +



## SECTION 01 78 23

### OPERATIONS AND MAINTENANCE DATA

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
1. Submit operation and maintenance data, in accordance with this Section and in accordance with requirements elsewhere in the Contract Documents, as instructional and reference manuals by operations and maintenance personnel at the Site.
  2. Required operation and maintenance data are presented in the individual Specification Sections. At minimum, submit operation and maintenance data for:
    - a. All equipment and systems.
    - b. Valves, gates, actuators, and related accessories.
    - c. Instrumentation and control devices.
    - d. Electrical gear.
  3. For each operation and maintenance manual, submit the following:
    - a. Preliminary Submittal: Electronic copy of entire operation and maintenance manual, except for test data, service reports by Supplier, and electronic copies.
    - b. Final Submittal: Printed and bound copy of complete operations and maintenance manual, including test data and service reports by Supplier, with electronic copies.
- B. Quantity Required and Timing of Submittals:
1. Preliminary Submittal:
    - a. Electronic Copies: Two copies, exclusive of any printed copies required by CONTRACTOR.
    - b. Submit to ENGINEER by the earlier of: ninety days following approval of Shop Drawings and product data submittals, or ten days prior to starting training of operations and maintenance personnel, or ten days prior to field quality control testing at the Site.
  2. Final Submittal: Provide final submittal prior to Substantial Completion, unless submittal is specified as required prior to an interim Milestone.
    - a. Printed Copies: Three copies.
    - b. Electronic Copies: Three copies.

##### 1.2 FORMAT OF PRINTED COPIES

- A. Binding and Cover:
1. Bind each operation and maintenance manual in durable, permanent, stiff-cover binder(s), comprising one or more volumes per copy as required.

Binders shall be minimum one-inch wide and maximum of three-inch wide. Binders for each copy of each volume shall be identical.

2. Binders shall be locking three-ring/"D"-ring type, or three-post type. Three-ring binders shall be riveted to back cover and include plastic sheet lifter (page guard) at front of each volume.
  3. Do not overfill binders.
  4. Covers shall be oil-, moisture-, and wear-resistant, including identifying information on cover and spine of each volume.
  5. Provide the following information on cover of each volume:
    - a. Title: "OPERATING AND MAINTENANCE INSTRUCTIONS".
    - b. Name or type of material or equipment covered in the manual.
    - c. Volume number, if more than one volume is required, listed as "Volume \_\_\_ of \_\_\_", with appropriate volume-designating numbers filled in.
    - d. Name of Project and, if applicable, Contract name and number.
    - e. Name of building or structure, as applicable.
  6. Provide the following information on spine of each volume:
    - a. Title: "OPERATING AND MAINTENANCE INSTRUCTIONS".
    - b. Name or type of material or equipment covered in the manual.
    - c. Volume number, if more than one volume is required, listed as "Volume \_\_\_ of \_\_\_", with appropriate volume-designating numbers filled in.
    - d. Project name and building or structure name.
- B. Pages:
1. Print pages in manual on 30-pound (minimum) paper, 8.5 inches by 11 inches in size.
  2. Reinforce binding holes in each individual sheet with plastic, cloth, or metal. When published, separately-bound booklets or pamphlets are part of the manual, reinforcing of pages within booklet or pamphlet is not required.
  3. Provide each page with binding margin at least one inch wide. Punch each page with holes suitable for the associated binding.
- C. Drawings:
1. Bind into the manual drawings, diagrams, and illustrations up to and including 11 inches by 17 inches in size, with reinforcing specified for pages.
  2. Documents larger than 11 inches by 17 inches shall be folded and inserted into clear plastic pockets bound into the manual. Mark pockets with printed text indicating content and drawing numbers. Include no more than three drawing sheets per pocket.
- D. Copy Quality and Document Clarity:
1. Contents shall be original-quality copies. Documents in the manual shall be either original manufacturer-printed documents or first-generation photocopies indistinguishable from originals. If original is in color, copies shall be in color. Manuals that contain copies that are unclear, not completely legible, off-center, skewed, or where text or drawings are cut by binding holes, are unacceptable. Pages that contain approval or date stamps, comments, or other markings that cover text or drawing are unacceptable. Faxed copies are unacceptable.

2. Clearly mark in ink to indicate all components of materials and equipment on catalog pages for ease of identification. In standard or pre-printed documents, indicate options furnished or cross out inapplicable content. Using highlighters to so indicate options furnished is unacceptable.

E. Organization:

1. Coordinate with ENGINEER and OWNER to develop comprehensive, practical, and consistent indexing system for operations and maintenance data. ENGINEER will review indexing system before operations and maintenance data is submitted.
2. Table of Contents:
  - a. Provide table of contents in each volume of each operations and maintenance manual.
  - b. In table of contents and at least once in each chapter or section, identify materials and equipment by their functional names. Thereafter, abbreviations and acronyms may be used if their meaning is clearly indicated in a table bound at or near beginning of each volume. Using material or equipment model or catalog designations for identification is unacceptable.
3. Use dividers and indexed tabs between major categories of information, such as operating instructions, preventive maintenance instructions, and other major subdivisions of data in each manual.

### 1.3 FORMAT OF ELECTRONIC COPIES

A. Electronic Copies of Operation and Maintenance Manuals:

1. Each electronic copy shall include all information included in the corresponding printed copy.
2. Submit each electronic copy on a separate compact disc (CD), unless another electronic data transfer method or format is acceptable to ENGINEER.
3. File Format:
  - a. Files shall be in “portable document format” (PDF). Files shall be electronically searchable.
  - b. Submit separate file for each separate document in the printed copy.
  - c. Within each file, provide bookmarks for the following:
    - 1) Each chapter and subsection listed in the corresponding printed copy document’s table of contents.
    - 2) Each figure.
    - 3) Each table.
    - 4) Each appendix.
4. At the request of the OWNER, also submit drawings and figures in one of the following formats: “.bmp”, “.tif”, “.jpg”, or “.gif”. Submit files in a separate directory on the CD.

### 1.4 CONTENT

A. General:

1. Prepare each operations and maintenance manual specifically for the Project. Include in each manual all pertinent instructions, as-built drawings as applicable, bills of materials, technical bulletins, installation and handling requirements, maintenance and repair instructions, and other information required for complete, accurate, and comprehensive data for safe and proper operation, maintenance, and repair of materials and equipment furnished for the Project. Include in manuals specific information required in the Specification Section for the material or equipment, data required by Laws and Regulations, and data required by authorities having jurisdiction.
  2. Completeness and Accuracy:
    - a. Operation and maintenance manuals that include language stating or implying that the manual's content may be insufficient or stating that the manual's content is not guaranteed to be complete and accurate are unacceptable.
    - b. Operations and maintenance manuals shall be complete and accurate.
    - c. Operation and maintenance manuals shall indicate the specific alternatives and features furnished, and the specific operation and maintenance provisions for the material or equipment furnished.
  3. Submit complete, detailed written operating instructions for each material or equipment item including: function; operating characteristics; limiting conditions; operating instructions for start-up, normal and emergency conditions; regulation and control; operational troubleshooting; and shutdown. Also include, as applicable, written descriptions of alarms generated by equipment and proper responses to such alarm conditions.
- B. Submit written explanations of all safety considerations relating to operation and maintenance procedures.
- C. Submit complete, detailed, written preventive maintenance instructions including all information and instructions to keep materials, equipment, and systems properly lubricated, adjusted, and maintained so that materials, equipment, and systems function economically throughout their expected service life. Instructions shall include:
1. Written explanations with illustrations for each preventive maintenance task such as inspection, adjustment, lubrication, calibration, and cleaning. Include pre-startup checklists for each equipment item and maintenance requirements for long-term shutdowns.
  2. Recommended schedule for each preventive maintenance task.
  3. Troubleshooting instructions.
  4. List of required maintenance tools and equipment.
- D. Submit complete bills of material or parts lists for materials and equipment furnished. Lists or bills of material may be furnished on a per-drawing or per-equipment assembly basis. Bills of material shall indicate:
1. Manufacturer's name, address, telephone number, fax number, and Internet website address.
  2. Manufacturer's local service representative's or local parts supplier's name,

- address, telephone number, fax number, Internet website address, and e-mail addresses, when applicable.
3. Manufacturer's shop order and serial number(s) for materials, equipment or assembly furnished.
  4. For each part or piece include the following information:
    - a. Parts cross-reference number. Cross-reference number shall be used to identify the part on assembly drawings, Shop Drawings, or other type of graphic illustration where the part is clearly shown or indicated.
    - b. Part name or description.
    - c. Manufacturer's part number.
    - d. Quantity of each part used in each assembly.
    - e. Current unit price of the part at the time the operations and maintenance manual is submitted. Price list shall be dated.
- E. Submit complete instructions for ordering replaceable parts, including reference numbers (such as shop order number or serial number) that will expedite the ordering process.
- F. Submit manufacturer's recommended inventory levels for spare parts, extra stock materials, and consumable supplies for the initial two years of operation. Consumable supplies are items consumed or worn by operation of materials or equipment, and items used in maintaining the operation of material or equipment, including items such as lubricants, seals, reagents, and testing chemicals used for calibrating or operating the equipment. Include estimated delivery times, shelf life limitations, and special storage requirements.
- G. Submit manufacturer's installation and operation bulletins, diagrams, schematics, and equipment cutaways. Avoid submitting catalog excerpts unless they are the only document available showing identification or description of particular component of the equipment. Where materials pertain to multiple models or types, mark the literature to indicate specific material or equipment supplied. Marking may be in the form of checking, arrows, or underlining to indicate pertinent information, or by crossing out or other means of obliterating information that does not apply to the materials and equipment furnished.
- H. Submit original-quality copies of each approved and accepted Shop Drawing, product data, and other submittal, updated to indicate as-installed condition. Reduced drawings are acceptable only if reduction is to not less than one-half original size and all lines, dimensions, lettering, and text are completely legible on the reduction.
- I. Submit complete electrical schematics and wiring diagrams, including complete point-to-point wiring and wiring numbers or colors between all terminal points.
- J. Submit copy of warranty bond and service contract as applicable.
- K. When copyrighted material is used in operations and maintenance manuals, obtain

copyright holder's written permission to use such material in the operation and maintenance manual.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

## SECTION 01 78 39

### PROJECT RECORD DOCUMENTS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. CONTRACTOR shall maintain and submit to ENGINEER with record documents as specified below, except where otherwise specified or modified in Divisions 3 through 43.
- B. Maintenance of Record Documents:
  - 1. Maintain in clean, dry, legible condition, complete sets of the following record documents: Drawings, Specifications, and Addenda; Shop Drawings, and other CONTRACTOR submittals, including records of test results, approved or accepted as applicable, by ENGINEER; Change Orders, Work Change Directives, Field Orders, photographic documentation, survey data, and all other documents pertinent to the Work.
  - 2. Make record documents available for inspection upon request of ENGINEER or OWNER.
  - 3. Do not use record documents for purpose other than serving as Project record. Do not remove record documents from CONTRACTOR's field office without ENGINEER's approval.
- C. Submittal of Record Documents:
  - 1. Submit to ENGINEER the following record documents:
    - a. Drawings.
    - b. Project Manual including Specifications and Addenda (bound).
  - 2. Prior to readiness for final payment, submit to ENGINEER one copy of final record documents. Submit complete record documents; do not make partial submittals.
  - 3. Submit record documents with transmittal letter on CONTRACTOR letterhead complying with letter of transmittal requirements in Section 01 33 00, Submittal Procedures.
  - 4. Record documents submittal shall include certification, with original signature of official authorized to execute legal agreements on behalf of CONTRACTOR, reading as follows:

“*[Insert Contractor's corporate name]* has maintained and submitted record documentation in accordance with the General Conditions and Supplementary Conditions, Section 01 78 39, Project Record Documents, and other elements of Contract Documents, for the City of Venice RO Water Treatment Plant, Venice, Florida. We certify that each record document submitted is complete, accurate, and legible relative to the Work performed under our Contract, and that the record documents comply with the requirements of the Contract Documents.

*[Provide signature, print name, print signing party's corporate title, and date]*".

## 1.2 RECORDING CHANGES

### A. General:

1. At the start of the Project, label each record document to be submitted as, "PROJECT RECORD" using legible, printed letters. Letters on record copy of the Drawings shall be two inches high.
2. Keep record documents current. Make entries on record documents within two working days of receipt of information required to record the change.
3. Do not permanently conceal the Work until required information has been recorded.
4. Accuracy of record documents shall be such that future searches for items shown on the record documents may rely reasonably on information obtained from ENGINEER-accepted record documents.
5. Marking of Entries:
  - a. Use erasable, colored pencils (not ink or indelible pencil) for marking changes, revisions, additions, and deletions to record documents.
  - b. Clearly describe the change by graphic line and make notations as required. Use straight-edge to mark straight lines. Writing shall be legible and sufficiently dark to allow scanning of record documents into legible electronic files.
  - c. Date all entries on record documents.
  - d. Call attention to changes by drawing a "cloud" around the change(s) indicated.
  - e. Mark initial revisions in red. In the event of overlapping changes, use different colors for subsequent changes.

### B. Drawings:

1. Record changes on copy of the Drawings. Submittal of CONTRACTOR-originated or -produced drawings as a substitute for recording changes on the Drawings is unacceptable.
2. Record changes on plans, sections, schematics, and details as required for clarity, making reference dimensions and elevations (to Project datum) for complete record documentation.
3. Record actual construction including:
  - a. Depths of various elements of foundation relative to Project datum.
  - b. Horizontal and vertical location of Underground Facilities referenced to permanent surface improvements. For each Underground Facility, including pipe fittings, provide dimensions to at least two permanent, visible surface improvements.
  - c. Location of exposed utilities and appurtenances concealed in construction, referenced to visible and accessible features of structure.
  - d. Changes in structural and architectural elements of the Work, including changes in reinforcing.



- e. Field changes of dimensions, arrangements, and details.
  - f. Changes made in accordance with Change Orders, Work Change Directives, and Field Orders.
  - g. Changes in details on the Drawings. Submit additional details prepared by CONTRACTOR when required to document changes.
4. Recording Changes for Schematic Layouts:
- a. In some cases on the Drawings, arrangements of conduits, circuits, piping, ducts, and similar items are shown schematically and are not intended to portray physical layout. For such cases, the final physical arrangement shall be determined by CONTRACTOR subject to acceptance by ENGINEER.
  - b. Record on record documents all revisions to schematics on Drawings, including: piping schematics, ducting schematics, process and instrumentation diagrams, control and circuitry diagrams, electrical one-line diagrams, motor control center layouts, and other schematics when included in the Contract. Record actual locations of equipment, lighting fixtures, in-place grounding system, and other pertinent data.
  - c. When dimensioned plans and dimensioned sections on the Drawings show the Work schematically, indicate on the record documents, by dimensions accurate to within one inch in the field, centerline location of items of Work such as conduit, piping, ducts, and similar items
    - 1) Clearly identify the Work item by accurate notations such as “cast iron drain”, “rigid electrical conduit”, “copper waterline”, and similar descriptions.
    - 2) Show by symbol or note the vertical location of Work item; for example, “embedded in slab”, “under slab”, “in ceiling plenum”, “exposed”, and similar designations. For piping not embedded, also provide elevation dimension relative to Project datum.
    - 3) Descriptions shall be sufficiently detailed to be related to Specifications.
  - d. ENGINEER may furnish written waiver of requirements relative to schematic layouts shown on plans and sections when, in ENGINEER’s judgment, dimensioned layouts of Work shown schematically will serve no useful purpose. Do not rely on waiver(s) being issued.
5. Supplemental Drawings:
- a. In some cases, drawings produced during construction by ENGINEER or CONTRACTOR supplement the Drawings and shall be included with record documents submitted by CONTRACTOR. Supplemental record drawings shall include drawings provided with Change Orders, Work Change Directives, and Field Orders and that cannot be incorporated into the Drawings due to space limitations.
  - b. Supplemental drawings provided with record drawings shall be integrated with the Drawings and include necessary cross-references between drawings. Supplemental record drawings shall be on sheets the same size as the Drawings.
  - c. When supplemental drawings developed by CONTRACTOR using computer-aided drafting/design (CADD) software are to be included in

record drawings, submit electronic files for such drawings in AutoCAD as part of record drawing submittal. Submit electronic files on compact disc labeled, "Supplemental Record Drawings", together with CONTRACTOR name, Project name, and Contract name and number.

C. Specifications and Addenda:

1. Mark each Section to record:
  - a. Manufacturer, trade name, catalog number, and Supplier of each product and item of equipment actually provided.
  - b. Changes made by Addendum, Change Orders, Work Change Directives, and Field Orders.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

## SECTION 01 78 43

### SPARE PARTS AND EXTRA MATERIALS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. CONTRACTOR shall furnish spare parts data and extra materials for materials and equipment in accordance with the Contract Documents.
- B. List of Spare Parts and Extra Materials: With the Shop Drawings and product data for each Specification Section, submit a complete list of spare parts, extra stock materials, maintenance supplies, and special tools required for maintenance (“spare parts and extra materials”) for two years of operation, with unit prices in current United States funds, and source(s) of supply for each.
- C. Packaging and Labeling: Furnish spare parts and extra materials in manufacturer’s unopened cartons, boxes, crates, or other original, protective covering suitable for preventing corrosion and deterioration for maximum length of storage normally anticipated by manufacturer. Packaging of spare parts and extra materials shall be clearly marked and identified with name of manufacturer, applicable equipment, part number, part description, and part location in the equipment. Protect and package spare parts and extra materials for maximum shelf life normally anticipated by manufacturer.
- D. Storage Prior to Delivery to Owner: Prior to furnishing spare parts and extra materials to OWNER, store spare parts and extra materials in accordance with the Contract Documents and manufacturers’ recommendations.
- E. Delivery Time and Eligibility for Payment:
  - 1. Deliver to OWNER spare parts and extra materials upon Substantial Completion for equipment or system associated with the spare parts and extra materials. Do not deliver spare parts and extra materials before commencing start-up for associated equipment or system.
  - 2. Spare parts and extra materials are not eligible for payment until delivered to OWNER and CONTRACTOR’s receipt of OWNER’s countersignature on letter of transmittal.
- F. Procedure for Delivery to Owner: Deliver spare parts and extra materials to OWNER’s storage room at the Site at a location designated by OWNER. When spare parts and extra materials are delivered, CONTRACTOR and ENGINEER will mutually inventory the spare parts and extra materials delivered to verify compliance with the Contract Documents regarding quantity and part numbers. Additional procedures for delivering spare parts and extra materials to OWNER, if required, will be developed by ENGINEER and complied with by CONTRACTOR.

G. Transfer Documentation:

1. Furnish on CONTRACTOR letterhead a letter of transmittal for spare parts and extra materials furnished under each Specification Section. Letter of transmittal shall accompany spare parts and extra materials. Do not furnish letter of transmittal separate from associated spare parts and extra materials.
2. Furnish an original signed letter of transmittal for each Specification Section. Upon delivery of specified quantities and types of spare parts and extra materials to OWNER, designated person from OWNER will countersign each original letter of transmittal indicating OWNER's receipt of spare parts and extra materials.
3. Letter of transmittal shall include the following:
  - a. Information required for letters of transmittal in Section 01 33 00, Submittal Procedures.
  - b. Transmittal shall list spare parts and extra materials furnished under each Specification Section. List each individual part or product and quantity furnished.
  - e. Provide space for countersignature by OWNER as follows: space for signature, space for printed name, and date.

H. CONTRACTOR shall be fully responsible for loss or damage to spare parts and extra materials until spare parts and extra materials are received by OWNER.

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

## SECTION 02 41 00

### DEMOLITION

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified and required for demolition, removal, and disposal Work.
2. The Work under this Section includes, but is not necessarily limited to:
  - a. Demolition and removal of existing materials and equipment as shown or indicated in the Contract Documents. The Work includes demolition of structural concrete, equipment pads, metals, stairs, appurtenances, piping, equipment, electrical components, paving, curbs, sidewalks, and similar existing facilities.
  - b. Demolition and removal of all Underground Facilities underneath, and above-grade piping and utilities in, the structures shown or indicated for demolition, unless the Underground Facilities or above-grade facilities are shown or indicated as to remain.
  - c. Remove from slabs, foundations, walls, and footings that are to be demolished, all utilities and appurtenances embedded in such construction.
3. Demolitions and removals specified under other Sections shall comply with requirements of this Section.
4. Perform demolition Work within areas shown or indicated.
5. Pay all costs associated with transporting and, as applicable, disposing of materials and equipment resulting from demolition.

###### B. Coordination:

1. Comply with Section 01 41 16, Coordination with Owner's Operations.
2. Review procedures under this and other Sections and coordinate the Work that will be performed with or before demolition and removals.

##### 1.2 QUALITY ASSURANCE

###### A. Qualifications:

1. Electrical Removals: Entity and personnel performing electrical removals shall be electrician legally qualified to perform electrical construction and electrical work in the jurisdiction where the Site is located.

###### B. Regulatory Requirements:

1. Comply with requirements of authorities having jurisdiction.

### 1.3 SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Procedure Submittals:
    - a. Demolition and Removal Plan: Not less than ten days prior to starting demolition Work, submit acceptable plan for demolition and removal Work, including:
      - 1) Plan for coordinating shut-offs, capping, temporary services, and continuing utility services.
      - 2) Other proposed procedures as applicable.
      - 3) Equipment proposed for use in demolition operations.
      - 4) Planned demolition operating sequences.
  - 2. Notification of Intended Demolition Start: Submit in accordance with Paragraph 3.1.A of this Section.
  - 3. Qualifications Statements:
    - a. Name and qualifications of entity performing electrical removals, including copy of licenses required by authorities having jurisdiction.

### PART 2 – PRODUCTS (NOT USED)

### PART 3 – EXECUTION

#### 3.1 PREPARATION

- A. Notification:
  - 1. At least 72 hours prior to commencing demolition or removal, notify ENGINEER in writing of planned start of demolition Work. Do not start removals without permission of ENGINEER.
- B. Protection of Surrounding Areas and Facilities:
  - 1. Perform demolition and removal Work in manner that prevents damage and injury to property, structures, occupants, the public, and facilities. Do not interfere with use of, and free and safe access to and from, structures and properties.
  - 2. Closing or obstructing of roads, drives, sidewalks, and passageways adjacent to the Work is not allowed unless indicated otherwise in the Contract Documents. Conduct the Work with minimum interference to vehicular and pedestrian traffic.
  - 3. Provide temporary barriers, lighting, sidewalk sheds, and other necessary protection.
  - 4. Repair damage to facilities that are to remain.
- C. Existing Utilities: In addition to requirements of the General Conditions, Supplementary Conditions, and Division 01 Specifications, do the following:
  - 1. Should uncharted or incorrectly charted Underground Facilities be encountered, CONTRACTOR responsibilities shall be in accordance with the

General Conditions as may be modified by the Supplementary Conditions. Cooperate with utility owners in keeping adjacent services and facilities in operation.

2. Sanitary Sewer: Before proceeding with demolition, locate and cap all sewer lines and service laterals discharging from the building or structure being demolished.
3. Storm Water: Existing storm water system shall remain in place until demolitions of existing building or structure is completed. Upon completing demolition, cut and cap storm sewer laterals as required. Remove existing storm water piping and related structures between points of cutting, and backfill, restore to grade, and stabilize the area over the removed facilities.
4. Water Piping: Before proceeding with demolition, locate and cap all potable and non-potable waterlines and service laterals serving the building or structure being demolished.
5. Other Utilities: Before proceeding with demolition, locate and cap as required all other utilities, such as chemical, fuel and gas; heating, ventilating, and air conditioning; electric; and communications; and service laterals serving the building or structure being demolished.
6. Shutdown of utility services shall be coordinated by CONTRACTOR, assisted by OWNER as required relative to contacting utility owners.

### 3.2 DEMOLITION – GENERAL

- A. Locate construction equipment used for demolition Work and remove demolished materials and equipment to avoid imposing excessive loading on supporting and adjacent walls, floors, framing, facilities, and Underground Facilities.
- B. Pollution Controls:
  1. Use water sprinkling, temporary enclosures, and other suitable methods to limit emissions of dust and dirt to lowest practical level. Comply with Section 01 57 05, Temporary Controls, and Laws and Regulations.
  2. Do not use water when water may create hazardous or objectionable conditions such as flooding or pollution.
  3. Clean adjacent structures, facilities, properties, and improvements of dust, dirt, and debris caused by demolition Work, in accordance with the General Conditions.
- C. Structure Demolition:
  1. Unless otherwise approved by ENGINEER, proceed with demolition from top of structure to the ground. Complete demolition Work above each tier before disturbing supporting members of lower levels.
  2. Demolish concrete and masonry in small sections.
  3. Break up and remove foundations and slabs-on-grade unless otherwise shown or indicated as remaining in place.

D. Demolition of Site Improvements:

1. Pavement, Sidewalks, Curbs, and Gutters: Demolition of asphalt or concrete pavement, sidewalks, curbs, and gutters, as applicable, shall terminate at cut edges. Edges shall be linear and have a vertical cut face.
2. Fencing and Bollards: Remove to the limits shown or indicated on the Drawings. Completely remove below-grade posts and concrete.
3. Manholes, Vaults, Chambers, and Handholes: Remove to the limits shown or indicated on the Drawings.
4. Underground Facilities Other than Manholes, Vaults, Chambers, and Handholes: Remove to the extent shown or indicated on the Drawings. Unless otherwise shown or indicated, cap ends of piping to remain in place in accordance with the "Mechanical Removals" Article in this Section.

E. Salvage and Ownership:

1. Refer to Section 01 11 13, Summary of Work, for requirements on salvage, ownership, and handling of equipment and materials removed during demolition and removal Work.
2. Materials and equipment to remain OWNER's property shall be carefully removed and appropriately handled by CONTRACTOR to avoid damage and invalidation of warranties in effect, and shall be cleaned and stored at the Site (or other site specified in the Contract Documents) at place designated by ENGINEER or OWNER.

F. Finishing of Surfaces Exposed by Removals: Unless otherwise shown or indicated in the Contract Documents, surfaces of walls, floors, ceilings, and other areas exposed by removals, and that will remain as finished surfaces, shall be repaired and re-finished with materials that match existing adjacent surface, or as otherwise approved by ENGINEER.

### 3.3 STRUCTURAL REMOVALS

- A. Remove structures to lines and grades shown or indicated, unless otherwise directed by ENGINEER. Where limits are not shown or indicated, limits shall be four inches outside item to be installed. Removals beyond limits shown or indicated shall be at CONTRACTOR's expense and such excess removals shall be reconstructed to satisfaction of ENGINEER without additional cost to OWNER.
- B. After removing concrete and masonry walls or portions thereof, slabs, and similar construction that ties in to the Work or to existing construction, neatly repair the junction point to leave exposed only finished edges and finished surfaces.
- C. Where parts of existing structures are to remain in service following demolition, remove the portions shown or indicated for removal, repair damage, and leave the building or structure in proper condition for the intended use.
  1. Remove concrete and masonry to the lines shown or indicated by sawing, drilling, chipping, and other suitable methods. Leave the resulting surfaces



- true and even, with sharp, straight corners that will result in neat joints with new construction and be satisfactory for the purpose intended.
2. Do not damage reinforcing bars beyond the area of concrete and masonry removal. Do not saw-cut beyond the area to be removed.
  3. Reinforcing bars that are exposed at surfaces of removed concrete and masonry that will not be covered with new concrete or masonry shall be removed to 1.5 inches below the final surface. Repair the resulting hole, with repair mortar for concrete and grout for masonry, to be flush with the surface.
  4. Where existing reinforcing bars are shown or indicated to extend into new construction, remove existing concrete so that reinforcing bars are clean and undamaged.
- D. Where equipment or material anchored to concrete or masonry are removed and anchors are not to be re-used, remove the anchors to not less than 1.5 inches beneath surface of concrete or masonry member. Repair the resulting hole, using repair mortar for concrete and grout for masonry, to be flush with the surface. Alternately, when the anchor is stainless steel, the anchor may be cut flush with the surface of the concrete or masonry, when so approved by ENGINEER.
- E. Where anchoring materials, including bolts, nuts, hangers, welds, and reinforcing steel, are required to attach the Work to existing construction, provide such materials under this Section, unless specified elsewhere in the Contract Documents.

### 3.4 MECHANICAL REMOVALS

- A. Mechanical demolition and removal Work includes dismantling and removing existing piping, ductwork, pumps, equipment, tanks, and appurtenances as shown, indicated, and required for completion of the Work. Mechanical removals include cutting and capping as required.
- B. Demolition and Removals of Piping, Ductwork, and Similar Items:
1. Purge piping and tanks (as applicable) of chemicals or fuel (as applicable) and make safe for removal and capping. Remove to the extent shown or indicated existing process, water, waste and vent, chemical, and other piping. Remove piping to the nearest solid piping support, and provide caps on ends of remaining piping. Where piping to be demolished passes through existing walls to remain, cut off and cap pipe on each side of the wall.
  2. Caps, Closures, Blind Flanges, and Plugs:
    - a. Provide closure pieces, such as blind flanges and caps, where required to complete the Work.
    - b. Where used in this Section, the term “cap” means the appropriate type closure for the piping or ductwork being closed, including caps, blind flanges, and other closures.
    - c. Caps shall be compatible with the piping or ductwork to which the cap is attached, fluid-tight and gastight, and appropriate for the fluid or gas conveyed in the pipe or duct.

- d. Unless otherwise shown or indicated, caps shall be mechanically fastened, fused, or welded to pipe or duct. Plug piping with means other than specified in this Section only when so shown or indicated in the Contractor Documents or when allowed by ENGINEER.
  3. When Underground Facilities are altered or removed, properly cut and cap piping left in place, unless otherwise shown or indicated.
  4. Remove waste and vent piping, and ductwork to extent shown and cap as required. Where demolished vent piping, stacks, and ductwork passes through existing roofing, patch the roof with the same or similar materials. Completed patch shall be watertight and comply with roofing manufacturer's recommendations.
  5. Modifications to potable water piping and other plumbing and heating system work shall comply with Laws and Regulations. All portions of potable water system that have been modified or opened shall be hydrostatically tested and disinfected in accordance with the Contract Documents, and Laws and Regulations. Hydrostatically test other, normally-pressurized, plumbing piping.
- C. Equipment Demolition and Removals:
1. To the extent shown or indicated, remove existing process equipment; pumps; storage tanks; fans; and other equipment.
  2. Where required, disassemble equipment to avoid imposing excessive loading on supporting walls, floors, framing, facilities, and Underground Facilities. Disassemble equipment as required for access through and egress from structures and areas. Disassembly shall comply with Laws and Regulations. Provide required means to remove equipment.
  3. Remove control panels, operator stations, and instruments associated with equipment being removed, unless shown or indicated otherwise.
  4. Remove equipment supports as applicable, anchorages, base, grout, and piping. Remove anchorage systems in accordance with the "Structural Removals" Article in this Section. Remove small-diameter piping back to header unless otherwise indicated.
  5. Remove access platforms, ladders, and stairs related to equipment being removed, unless otherwise shown or indicated.

### 3.5 ELECTRICAL REMOVALS

- A. Electrical demolition Work includes removing existing control panels, motors, starters, above ground conduit and raceways, cabling, poles and lighting fixtures, switches, and miscellaneous electrical equipment, as shown, specified, or required.
- B. Remove existing electrical equipment and fixtures to avoid damaging systems to remain, to keep existing systems in operation, and to maintain integrity of grounding systems.

- C. Disconnect and remove motors, control panels, and other electrical gear where shown or indicated. Motors and other electrical gear to be reused shall be stored in accordance with Section 01 66 00, Product Storage and Handling Requirements.
- D. Cables in conduits to be removed shall be removed back to the power source or control panel, unless otherwise shown or indicated. Verify the function of each cable before disconnecting and removing.
- E. Conduits, raceways, and cabling shall be removed where shown or indicated. Abandoned conduits concealed in floor, ceiling slabs, or in walls shall be cut flush with the slab or wall (as applicable) at point of entrance, suitably capped, and the area repaired in a flush, smooth manner acceptable to ENGINEER. Exposed conduits, junction boxes, other electrical appurtenances, and their supports shall be disassembled and removed. Repair all areas of the Work to prevent rusting on exposed surfaces.
- F. Conduits in Underground Facilities not scheduled for reuse shall be suitably capped watertight where each enters building or structure to remain.
- G. Where shown or indicated, remove direct burial cable. Openings in buildings for entrance of direct burial cable shall be patched with repair mortar or other material approved by ENGINEER for this purpose, and made watertight.
- H. Lighting fixtures, wall switches, receptacles, starters, and other miscellaneous electrical equipment, not designated as remaining as OWNER's property, shall be removed and properly disposed off-Site as required.

### 3.6 DISPOSAL OF DEMOLITION DEBRIS

- A. Remove from the Site all debris, waste, rubbish, and material resulting from demolition operations and equipment used in demolition Work. Comply with the General Conditions and Supplementary Conditions.
- B. Transportation and Disposal:
  - 1. Non-hazardous Material: Properly transport and dispose of non-hazardous demolition debris at appropriate landfill or other suitable location, in accordance with Laws and Regulations. Non-hazardous material does not contain Asbestos, PCBs, Petroleum, Hazardous Waste, Radioactive Material, or other material designated as hazardous in Laws and Regulations.
  - 2. Hazardous Material: When handling and disposal of hazardous materials is included in the Work, properly transport and dispose of hazardous materials in accordance with the Contract Documents and Laws and Regulations.

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## SECTION 03 00 05

### CONCRETE

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install concrete, reinforcing, and related materials.
  - 2. The Work includes:
    - a. Providing concrete consisting of portland cement, fine and coarse aggregates, water, and approved admixtures; combined, mixed, transported, placed, finished, and cured.
    - b. Fabricating and placing reinforcing, including ties and supports.
    - c. Design, erection, and removal of formwork.
    - d. Building into the concrete all sleeves, frames, anchorage devices, inserts, and other items required to be embedded in concrete.
    - e. Providing openings in concrete as required to accommodate Work under this and other Sections.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate installation of items to be installed in the concrete Work.
- C. Classifications of Concrete:
  - 1. Class “A” concrete shall be steel-reinforced and includes all concrete in contact with earth unless otherwise shown or indicated.
- D. Related Sections:
  - 1. Section 05 05 33, Anchor Systems.

##### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ACI 224R, Control of Cracking in Concrete Structures.
  - 2. ACI 301, Specifications for Structural Concrete for Buildings.
  - 3. ACI 304R, Guide for Measuring, Mixing, Transporting and Placing Concrete.
  - 4. ACI 305R, Specification for Hot Weather Concreting.
  - 5. ACI 306R, Cold Weather Concreting.
  - 6. ACI 309R, Guide for Consolidation of Concrete.
  - 7. ACI 318, Building Code Requirements for Structural Concrete and Commentary.
  - 8. ACI 347, Guide to Formwork for Concrete.

9. ACI SP-66, ACI Detailing Manual.
10. ASTM A82/A82M, Specification for Steel Wire, Plain, for Concrete Reinforcement.
11. ASTM A185/A185M, Specification for Steel Welded Wire Reinforcement, Plain, for Concrete.
12. ASTM A615/A615M, Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement.
13. ASTM C31/C31M, Practice for Making and Curing Concrete Test Specimens in the Field.
14. ASTM C33/C33M, Specification for Concrete Aggregates.
15. ASTM C39/C39M, Test Method for Compressive Strength of Cylindrical Concrete Specimens.
16. ASTM C42/C42M, Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete.
17. ASTM C94/C94M, Specification for Ready-Mixed Concrete.
18. ASTM C138/C138M, Test Method for Density (Unit Weight), Yield, and Air Content (Gravimetric) of Concrete.
19. ASTM C143/C143M, Test Method for Slump of Hydraulic-Cement Concrete.
20. ASTM C150/C150M, Specification for Portland Cement.
21. ASTM C172, Practice for Sampling Freshly Mixed Concrete.
22. ASTM C231, Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method.
23. ASTM C260, Specification for Air-Entraining Admixtures for Concrete.
24. ASTM C309, Specification for Liquid Membrane-Forming Compounds for Curing Concrete.
25. ASTM C494/C494M, Specification for Chemical Admixtures for Concrete.
26. ASTM C579, Methods for Compressive Strength of Chemical-Resistant Mortars, Grouts, Monolithic Surfacing, and Polymer Concretes.
27. ASTM C1064/C1064M, Standard Test Method for Temperature of Freshly Mixed Hydraulic-Cement Concrete.
28. ASTM D1752, Specification for Preformed Sponge Rubber Cork and Recycled PVC Expansion Joint Fillers for Concrete Paving and Structural Construction.
29. ASTM E96/E96M, Test Methods for Water Vapor Transmission of Materials
30. ASTM E1745, Specification for Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs.
31. CRD-C 572, U. S. Army Corps of Engineers Specification for Polyvinylchloride Waterstops.
32. CRSI 1MSP, Manual of Standard Practice.
33. NSF/ANSI 61, Drinking Water System Components - Health Effects.

### 1.3 QUALITY ASSURANCE

#### A. Laboratory Trial Batch:

1. Employ independent testing laboratory experienced in design and testing of concrete materials and mixes to perform material evaluation tests and to design concrete mixes.
2. Each concrete mix design specified shall be verified by laboratory trial batch, unless indicated otherwise.
3. Perform the following testing on each trial batch:
  - a. Aggregate gradation for fine and coarse aggregates.
  - b. Slump.
  - c. Air content.
  - d. Compressive strength based on three cylinders each tested at seven days and at 28 days.
4. Submit for each trial batch the following information:
  - a. Project identification name and number (if applicable).
  - b. Date of test report.
  - c. Complete identification of aggregate source of supply.
  - d. Tests of aggregates for compliance with the Contract Documents.
  - e. Scale weight of each aggregate.
  - f. Absorbed water in each aggregate.
  - g. Brand, type, and composition of cementitious materials.
  - h. Brand, type, and amount of each admixture.
  - i. Amounts of water used in trial mixes.
  - j. Proportions of each material per cubic yard.
  - k. Gross weight and yield per cubic yard of trial mixtures.
  - l. Measured slump.
  - m. Measured air content.
  - n. Compressive strength developed at seven days and 28 days, from not less than three test cylinders cast for each seven day and 28-day test, and for each design mix.

### 1.4 SUBMITTALS

#### A. Action Submittals: Submit the following:

1. Shop Drawings:
  - a. List of concrete materials and concrete mix designs proposed for use. Include results of tests performed to qualify the materials and to establish the mix designs. Do not start laboratory trial batch testing until this submittal is approved by ENGINEER.
  - b. Laboratory Trial Batch Reports: Submit laboratory test reports for concrete cylinders, materials, and mix design tests.
  - c. Concrete placement drawings showing the location and type of all joints.
  - d. Drawings for fabricating, bending, and placing concrete reinforcing. Comply with ACI SP-66. For walls and masonry construction, provide elevations to a minimum scale of 1/4-inch to one foot. Show bar schedules, stirrup spacing, adhesive dowels, splice lengths, diagrams of

- bent bars, arrangements, and assemblies, as required for fabricating and placing concrete reinforcing.
2. Product Data:
    - a. Manufacturer's specifications with application and installation instructions for proprietary materials and items, including admixtures and bonding agents.
  3. Samples:
    - a. Samples: Submit samples of materials as specified and as otherwise requested by ENGINEER, including names, sources, and descriptions.
- B. Informational Submittals: Submit the following:
1. Delivery Tickets: Copies of all delivery tickets for each load of concrete delivered to or mixed at the Site. Each delivery tickets shall contain the information in accordance with ASTM C94/C94M along with project identification name and number (if any), date, mix type, mix time, quantity and amount of water introduced.
  2. Site Quality Control Submittals:
    - a. Report of testing results for testing of field concrete cylinders for each required time period. Submit within 24 hours after completion of associated test. Test report shall include results of all testing required at time of sampling.

## 1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Transportation, Delivery, and Handling:
1. Deliver concrete reinforcing products to Site bundled, tagged, and marked. Use metal tags indicating bar size, lengths, and other information corresponding to markings on approved Shop Drawings.
  2. Materials used for concrete shall be clean and free from foreign matter during transportation and handling, and kept separate until measured and placed into concrete mixer.
  3. Implement suitable measures during hauling, piling, and handling to ensure that segregation of coarse and fine aggregate particles does not occur and grading is not affected.
  4. Deliver grout materials from manufacturers in unopened containers that bear intact manufacturer labeling.
  5. Comply with Section 01 65 00, Product Delivery Requirements.
- B. Storage:
1. Store formwork materials above ground on framework or blocking. Cover wood for forms and other accessory materials with protective, waterproof covering. Provide for adequate air circulation or ventilation under cover.
  2. Store concrete reinforcing materials to prevent damage and accumulation of dirt and excessive rust. Store on heavy wood blocking so that reinforcing does not come into contact with the ground. Space framework or blocking supports to prevent excessive deformation of stored materials.



3. Store concrete joint materials on platforms or in enclosures or covered to prevent contact with ground and exposure to weather and direct sunlight.
4. For storage of concrete materials, provide bins or platforms with hard, clean surfaces.
5. Comply with Section 01 66 00, Product Storage and Handling Requirements.

## PART 2 – PRODUCTS

### 2.1 GENERAL

- A. All cementitious materials, admixtures, curing compounds, and other industrial-produced materials used in concrete, or for curing or repairing of concrete, that can contact potable water or water that will be treated to become potable shall be listed in NSF/ANSI 61.

### 2.2 CONCRETE MATERIALS

- A. Portland Cement: ASTM C150/C150M, Type I/II.
- B. Fly Ash Mineral Admixture:
  1. Mineral admixtures, when used, shall conform to the requirements of ASTM C618 Class F, except as follows:
    - a. The loss on ignition shall be a maximum of four percent.
    - b. The maximum percent of sulfur trioxide (SO<sub>3</sub>) shall be 4.0.
  2. Fly ash shall be considered to be a cementitious material.
  3. Laboratory trial batches shall be tested to determine compliance with strength requirements, times of setting, slump, slump loss, and shrinkage characteristics.
- C. For concrete, when Type II Cement is used, fly ash shall be used within the following percentages by weight.
  1. Fly ash shall have minimum of 20 percent and maximum of 25 percent of total weight of cementitious material.
- D. Aggregates: ASTM C33/C33M.
  1. Fine Aggregate: Clean, sharp, natural sand free of loam, clay, lumps, and other deleterious substances. Dune sand, bank run sand, and manufactured sand are unacceptable.

2. Coarse Aggregate:
  - a. Clean, uncoated, processed aggregate containing no clay, mud, loam, or foreign matter.
  - b. Coarse aggregate shall comply with the following:
    - 1) Crushed stone, processed from natural rock or stone.
    - 2) Washed gravel, either natural or crushed. Slag, pit gravel, and bank-run gravel are not allowed.
  - c. Coarse Aggregate Size: ASTM C33/C33M, Nos. 57 or 67, unless otherwise approved by ENGINEER.
- E. Water: Clean, potable.
- F. Admixtures:
  1. Air-Entraining Admixture: ASTM C260.
  2. Water-Reducing Admixture: ASTM C494/C494M, Type A.
  3. Water Reducing and Set-Adjusting Admixtures: ASTM C494/C494M, Types D and E.
  4. High Range Water-Reducing Admixture: ASTM C494/C494M, Type F/G.
  5. Use only admixtures that have been tested and approved in the mix designs.
  6. Do not use calcium chloride or admixtures containing chloride ions.

### 2.3 CONCRETE MIX

- A. General:
  1. Normal weight: 145 pounds per cubic foot.
  2. Use air-entraining admixture in all concrete. Provide from three to five percent entrained air for other concrete.
- B. Proportioning and Design of Class "A" Concrete Mix:
  1. Minimum compressive strength at 28 days: 4,000 psi.
  2. Maximum water-cement ratio by weight: 0.45.
  3. Minimum cement content: 564 pounds per cubic yard.
  4. Use amounts of admixtures recommended by admixture manufacturer for climatic conditions prevailing at the Site at time of placing. Adjust quantities and types of admixtures as required to maintain quality. Site soil conditions contain acidic soils.
- C. Slump Limits:
  1. Proportion and design mixes to result in concrete slump at point of placement of not less than one inch and not more than four inches.
  2. When using high-range water reducers, slump prior to addition of admixture shall not exceed three inches. Slump after adding admixture shall not exceed eight inches at point of placement.
- D. Adjustment of Concrete Mixes:

1. Concrete mix design adjustments may be requested by CONTRACTOR when warranted by characteristics of materials, Site conditions, weather, test results, or other, similar circumstances.
2. Submit for ENGINEER's approval laboratory test data for adjusted concrete mix designs, including compressive strength test results.
3. Implement adjusted mix designs only after ENGINEER's approval.
4. Adjustments to concrete mix designs shall not result in additional costs to OWNER.

## 2.4 FORM MATERIALS

- A. Provide form materials with sufficient stability to withstand pressure of placed concrete without bow or deflection. CONTRACTOR shall be responsible for designing the formwork system to resist all applied loads including pressures from fluid concrete and construction loads.
- B. Smooth Form Surfaces: Acceptable panel-type to provide continuous, straight, smooth, as-cast surfaces in accordance with ACI 301.
- C. Unexposed Concrete Surfaces: Material to suit project conditions.
- D. Provide 3/4-inch chamfer at all external corners. Chamfer is not required at re-entrant corners unless otherwise shown or indicated.
- E. Form Ties:
  1. Provide factory-fabricated, removable, or snap-off metal form ties, that prevent form deflection and prevent spalling of concrete surfaces upon removal. Materials used for tying forms are subject to approval of ENGINEER.
  2. Unless otherwise shown or indicated, provide ties so that portion remaining within concrete after removal of exterior parts is at least 1.5 inches from outer surface of concrete. Unless otherwise shown or indicated, provide form ties that, upon removal, will leave a uniform, circular hole not larger than one-inch diameter in the concrete surface.
  3. Ties for exterior walls, below-grade walls, and walls subject to hydrostatic pressure shall be provided with waterstops.
  4. Wire ties are unacceptable.

## 2.5 REINFORCING MATERIALS

- A. Reinforcing Bars: ASTM A615/A615M, Grade 60 deformed bars.
- B. Welded Wire Fabric: ASTM A185/A185M.
- C. Steel Wire: ASTM A82/A82M.
- D. Provide supports for reinforcing including bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing in place.

1. Use wire bar-type supports complying with CRSI MSP1 recommendations, except as specified in this Section. Do not use wood, brick, or other unacceptable materials.
  2. For slabs on grade, use precast concrete blocks, four inches square minimum with compressive strength equal to or greater than the surrounding concrete, or supports with sand plates or horizontal runners where base materials will not support chair legs.
  3. For all concrete surfaces where legs of supports are in contact with forms, provide supports having either hot-dip galvanized, plastic-protected, or stainless steel legs in accordance with CRSI MSP1.
  4. Provide precast concrete supports over waterproof membranes.
- E. Adhesive Dowels:
1. Dowels:
    - a. Dowel reinforcing bars shall comply with ASTM A615, Grade 60.
  2. Adhesive:
    - a. For requirements for adhesive, refer to Section 05 05 33, Anchor Systems.

## 2.6 RELATED MATERIALS

- A. Membrane-Forming Curing Compound: ASTM C309, Type I.
- B. Epoxy Bonding Agent:
1. Two-component epoxy resin bonding agent.
  2. Products and Manufacturers: Provide one of the following:
    - a. Sikadur 32, Hi-Mod LPL, by Sika Corporation.
    - b. Eucopoxy LPL, by the Euclid Chemical Company.
    - c. Or equal.
- C. Epoxy-Cement Bonding Agent:
1. Three-component blended epoxy resin-cement bonding agent.
  2. Products and Manufacturers: Provide one of the following:
    - a. Sika Armatec 110 EpoCem, by Sika Corporation.
    - b. Duralprep A.C., by Euclid Chemical Company.
    - c. Or equal.
- D. Preformed Expansion Joint Filler:
1. Provide preformed expansion joint filler complying with ASTM D1752, Type I (sponge rubber) or Type II (cork).
- E. Exterior and Interior Vertical Joint Sealant; Non-submerged:
1. Two-component Polyurethane Sealant:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) Sikaflex- 2c NS by Sika Corporation.
      - 2) Dymeric 240 FC by Tremco Sealant/Waterproofing Division of RPM International, Inc.

- 3) Or equal.
  - b. Polyurethane based, two-component elastomeric sealant complying with:
    - 1) FS TT-S-00227E: Type II (non-sag) Class A and ASTM C920, Type M, Grade NS, Class 25.
    - 2) Adhesion-in-Peel, FS TT-S-00227E and ASTM C794: (Minimum five pounds per linear inch with no adhesion failure): 10 pounds.
    - 3) Hardness (Standard Conditions), ASTM C661: 25 to 35 (Shore A).
    - 4) Stain and color change, FS TT-S-00227E and ASTM C510: No discoloration or stain.
    - 5) Accelerated Aging, ASTM C793: No change in sealant characteristics after 250 hours in weatherometer.
    - 6) Rheological Vertical Displacement at 120 degrees F, FS TT-S-00227E: No sag.
    - 7) VOC Content: 100 g/L, maximum.
- F. Exterior and Interior Horizontal Joint Sealant; Non-submerged:
1. Two-component Polyurethane Sealant:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) Sikaflex- 2c SL by Sika Corporation.
      - 2) THC/900 by Tremco Sealant/Waterproofing Division of RPM International, Inc.
      - 3) Or equal.
    - b. Polyurethane based, two-component elastomeric, self-leveling sealant complying with the following:
      - 1) FS TT-S-00227E, Type I (self-leveling) Class A. and ASTM C920, Type M, Grade P, Class 25
      - 2) Water Immersion Bond, FS TT-S-00227E: Elongation of 50 percent with no adhesive failure.
      - 3) Hardness (Standard Conditions), ASTM C661: 35 to 45.
      - 4) Stain and Color Change, FS TT-S-00227E and ASTM C510: No discoloration or stain.
      - 5) Accelerated Aging, ASTM C793: No change in sealant characteristics after 250 hours in weatherometer.
      - 6) VOC Content: 165 g/L, maximum.
- G. Miscellaneous Joint Sealant Materials:
1. Joint Cleaner: As recommended by calking and sealant manufacturer.
  2. Joint Primer and Sealer: As recommended for compatibility with calking and sealant by calking and sealant manufacturer.
  3. Bond Breaker Type: Polyethylene tape or other plastic tape as recommended for compatibility with calking and sealant by calking and sealant manufacturer, to be applied to sealant-contact surfaces where bond to substrate or joint filler must be avoided for proper performance of calking and sealant. Provide self-adhesive tape where applicable.
  4. Sealant Backer Rod: Compressible rod stock polyethylene foam, polyethylene jacketed polyurethane foam, butyl rubber foam, neoprene foam or other flexible, permanent, durable nonabsorptive material as recommended for

compatibility with calking and sealant by calking and sealant manufacturer. Provide size and shape of rod that will control joint depth for sealant placement, break bond of sealant at bottom of joint, form optimum shape of sealant bead on back side, and provide highly-compressible backer to minimize possibility of sealant extrusion when joint is compressed.

## 2.7 GROUT

### A. Non-shrink Grout:

1. Pre-packaged, non-metallic, cementitious grout requiring only the addition of water at the Site.
2. Minimum 28-day Compressive Strength: 7,000 psi.
3. Products and Manufacturers: Provide one of the following:
  - a. NS Grout by Euclid Chemical Company.
  - b. Set Grout by Master Builders, Inc.
  - c. NBEC Grout by Five Star Products, Inc.
  - d. Or equal.

### B. Epoxy Grout:

1. Pre-packaged, non-shrink, non-metallic, 100 percent solids, solvent-free, moisture-insensitive, three-component epoxy grouting system.
2. Minimum Seven-day Compressive Strength: 14,000 psi, when tested in accordance with ASTM C579.
3. Products and Manufacturers: Provide one of the following:
  - a. Euco High Strength Grout, by Euclid Chemical Company.
  - b. Sikadur 42, Grout Pak, by Sika Corporation.
  - c. Five Star Epoxy Grout, by Five Star Products, Inc.
  - d. Or equal.

### C. Grout Fill:

1. Grout mix shall consist of cement, fine and coarse aggregates, water, and admixtures complying with requirements specified in this Section for similar materials in concrete.
2. Proportion and mix grout fill as follows:
  - a. Minimum Cement Content: 564 pounds per cubic yard.
  - b. Maximum Water-Cement Ratio: 0.45.
  - c. Maximum Coarse Aggregate size: 1/2-inch, unless otherwise indicated.
  - d. Minimum 28-day Compressive Strength: 4,000 psi.

## PART 3 – EXECUTION

### 3.1 INSPECTION

- A. CONTRACTOR shall examine the substrate and the conditions under which the Work will be performed and notify ENGINEER in writing of unsatisfactory

conditions. Do not proceed with the Work until unsatisfactory conditions are corrected.

### 3.2 FORMWORK

- A. Construct formwork in accordance with ACI 347 such that concrete members and structures are of correct size, shape, alignment, elevation, and position.
- B. Provide openings in formwork to accommodate the Work of other trades. Accurately place and securely support items required to be built into formwork.
- C. Clean and adjust forms prior to placing concrete. Apply form release agents or wet forms as required. Re-tighten forms during and after concrete placing, when required, to eliminate cement paste leaks.
- D. Removing Formwork:
  - 1. Comply with ACI 301 and ACI 347, except as otherwise indicated in the Contract Documents.
  - 2. Do not remove formwork and shoring until supported concrete members have acquired minimum of 90 percent of specified compressive strength. Results of suitable quality control tests of field-cured specimens may be submitted to ENGINEER for review as evidence that concrete has attained sufficient strength for removal of supporting formwork and shoring prior to removal times indicated in the Contract Documents.
  - 3. Removal time for formwork is subject to ENGINEER's acceptance.
  - 4. Repair form tie-holes following in accordance with ACI 301.

### 3.3 REINFORCING, JOINTS, AND EMBEDDED ITEMS

- A. Comply with the applicable recommendations of Laws and Regulations and standards referenced in this Section, including CRSI MSP1, for details and methods of placing and supporting reinforcing.
- B. Clean reinforcing to remove loose rust and mill scale, earth, ice, and other materials which act to reduce or destroy bond between reinforcing material and concrete.
- C. Position, support, and secure reinforcing against displacement during formwork construction and concrete placing. Locate and support reinforcing by means of metal chairs, runners, bolsters, spacers, and hangers, as required.
  - 1. Place reinforcing to obtain minimum concrete coverages as shown on the Drawings and as required in ACI 318. Arrange, space, and securely tie bars and bar supports together with 16-gage wire to hold reinforcing accurately in position during concrete placing. Set with ties so that twisted ends are directed away from exposed concrete surfaces.
  - 2. Do not secure reinforcing to formwork using wire, nails or other ferrous metal. Metal supports subject to corrosion shall not be in contact with formed or exposed concrete surfaces.

- D. Provide sufficient quantity of supports of strength required to carry reinforcing. Do not place reinforcing more than two inches beyond the last leg of continuous bar support. Do not use supports as bases for runways for concrete conveying equipment and similar construction loads.
- E. Splices: Provide standard reinforcing splices by lapping ends, placing bars in contact, and tying tightly with wire. Comply with requirements shown or indicated for minimum lap of spliced bars, as shown on the Drawings.
- F. Install welded wire fabric in lengths as long as practical, lapping adjoining sections a minimum of one full mesh.
- G. Do not place concrete until reinforcing is inspected and ENGINEER indicates that conditions are acceptable for placing concrete. Concrete placed in violation of this paragraph will be rejected. Notify ENGINEER in writing at least two working days prior to proposed concrete placement.
- H. Joints:
  - 1. Provide construction, isolation, expansion, and control joints as indicated or required. Locate construction joints so as to not impair the strength and appearance of the structure. Place isolation and control joints in slabs-on-grade to stabilize differential settlement and random cracking.
  - 2. In walls, locate joints at a maximum spacing of 40 feet and approximately 12 feet from corners.
  - 3. In foundation slabs and slabs-on-grade, locate joints at intervals of approximately 40 feet.
  - 4. In mats and structural slabs and beams, locate joints in compliance with ACI 224R.
  - 5. Locations of joints shall be in accordance with the Contract Documents and as approved by ENGINEER in the Shop Drawings.
  - 6. Where construction joints are indicated to be roughened, intentionally roughen surfaces of previously-placed concrete to amplitude of 1/4-inch.
- I. Installation of Embedded Items: Set and build into the Work anchorage devices and embedded items required for other Work that is attached to, or supported by, cast-in-place concrete. Use setting diagrams, templates, and instructions provided under other Sections and, when applicable, other contracts for locating and setting. Refer to Paragraph 1.1.B of this Section. Do not embed in concrete uncoated aluminum items. Where aluminum items are in contact with concrete surfaces, coat aluminum to prevent direct contact with concrete.
- J. Adhesive Dowels:
  - 1. Adhesive dowels shall be reinforcing bar dowels set in an adhesive in hole drilled into hardened concrete. Comply with adhesive system manufacturer's installation instructions regarding hole diameter, drilling method, embedment depth required to fully develop required tensile strength, and hole cleaning and



preparation instructions. Unless more-stringent standards are required by adhesive system manufacturer, comply with the following.

2. Drill holes to adhesive system manufacturer's recommended diameter and depth to develop required tensile strength. Holes shall not be more than 1/4-inch greater than nominal bar diameter, and hole depth shall not be less than twelve times nominal bar diameter. Hammer-drill holes. Cored holes are not allowed.
3. Embedment depths shall be based on concrete compressive strength of 2,000 psi when embedded in existing concrete, and 4,000 psi when embedded in new concrete.
4. Determine location of existing reinforcing steel in vicinity of proposed holes prior to drilling. Adjust location of holes to be drilled to avoid drilling through or damaging existing reinforcing bars only when approved by ENGINEER.
5. Before setting adhesive dowel, hole shall be free of dust and debris using method recommended by adhesive system manufacturer. Hole shall be brushed, with manufacturer-approved brush and blown clean with clean, dry, oil-free compressed air to remove dust and loose particles. Hole shall be dry as defined by adhesive system manufacturer.
6. Inject adhesive into hole through injection system mixing nozzle and necessary extension tubes, placed to bottom of hole. Withdraw discharge end as adhesive is placed, but keep end of tube immersed to prevent forming air pockets. Fill hole to depth that ensures that excess material is expelled from hole during dowel placement.
7. Twist dowels during insertion into partially-filled hole to guarantee full wetting of bar surface with adhesive. Insert bar slowly to avoid developing air pockets.

### 3.4 CONCRETE PLACING

- A. Site Mixing: Use drum-type batch machine mixer, mixing not less than 1.5 minutes for one cubic yard or smaller capacity. Increase required mixing time by minimum of 15 seconds for each additional cubic yard or fraction thereof.
- B. Ready-Mixed Concrete: Comply with ASTM C94/C94M.
- C. Concrete Placing:
  1. Place concrete in a continuous operation within planned joints or sections in accordance with ACI 304R.
  2. Do not begin placing concrete until work of other trades affecting concrete is completed.
  3. Wet concrete and subgrade surfaces to saturated surface dry condition immediately prior to placing concrete.
  4. Deposit concrete as near its final location as practical to avoid segregation due to re-handling or flowing.
  5. Avoid separation of the concrete mixture during transportation and placing. Concrete shall not free-fall for distance greater than four feet during placing.
  6. Complete concrete placing within 90 minutes of addition of water to the dry ingredients.

- D. Consolidate placed concrete in accordance with ACI 309R using mechanical vibrating equipment supplemented with hand rodding and tamping, such that concrete is worked around placing and other embedded items and into all parts of formwork. Insert and withdraw vibrators vertically at uniformly-spaced locations. Do not use vibrators to transport concrete within the formwork. Vibration of formwork or placing is not allowed.
- E. Protect concrete from physical damage or reduced strength due to weather extremes during mixing, placing, and curing.
  - 1. In hot weather comply with ACI 305R.
  - 2. In cold weather comply with ACI 306R.

### 3.5 QUALITY OF CONCRETE WORK

- A. Make concrete solid, compact, smooth, and free of laitance, cracks, and cold joints.
- B. Concrete for liquid-retaining structures and concrete in contact with earth, water, or exposed directly to the elements shall be watertight.
- C. Cut out and properly replace to extent directed by ENGINEER, or repair to satisfaction of ENGINEER, surfaces that contain cracks or voids, are unduly rough, or are in defective in any way. Patches or plastering are unacceptable.
- D. Repair, removal and replacement of defective concrete directed by ENGINEER shall be at no additional cost to OWNER.

### 3.6 CURING

- A. Begin initial curing as soon as free water has disappeared from exposed surfaces. Where possible, keep continuously moist for not less than 72 hours. Continue curing by using moisture-retaining cover or membrane-forming curing compound. Cure formed surfaces by moist curing until formwork is removed. Provide protection, as required, to prevent damage to exposed concrete surfaces. Total curing period shall not be less than seven days. Curing methods and materials shall be compatible with scheduled finishes.

### 3.7 FINISHING

- A. Slab Finish:
  - 1. After placing concrete slabs, do not work the surface further until ready for floating. Begin floating when surface water has disappeared or when concrete has stiffened sufficiently. Use a wood float only. Check and level surface plane to a tolerance not exceeding 1/4-inch in ten feet when tested with a ten foot straightedge placed on the surface at not less than two different angles. Cut down high spots and fill low spots. Uniformly slope surfaces to drains. Immediately after leveling, re-float the surface to a uniform, smooth, granular

texture. Slab surfaces shall receive a float finish. Provide additional trowel finishing as required in this Section.

2. After floating, begin first trowel finish operation using power-driven trowel. Begin final troweling when surface produces a ringing sound as trowel is moved over the surface.
  3. Consolidate concrete surface by the final hand troweling operation. Finish shall be free of trowel marks, uniform in texture and appearance, and with a surface plane tolerance not exceeding 1/8-inch in ten feet when tested with a ten-foot straightedge. Grind smooth surface defects that would telegraph through applied floor covering system.
  4. Use trowel finish for the following:
    - a. Interior exposed slabs, unless otherwise shown or indicated.
    - b. Apply non-slip broom finish, after troweling, to exterior concrete slab and elsewhere as shown.
- B. Formed Finish:
1. Provide smooth form concrete finish at exposed surfaces. Use largest practical form panel sizes to minimize form joints. Exposed surfaces include interior water-contacting surfaces of tanks, whether or not directly visible. All surfaces shall be considered as exposed, unless buried or covered with permanent structural or architectural material. After removing forms, patch form tie holes and defects in accordance with ACI 301. Remove fins exceeding 1/8-inch in height. Where surface will be coated or will receive further treatment, remove all fins flush with concrete surface.
  2. Provide rough form finish at all unexposed surfaces. After removing forms, patch form tie holes and defects in accordance with ACI 301. Remove fins exceeding 1/2-inch in height.
- C. Non-Slip Broom Finish:
1. Immediately after float finishing, slightly roughen concrete surface by brooming in direction perpendicular to main traffic route. Use fine fiber-bristle broom, unless otherwise directed by ENGINEER. Coordinate required final finish with ENGINEER before applying finish.
  2. Use non-slip broom finish for the following:
    - a. Exterior exposed horizontal surfaces subject to lightweight foot traffic.
    - b. Interior and exterior concrete steps and ramps.
    - c. Horizontal surfaces which will receive a grout topping or a concrete equipment base slab.

### 3.8 GROUT PLACING

- A. Place grout as shown and indicated, and in accordance with grout manufacturer's instructions and recommendations. If grout manufacturer's instructions conflict with the Contract Documents, notify ENGINEER and not proceed until obtaining ENGINEER's clarification.
- B. Dry-packing is not allowed, unless otherwise indicated.

- C. Manufacturers of proprietary grout materials shall make available upon 72 hours notice the services of qualified, full-time, factory-trained employee to aid in ensuring proper use of grout materials at the Site.
- D. Placing grout shall comply with temperature and weather limitations described in Article 3.4 of this Section.

### 3.9 FIELD QUALITY CONTROL

- A. Site Testing Services:
  - 1. CONTRACTOR shall employ independent testing laboratory to perform field quality control testing for concrete. ENGINEER will direct where samples are obtained.
  - 2. Testing laboratory will provide all labor, material, and equipment required for sampling and testing concrete, including: scale, glass tray, cones, rods, molds, air tester, thermometer, and other incidentals required.
  - 3. CONTRACTOR shall provide a large, tightly-constructed, firmly-braced, insulated, storage boxes of wood or steel, each with capacity of not less than 15 concrete cylinders under conditions described in ASTM C31/C31M. Quantity, size, and location shall be coordinated with ENGINEER and shall be as required to provide storage for specimens for each concrete placement.
- B. Quality Control Testing During Construction:
  - 1. Perform sampling and testing for field quality control during concrete placing, as follows:
    - a. Sampling Fresh Concrete: ASTM C172.
    - b. Slump: ASTM C143/C143M; one test for each concrete load at point of discharge.
    - c. Concrete Temperature: ASTM C1064/C1064M; one for every two concrete loads at point of discharge, and when a change in the concrete is observed. Test each load when time from batching to placement exceeds 75 minutes.
    - d. Air Content: ASTM C231; one for every two concrete load at point of discharge, and when a change in the concrete is observed.
    - e. Unit Weight: ASTM C138/C138M; one for every two concrete loads at point of discharge, and when a change in the concrete is observed.
    - f. Compression Test Specimens:
      - 1) In accordance with ASTM C31/C31M, make one set of compression cylinders for each 50 cubic yards of concrete, or fraction thereof, of each mix design placed each day. Each set shall be four standard cylinders, unless otherwise directed by ENGINEER.
      - 2) Cast, store, and cure specimens in accordance with ASTM C31/C31M.
    - g. Compressive Strength Tests:
      - 1) In accordance with ASTM C39/C39M; one specimen tested at seven days, and three specimens tested at 28 days.
      - 2) Concrete that does not comply with strength requirements will be considered as defective Work.

- h. Submit test results from certified by testing laboratory to ENGINEER within 24 hours of completion of test.
- i. When there is evidence that strength of in-place concrete does not comply with the Contract Documents, CONTRACTOR shall employ the services of concrete testing laboratory to obtain cores from hardened concrete for compressive strength determination. Cores and tests shall comply with ASTM C42/C42M and the following:
  - 1) Testing of Adhesive Dowels: CONTRACTOR will employ testing agency to perform field quality control testing of drilled dowel installations. After adhesive system manufacturer's recommended curing period and prior to placing connecting reinforcing, proof-test for pullout ten percent of adhesive dowels installed. Adhesive dowels shall be tensioned to 60 percent of specified yield strength. Where dowels are located less than six bar diameters from edge of concrete, ENGINEER will determine tensile load required for test. If one or more dowels fail, retest all dowels installed for the Work. Dowels that fail shall be reinstalled and retested at CONTRACTOR's expense.

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## SECTION 03 01 30

### REPAIR AND REHABILITATION OF CAST-IN-PLACE CONCRETE

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to repair or rehabilitate, as required, all existing concrete shown or indicated in the Contract Documents as being repaired or rehabilitated.

B. Coordination:

1. Review installation procedures under this and other Sections and coordinate the Work that must be installed with or before repair and rehabilitation of concrete.

##### 1.2 REFERENCES

A. Standards referenced in this Section are:

1. ASTM C109/C109M, Test Method for Compressive Strength of Hydraulic Cement Mortars (Using 2-in. or [50-mm] Cube Specimens).
2. ASTM C882/C882M, Test Method for Bond Strength of Epoxy-Resin Systems Used with Concrete by Slant Shear.
3. ASTM D1042, Test Method for Linear Dimensional Changes of Plastics Under Accelerated Service Conditions.
4. ASTM D3574, Test Methods for Flexible Cellular Materials – Slab, Bonded, and Molded Urethane Foams.
5. ASTM G109, Test Method for Determining the Effects of Chemical Admixtures on the Corrosion of Embedded Steel Reinforcement in Concrete Exposed to Chloride Environments.
6. NSF/ANSI 61, Drinking Water System Components – Health Effects.

##### 1.3 SUBMITTALS

A. Action Submittals: Submit the following:

1. Product Data: Information on all products proposed for use, including manufacturer's brochures, technical data, specifications, and other applicable data.

B. Informational Submittals: Submit the following:

1. Certificates: Certificates documenting that repair materials that will be in contact with potable water or water that will be treated to become potable are listed in NSF/ANSI 61.
2. Manufacturer's Instructions: Manufacturer's recommended procedures for installing materials proposed for use.
3. Site Quality Control Submittals: Results of specified Site quality control testing.
4. Special Procedure Submittals: When requested by ENGINEER, submit information on methods for supporting during demolition and repair Work existing structures, pipes, and other existing facilities affected by the Work.

#### 1.4 PRODUCT DELIVERY, STORAGE AND HANDLING

##### A. Delivery and Handling of Materials:

1. Conform to Section 01 65 00, Product Delivery Requirements, and this Section.
2. Clearly mark on containers manufacturer's name and label, name or title of material, manufacturer's stock number, and date of manufacture.
3. Handle materials carefully to prevent inclusion of foreign matter.
4. Do not open containers or mix components until necessary preparatory Work has been completed and application Work is to start immediately.

##### B. Storage of Materials:

1. Conform to Section 01 66 00, Product Storage and Handling Requirements, and this Section.
2. Store only approved materials at the Site.

### PART 2 – PRODUCTS

#### 2.1 SYSTEM REQUIRMENTS

A. All repair and rehabilitation materials that can or will come into contact with potable water or that will be treated to become potable shall be listed in ANSI/NSF 61.

#### 2.2 REPAIR MORTAR

- A. Product Description: Repair mortar shall be prepackaged, cement-based product specifically formulated for repairing concrete surface defects.
- B. Products and Manufacturers: Provide one of the following:
1. SikaTop 122 Plus, SikaTop 123 Plus, or SikaTop 126 Plus, by Sika Corporation.



2. DuralTop Gel, DuralTop Flowable Mortar by Euclid Chemical Company.
3. Or equal.

C. Materials:

1. Provide a two-component, polymer-modified, Portland cement, fast-setting, trowel-grade mortar. Repair mortar shall be enhanced with penetrating corrosion inhibitor, and shall have the following properties:

Physical Property	Value	ASTM Standard
Minimum Compressive Strength at One Day	2,000 psi	C109
Minimum Compressive Strength at 28 Days	6,000 psi	C109
Minimum Bond Strength at 28 Days	1,800 psi	C882*
* Modified for use with repair mortars.		

2. Where the least dimension of the placement in width or thickness exceeds four inches, extend repair mortar by adding aggregate as recommended by repair mortar manufacturer.
3. Product shall be listed in NSF/ANSI 61.

### 2.3 REPAIR OF EXPOSED REINFORCING STEEL

- A. System Description: System for repair of exposed reinforcing steel shall consist of two components: an initial application of corrosion inhibitor and subsequent application of protective slurry mortar.

B. Corrosion Inhibitor:

1. Corrosion inhibitor shall penetrate the hardened concrete surface and form a protective layer on reinforcing steel.
2. Products and Manufacturers: Provide one of the following:
  - a. Sika FerroGard 903, by Sika Corporation.
  - b. Or equal.
3. Corrosion inhibitor shall:
  - a. Not change the substrate's color, appearance, or texture.
  - b. Penetrate independently of orientation (horizontal, vertical, overhead) at rate up to 1/10 to 4/5 inches per day, depending on density of concrete, measured using secondary neutron mass spectroscopy.
  - c. Form on reinforcing steel a protective layer of high integrity of at least 100 angstroms thickness, measured using x-ray photon spectroscopy and secondary ion mass spectroscopy.

- d. Demonstrate reduction in corrosion currents after treatment as determined using cracked beam corrosion tests of concrete, as adapted from ASTM G109.
  - e. Be capable of reducing active corrosion rates by at least 65 percent. Reduction shall be demonstrated by project references and an independent corrosion engineer using linear polarization resistance.
  - f. Penetrate up to three inches in 28 days, measured using secondary neutron mass spectroscopy.
  - g. Product shall be listed in NSF/ANSI 61.
- C. Protective Slurry Mortar:
- 1. Material shall be two-component, polymer-modified, cementitious waterproofing and protective slurry mortar. Provide two coats at coverage of 50 square feet per gallon per coat.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Sikatop Seal 107, by Sika Corporation.
    - b. Or equal.
  - 3. Product shall be listed in NSF/ANSI 61.

## 2.4 CRACK INJECTION MATERIALS

- A. Crack Repair System:
- 1. Hydrophobic Polyurethane Chemical Grout:
    - a. Provide hydrophobic polyurethane that forms a flexible gasket.
    - b. Products and Manufacturers: Provide one of the following:
      - 1) SikaFix HH LV, by Sika Chemical Company.
      - 2) Hydro Active Flex SLV, by De Neef Construction Chemicals, Inc.
      - 3) Or equal.
    - c. Shrinkage limit shall not exceed 4.0 percent in accordance with ASTM D1042.
    - d. Minimum elongation of 250 percent in accordance with ASTM D3574.
    - e. Minimum tensile strength of 150 psi in accordance with ASTM D3574.
    - f. Product shall be listed in NSF/ANSI 61.

## PART 3 – EXECUTION

### 3.1 INSPECTION

- A. Examine areas and conditions under which the repair Work is to be installed and notify ENGINEER in writing of conditions detrimental to proper and timely

completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

#### A. Surface Preparation:

1. Initial Surface Preparation: Remove by chipping, abrasive blasting, or hydro blasting all laitance, foreign material, and unsound concrete from entire area to be repaired. Further roughen surface as specified in this Section. Where non-shrink grout or repair mortar is used, perform additional surface preparation, if any, recommended by product manufacturer.
2. Wetting Procedure: Where repair concrete, shotcrete, or cement grout is used, and bonding agent is not required, or where repair mortar or non-shrink grout manufacturer recommends wet or saturated surface, perform the following:
  - a. Continuously apply water for at least four hours to surface being repaired. Where large surface areas are to be repaired, use fog-spray nozzles, mounted on stands, in sufficient number so that entire surface to be repaired is contacted by fog spray cloud.
  - b. Prevent concrete from drying until after repair is completed. Re-wet surfaces not yet repaired using water sprays at least a daily; should more than four days elapse without re-wetting surfaces not yet repaired, repeat the original saturating procedure.
  - c. Remove standing water in areas to be repaired before placing repair material. Provide means to remove excess water from structure.
3. Preparation for Epoxy Bonding Agent: Where repair material manufacturer recommends use of epoxy-bonding agent, conform to recommendations of both repair material manufacturer and bonding agent manufacturer.

### 3.3 INSTALLATION, GENERAL

- A. Construction Tolerances: Shall be per ACI., except as specified in this Section and elsewhere in the Contract Documents.
- B. Care shall be taken to fully consolidate repair material, completely filling all portions of space to be filled.
- C. Bring surface being repaired into alignment with adjacent surfaces, providing uniform, even surface. Surface repaired shall match adjacent existing surfaces in texture and shall receive coatings or surface treatments, if any, provided for the existing surface adjacent to repaired surface.

D. Curing:

1. Curing of repair mortar and non-shrink grout shall be in accordance with manufacturer's recommendations, except that minimum cure period shall be three days.

### 3.4 REPAIR OF SURFACE DEFECTS

A. Surface defects are depressions in a concrete surface that do not extend all the way through the concrete. Surface defects can result from removal of an embedded item, removal of an intersecting concrete member, physical damage, or unrepaired rock pockets created during original placement. For spalls that result from corroded reinforcing steel or other embedment refer to Article 3.7 of this Section.

B. Preparation: Perform the following in addition to requirements of Article 3.2 of this Section:

1. Remove by chipping all loose, damaged concrete to sound material.
2. Where existing reinforcing is exposed, remove concrete to minimum of one-inch around exposed bars. If existing bars are cut through, cracked, or cross sectional area is reduced by more than 25 percent from original, immediately notify ENGINEER.
3. Score-cut perimeter of area to be repaired to minimum depth of 1/2-inch and maximum depth that will not cut existing reinforcing steel. Chip out existing concrete to the score line so that minimum thickness of repair mortar will be 1/2-inch.

C. Repair Material:

1. Completely fill the surface defect with specified repair material, in accordance with material manufacturer's instructions and the Contract Documents.
2. Perform, with repair mortar, repairs of surface defects in concrete normally in contact with water or soil, and interior surfaces of structures that contain water.
3. Repair of other surface defects may be by applying repair mortar, repair concrete, shotcrete, or cement grout, as appropriate.

### 3.5 PATCHING OF HOLES IN CONCRETE

A. For holes larger than 8-inch diameter or equivalent area of hole, refer to the Drawings for reinforcing details.

- B. Fill openings less than four inches in their least dimension with Class III non-shrink epoxy grout as specified below.
1. Pre-packaged, non-shrink, non-metallic, 100 percent solids, solvent-free, moisture-insensitive, three-component epoxy grouting system.
  2. Minimum Seven-day Compressive Strength: 14,000 psi, when tested in accordance with ASTM C579.
  3. Products and Manufacturers: Provide one of the following:
    - a. Euco High Strength Grout, by Euclid Chemical Company.
    - b. Sikadur 42, Grout Pak, by Sika Corporation.
    - c. Five Star Epoxy Grout, by Five Star Products, Inc.
    - d. Or equal.
- C. Openings greater than four inches and less than 16 inches in their least dimension shall be coated with an epoxy bonding agent prior to filling with Class I non-shrink grout as specified below.
1. Pre-packaged, non-metallic, cementitious grout requiring only the addition of water at the Site.
  2. Minimum 28-day Compressive Strength: 7,000 psi.
  3. Products and Manufacturers: Provide one of the following:
    - a. NS Grout by Euclid Chemical Company.
    - b. Set Grout by Master Builders, Inc.
    - c. NBEC Grout by Five Star Products, Inc.
    - d. Or equal.
- D. Openings greater than 16 inches in their least dimension shall be coated with an epoxy bonding agent prior to filling with Class A concrete as specified below.  
Proportioning and Design of Class "A" Concrete Mix:
1. Minimum compressive strength at 28 days: 4,000 psi.
  2. Maximum water-cement ratio by weight: 0.45.
  3. Minimum cement content: 564 pounds per cubic yard, Portland Cement: ASTM C150/C150M, Type I/II.
  4. Use amounts of admixtures recommended by admixture manufacturer for climatic conditions prevailing at the Site at time of placing. Adjust quantities and types of admixtures as required to maintain quality. Site soil conditions contain acidic soils.
  5. Proportion and design mixes to result in concrete slump at point of placement of not less than one inch and not more than four inches.
  6. When using high-range water reducers, slump prior to addition of admixture shall not exceed three inches. Slump after adding admixture shall not exceed eight inches at point of placement.
  7. Water: Clean, potable
  8. Aggregates: ASTM C33/C33M.

- A. Fine Aggregate: Clean, sharp, natural sand free of loam, clay, lumps, and other deleterious substances. Dune sand, bank run sand, and manufactured sand are unacceptable.
- B. Coarse Aggregate: Clean, uncoated, processed aggregate containing no clay, mud, loam, or foreign matter. Crushed stone, processed from natural rock or stone. Washed gravel, either natural or crushed. Slag, pit gravel, and bank-run gravel are not allowed. Coarse Aggregate Size: ASTM C33/C33M, Nos. 57 or 67, unless otherwise approved by ENGINEER
- E. Where repaired holes are in contact with water or soil, provide hydrophilic rubber waterstop within the opening, prior to filling with repair material.

### 3.6 REPAIR OF LINED HOLES

- A. This Article applies to openings with embedded material over all or a portion of inside surface of hole. Where indicated on the Drawings, remove embedded materials and repair the hole in accordance with Article 3.5 of this Section, as modified in this Article 3.6.
- B. Where embedded material is allowed to remain, remove embedded material to at least two inches into the hole, as measured from the plane surface of concrete wall or slab, as applicable. Embedded material left in place shall be roughened or abraded for proper bonding to repair material. Completely remove substances that interfere with proper bonding.
- C. Completely remove embedded items not securely and permanently anchored into concrete.
- D. Completely remove embedded items larger than 12 inches in their smallest dimension. In lieu of removing the embedded item, where reinforcing is required as shown or indicated in the Contract Documents, weld reinforcing to embedded item to remain, provided embedded item to remain is composed of metal to which reinforcing steel can be welded.

### 3.7 REPAIR OF DETERIORATED CONCRETE

- A. This Article pertains to deteriorated concrete which has been damaged due to corrosion of reinforcing steel, physical damage due to abrasion, or damage due to chemical attack. Use repair mortar, as specified in this Article, for repairing deteriorated concrete. Where repaired surface will be subsequently covered with plastic liner material, coordinate finishing with requirements for installing plastic liner material.
- B. Surface Preparation: In addition to requirements of Article 3.2 of this Section, perform the following surface preparation:

1. Remove loose, broken, softened, and acid-contaminated concrete by abrasive blasting and chipping to sound, uncontaminated concrete.
  2. Upon completion of removal of deteriorated concrete, notify ENGINEER in writing. Allow two weeks for ENGINEER to evaluate the surface, perform testing for acid contamination if required, determine if additional concrete shall be removed, and to develop special repair details (if any) required. Should ENGINEER determine that additional concrete be removed to reach sound, uncontaminated concrete, allow another two-week period for further evaluation and testing following the additional removal.
  3. Surface preparation shall conform to recommendations of repair mortar manufacturer.
  4. Repair and rehabilitate isolated areas of exposed reinforcing bars in accordance with Article 3.4 of this Section. If extensive areas of reinforcing steel are uncovered after removal of deteriorated concrete, ENGINEER will determine the repair methods required.
- C. Repair Mortar Placing:
1. Conform to manufacturer's recommended procedures for mixing and placing repair mortar.
  2. After initial mixing of repair mortar, addition of water is not allowed.
  3. Minimum Thickness:
    - a. Install repair mortar to not less than minimum thickness recommended by manufacturer, and not less than 1/2-inch.
    - b. Where removal of deteriorated concrete results in repair thickness of less than minimum required thickness to return to original concrete surface in isolated areas totaling less than ten percent of total repair surface area, remove additional concrete to obtain at least the required minimum thickness.
    - c. Where surface area with repair thickness less than minimum required thickness exceeds ten percent of total repair area, notify ENGINEER.
    - d. Provide repair mortar so that minimum cover over existing reinforcing steel is two inches. Do not place repair mortar creating locally raised areas.
    - e. Where transitioning to or from wall surfaces not requiring repair, do not feather-out repair mortar at transition. Instead, form the transition by saw cutting a score line to not less than minimum required repair mortar depth and chip out concrete to the saw cut line. Do not cut or otherwise damage reinforcing steel.

4. Place repair mortar to an even, uniform plane to restore concrete member to its original surface. Out-of-plane tolerance shall be such that the gap between 12-inch long straight edge and repair mortar surface does not exceed 1/8-inch, and gap between a four-foot long straight edge and repair mortar surface shall not exceed 1/4-inch. Tolerances specified in this paragraph apply to straight edges placed in any orientation at any location.
- D. Finishing:
1. Provide smooth, steel trowel finish to repair mortar.
  2. When completed, there shall be no sharp edges. Provide exterior corners, such as at penetrations, one-inch radius. Interior corners shall be square, except corners to receive plastic lining which shall be made with two-inch fillet in repair mortar.

### 3.8 REPAIR OF EXPOSED REINFORCING

- A. Remove, by abrasive blasting or hydro blasting, all corrosion, foreign materials, and unsound concrete from area to be repaired.
- B. Surface shall be visually dry before applying corrosion inhibitor. Liberally apply corrosion inhibitor to achieve coverage of 100 square feet per gallon in two or more coats, by allowing corrosion inhibitor to soak into substrate. Time between coats shall be the longer of: one hour, or as recommended by corrosion inhibitor manufacturer. Apply using rollers, brushes, or hand-pressure spray equipment.
- C. After applying final coat of corrosion inhibitor, minimum cure time of 24 hours is required.
- D. Provide high-pressure wash to surfaces to be repaired to remove filmy residue from corrosion inhibitor.
- E. For mortar coating, conform to Paragraphs 3.7.C, 3.7.D of this Section.

### 3.9 CRACK INJECTION

- A. Examine areas under which injection Work will be installed and locate cracks that require injection. Identify and inject cracks greater than 0.010-inch wide in structures that retain or contain water, wastewater, or similar liquid.
- B. Install injection material in accordance with crack injection manufacturer's requirements.
- C. After injecting and curing, verify that injected material penetrated the crack adequately and that there is no visible leakage through the crack. After injecting, if crack continues to leak, re-inject crack at no additional cost to OWNER until structure is watertight.



- D. If proper penetration of crack cannot be achieved, submit to ENGINEER a proposed alternate approach for modifying the specified injection procedure to properly seal the crack. In new concrete and in concrete cracked as a result of CONTRACTOR's operations, perform modifications to crack injection procedure and fully repair the crack without additional cost to OWNER or extension of the Contract Times.

### 3.10 SITE QUALITY CONTROL

- A. CONTRACTOR shall employ and pay for services of testing laboratory for Site quality control testing. ENGINEER will direct the number of tests and specimens required, including providing necessary materials for making and facility for storing test specimens. CONTRACTOR shall make standard compression test specimens as specified in this Section under the observation of ENGINEER. CONTRACTOR shall provide:
1. Necessary assistance required by ENGINEER.
  2. All labor, material, and equipment required, including rods, molds, thermometer, curing in heated storage box, and all other incidentals required, subject to approval by ENGINEER.
  3. All necessary storage, curing, and transportation required for testing.
  4. CONTRACTOR will be charged for cost of additional testing and investigation, if any, for Work performed that is not in accordance with the Contract Documents or is otherwise defective.
- B. Site Tests of Cement-based Grouts and Repair Mortar:
1. Obtain compression test specimens during construction from first placement of each type of mortar or grout, and at intervals thereafter as selected by ENGINEER, to verify compliance with the Contract Documents. Specimens will be made by ENGINEER or ENGINEER's representative.
  2. Compression tests and fabrication of specimens for repair mortar and non-shrink grout will be performed in accordance with ASTM C109. Set of three specimens will be made for each test. Tests will be made at seven days, 28 days, and additional time periods as deemed appropriate by ENGINEER.
  3. Material, already placed, failing to conform to the Contract Documents, is defective.

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## SECTION 05 05 33

### ANCHOR SYSTEMS

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install anchor systems.
2. This Section includes all anchor systems required for the Work, but not specified under other Sections.

B. Coordination:

1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before anchor systems Work.

##### 1.2 REFERENCES

A. Standards referenced in this Section are:

1. ACI 318, Building Code Requirements for Structural Concrete.
2. ACI 350, Code Requirements for Environmental Engineering Concrete Structures.
3. ACI 355.2, Qualification of Post-Installed Mechanical Anchors in Concrete.
4. ANSI B212.15, Cutting Tools - Carbide-tipped Masonry Drills And Blanks For Carbide-tipped Masonry Drills.
5. ANSI/MSS SP-58, Pipe Hangers and Supports – Materials, Design, Manufacture, Selection, Application, and Installation.
6. ASTM A194/A194M, Specification for Carbon and Alloy Steel Nuts for Bolts for High Pressure or High Temperature Service, or Both.
7. ASTM A276, Specification for Stainless Steel Bars and Shapes.
8. ASTM A493, Specification for Stainless Steel Wire and Wire Rods for Cold Heading and Cold Forging.
9. ASTM A563, Specification for Carbon and Alloy Steel Nuts.
10. ASTM A1011/A1011M, Specification for Steel, Sheet and Strip, Hot-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, and Ultra-High Strength.
11. ASTM B633, Specification for Electrodeposited Coatings of Zinc on Iron and Steel.
12. ASTM C307, Test Method for Tensile Strength of Chemical-Resistant Mortar, Grouts, and Monolithic Surfacing.
13. ASTM C579, Test Methods for Compressive Strength of Chemical-Resistant Mortars, Grouts, Monolithic Surfacing, and Polymer Concretes.
14. ASTM C881/C881M, Specification for Epoxy-Resin-Base Bonding Systems for Concrete.

15. ASTM D695, Test Method for Compressive Properties of Rigid Plastics.
16. ASTM D790, Test Methods for Flexural Properties of Unreinforced and Reinforced Plastics and Electrical Insulating Materials.
17. ASTM E329, Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection.
18. ASTM E488, Test Methods for Strength of Anchors in Concrete and Masonry Elements.
19. ASTM F593, Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
20. ASTM F594, Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
21. ASTM F1554, Specification for Anchor Bolts, Steel, 36, 55 and 105-ksi Yield Strength.
22. FS A-A-1922A, Shield, Expansion (Caulking Anchors, Single Lead).
23. FS A-A-1923A, Concrete Expansion Anchors.
24. FS A-A-1925A, Shield, Expansion (Nail Anchors).
25. FS A-A-55614, Shield, Expansion (non-drilling expansion anchors).
26. ICC-ES AC01, Acceptance Criteria for Expansion Anchors in Masonry Elements.
27. ICC-ES AC58, Acceptance Criteria for Adhesive Anchors in Masonry Elements.
28. ICC-ES AC193, Acceptance Criteria for Mechanical Anchors in Concrete Elements.
29. ICC-ES AC308, Acceptance Criteria for Post-Installed Adhesive Anchors in Concrete Elements.
30. ISO 3506-1, Mechanical Properties of Corrosion-Resistant Stainless Steel Fasteners -- Part 1: Bolts, Screws and Studs.
31. NSF/ANSI 61, Drinking Water System Components – Health Effects.

### 1.3 QUALITY ASSURANCE

#### A. Qualifications:

1. Testing Laboratory: Shall comply with ASTM E329 and shall be experienced in tension testing of post-installed anchoring systems.
2. Post-installed Anchor Installer: Shall be experienced and trained by post-installed anchor system manufacturer in proper installation of manufacturer's products. Product installation training by distributors or manufacturer's representatives is unacceptable unless the person furnishing the training is qualified as a trainer by the anchor manufacturer.

### 1.4 SUBMITTALS

#### A. Action Submittals: Submit the following:

1. Shop Drawings:
  - a. Listing of all anchor systems products intended for use in the Work including product type, intended location in the Project, and embedded lengths.
2. Product Data:

- a. Manufacturer's specifications, load tables, dimension diagrams, acceptable base material conditions, acceptable drilling methods, and acceptable bored hole conditions.
  - b. When required by ENGINEER, copies of valid ICC ES reports that presents load-carrying capacities and installation requirements for anchor systems.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. For each type of anchor bolt or threaded rod, submit copies of laboratory test reports and other data required to demonstrate compliance with the Contract Documents.
    - b. Post-installed anchor system manufacturer's certification that installer received training in the proper installation of manufacturer's products required for the Work.
  - 2. Manufacturer's Instructions:
    - a. Installation instructions for each anchor system product proposed for use, including bore hole cleaning procedures and adhesive injection, cure and gel time tables, and temperature ranges (storage, installation and in-service).
  - 3. Field Quality Control Submittals:
    - a. Submit results of field quality control testing and inspections performed by testing laboratory.

## 1.5 DELIVERY, STORAGE AND HANDLING

- A. Storage and Protection:
  - 1. Keep materials dry during delivery and storage.
  - 2. Store adhesive materials within manufacturer's recommended storage temperature range.
  - 3. Protect anchor systems from damage at the Site. Protect products from corrosion and deterioration.

## PART 2 – PRODUCTS

### 2.1 SYSTEM PERFORMANCE

- A. General:
  - 1. At locations where conditions dictate that Work specified in other Sections is to be of corrosion resistant materials, provide associated anchor systems of stainless steel materials, unless other corrosion-resistant anchor system material is specified. Provide anchor systems of stainless steel materials where stainless steel materials are required in the Contract Documents.
  - 2. Stainless Steel Nuts:
    - a. For anchor bolts and adhesive anchors, provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts for stainless steel anchors used for anchoring equipment, gates, and weirs, and other locations, if any, where the attachment will require future removal for operation or

maintenance. Provide lock washer or double nuts on each anchorage device provided for equipment, as required by equipment manufacturer.

- b. For other locations, provide for each anchorage device a nut as specified or as required by anchor manufacturer. When ASTM A194/A194M, Grade 8S (Nitronic 60) nuts are not required for anchor bolts and adhesive anchors as specified in this Section, provide anti-seizing compound where stainless steel rods are used with stainless steel nuts of the same type.
- 3. Materials that can contact potable water or water that will be treated to become potable shall be listed in NSF/ANSI 61.

B. Design Criteria

- 1. Size, Length, and Load-carrying Capacity: Comply with the Contract Documents. When size, length or load-carrying capacity of anchor system is not otherwise shown or indicated, provide the following:
  - a. Anchor Bolts: Provide size, length, and capacity required to carry design load based on values and requirements of Paragraph 3.2.A of this Section. For conditions outside limits of critical edge distance and spacing in Paragraph 3.2.A of this Section, minimum anchor bolt embedment as shown or indicated in Paragraph 3.2.A of this Section apply and capacity shall be based on requirements of Laws and Regulations, including applicable building codes.
  - b. Adhesive Anchors, Expansion Anchors, or Concrete Inserts: Provide size, length, type, and capacity required to carry design load. Anchor capacity shall be based on the procedures required by the building code in effect at the Site. Where Evaluation Service Reports issued by the ICC Evaluation Service are required in this Section, anchor capacities shall be based on design procedure required in the applicable ICC Evaluation Service Report.
    - 1) General: Determine capacity considering reductions due to installation and inspection procedures, embedment length, strength of base fastening materials, spacing, and edge distance, as indicated in the manufacturer's design guidelines. For capacity determination, concrete shall be assumed to be in the cracked condition, unless calculations demonstrate that the anchor system will be installed in an area that is not expected to crack under any and all conditions of design loading.
    - 2) Concrete Adhesive Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum embedment depth of the greater of the following: required to develop tensile strength of anchor, or a minimum embedment of 10 anchor diameters; and minimum anchor spacing and edge distance of 12 anchor diameters.
    - 3) Concrete Masonry Adhesive Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum anchor spacing and edge distance as indicated in anchor manufacturer's instructions.

- 4) Concrete Expansion Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum embedment depth of six anchor diameters, and minimum anchor spacing and edge distance of seven anchor diameters.
  - 5) Concrete Masonry Expansion Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum anchor spacing and edge distance as indicated in anchor manufacturer's instructions.
  - 6) Concrete Undercut Anchors: Unless otherwise shown or indicated in the Contract Documents, or approved by ENGINEER, provide minimum anchor spacing and edge distance as tabulated in anchor manufacturer's instructions.
- 2. Design Loads. Comply with the Contract Documents. When design load of supported material, equipment, or system is not otherwise shown or indicated, provide the following:
  - a. Equipment Anchors: Use design load recommended by equipment manufacturer. When equipment can be filled with fluid, use loads that incorporate equipment load and load imposed by fluid.
  - b. Pipe Hangers and Supports: Use full weight of pipe, and fluid contained in pipe that are tributary to the support plus the full weight of valves and accessories located between the hanger or support being anchored and the next hanger or support.
  - c. Hangers and Supports for Electrical Systems, and HVAC, Plumbing, and Fire Suppression Systems and Piping: Use the full weight of supported system that is tributary to the support plus the full weight of accessories located between the hanger or support being anchored and the next hanger or support. When piping or equipment is to be filled with fluid, anchor systems shall be sized to support such loads in addition to the weight of the equipment, piping, or system, as applicable.
  - d. Delegated Design: When anchor systems are used for supporting materials, equipment, or systems delegated to a design professional retained by CONTRACTOR, Subcontractor, or Supplier, provide anchor system suitable for loads indicated in delegated design documents and consistent with the design intent expressed in the Contract Documents.
- C. Application:
  - 1. Anchor Bolts:
    - a. Where anchor bolt is shown or indicated, use cast-in-place anchor bolt unless another anchor type is approved by ENGINEER.
    - b. Provide anchor bolts as shown or indicated, or as required to secure structural element to appropriate anchor surface.
  - 2. Concrete Adhesive Anchors:
    - a. Use where adhesive anchors are shown or indicated for installation in concrete.
    - b. Suitable for use where subject to vibration.
    - c. Suitable for use in exterior locations or locations subject to freezing.

- d. Suitable for use in submerged, intermittently submerged, or buried locations.
  - e. Do not use in overhead applications, unless otherwise shown or approved by ENGINEER.
  - f. Do not use for pipe hangers, unless otherwise shown or approved by ENGINEER.
3. Concrete Wedge Expansion Anchors:
- a. Use where expansion anchors are shown or indicated for installation in concrete.
  - b. Do not use where subject to vibration.
  - c. Do not use in exterior locations or locations subject to freezing.
  - d. Do not use in submerged, intermittently submerged, or buried locations.
  - e. Suitable for use in overhead applications.
4. Drop-in Expansion Anchors:
- a. Use drop-in expansion anchors installed in concrete where light-duty anchors are required to support piping or conduit two-inch diameter or smaller.
  - b. Do not use for attaching safety-related systems, such as piping conveying hazardous or potentially hazardous materials, or fire suppression systems.
  - c. Do not use where subject to vibration.
  - d. Do not use at submerged, intermittently submerged, or buried locations.
  - e. Do not use in exterior locations or locations subject to freezing.
  - f. Suitable for use in overhead applications.
5. Concrete Inserts:
- a. Use only where shown or indicated in the Contract Documents.
  - b. Allowed for use to support pipe hangers and pipe supports for pipe size and loading recommended by the concrete insert manufacturer.
6. Drive-In Expansion Anchors:
- a. Use drive-in expansion anchors installed in concrete, precast concrete, grouted masonry units, or brick, where light-duty anchors are required to support piping or conduit one-inch diameter and smaller.
  - b. Do not use for attaching safety-related systems, such as piping conveying hazardous or potentially hazardous materials, or fire suppression systems.
  - c. Do not use in overhead applications.

## 2.2 MATERIALS

### A. Anchor Bolts:

- 1. Interior Dry Non-corrosive Locations: Provide straight threaded carbon steel rods complying with ASTM F1554, Grade 36, with heavy hex nuts complying with ASTM A563 Grade A, unless otherwise shown or indicated on the Drawings. Hooked anchor bolts are unacceptable.
- 2. Exterior, Buried, Submerged Locations, or When Exposed to Wastewater: Provide stainless steel straight threaded rods complying with ASTM F593,



AISI Type 316, Condition A, with ASTM F594, AISI Type 316, stainless steel nuts. Provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts where required. Other AISI types may be used when approved by ENGINEER. Hooked bolts are unacceptable.

3. Equipment: Provide anchor bolts complying with material requirements of this Section and equipment manufacturer's requirements relative to size, embedment length, and anchor bolt projection. Anchor bolts shall be straight threaded rods with washers and nuts as specified in this Section. Hooked bolts are unacceptable.
4. Anchoring of Structural Elements: Provide anchor bolts of size, material, and strength shown or indicated in the Contract Documents.

**B. Concrete Adhesive Anchors:**

1. General:
  - a. Adhesive anchors shall consist of threaded rods anchored into hardened concrete using an adhesive system.
2. Products and Manufacturers: Provide one of the following:
  - a. HIT-RE 500-SD Injection Epoxy Adhesive Anchoring System, by Hilti Fastening Systems, Inc.
  - b. SET-XP Epoxy-Tie Adhesive, by Simpson Strong-Tie Company, Inc.
  - c. Or equal.
3. Adhesive:
  - a. Adhesive system shall use two-component adhesive mix.
  - b. Epoxy adhesives shall comply with physical requirements of ASTM C881/C881M, Type IV, Grade 2 and 3, Class A, B, and C, except gel times.
  - c. Adhesives shall have a current evaluation report by ICC Evaluation Service for use in both cracked and uncracked concrete with seismic recognition for SDC A through F as tested and assessed in accordance with ICC-ES AC308.
  - d. Adhesives shall have minimum bond strength and minimum design bond strength (bond strength multiplied by strength reduction factor) in accordance with Table 05 05 33-A:

**TABLE 05 05 33-A:  
ADHESIVE BOND STRENGTH<sup>1,2</sup>**

Anchor Rod Diameter / Dowel Size	Uncracked Concrete		Cracked Concrete	
	Bond Strength (psi)	Design Bond Strength (psi)	Bond Strength (psi)	Design Bond Strength (psi)
3/8-inch / #3	2040	1300	1090	700
1/2-inch / #4	1920	1200	920	560
5/8-inch / #5	1830	1150	710	390
3/4-inch / #6	1760	1050	710	460
7/8-inch / #7	1670	900	610	340
1-inch / #8	1650	1050	850	460
- / #9	1900	1000	800	400
1.25-inch / #10	1580	1000	730	400

Table Notes:

1. Bond strengths listed for hammer-drilled, dry hole.
  2. Bond strengths listed for maximum short term concrete temperature of 110 degrees F and maximum long term concrete temperature of 75 degrees F.
4. Anchor:
    - a. Provide continuously-threaded, AISI Type 316 stainless steel adhesive anchor rod. Threaded rods shall comply with the concrete adhesive anchor manufacturer's specifications as included in the ICC Service Evaluation Report for the anchor submitted. Nuts shall have specified proof load stresses equal to or greater than the minimum tensile strength of the stainless steel threaded rod used. Provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts where required.
- C. Concrete Wedge Expansion Anchors:
1. General:
    - a. Concrete wedge expansion anchors shall consist of stud, wedge, nut, and washer.
  2. Products and Manufacturers: Provide one of the following:
    - a. Kwik Bolt TZ Wedge Anchor, by Hilti Fastening Systems, Inc.
    - b. Or equal.
  3. Anchors shall comply with physical requirements of FS A-A-1923A, Type
  4. Provide concrete wedge expansion anchors suitable for use in cracked and uncracked concrete in accordance with ACI 318 and ACI 350, Appendix D. Demonstrate suitability of cracked concrete wedge anchors in accordance with ACI 355.2 prequalification tests.
  5. Interior Dry Non-corrosive Locations: Provide carbon steel anchors complete with nuts and washers, zinc plated, in accordance with ASTM B633.
  6. Other Locations: Provide expansion anchors complete with nuts and washers, AISI Type 304 stainless steel anchor body, in accordance with ASTM A276 or ASTM A493.
  7. Concrete wedge expansion anchors shall have a current ICC Evaluation Service Report for use in both cracked and uncracked concrete with seismic recognition in seismic design Categories A through F when tested and assessed in accordance with ICC-ES AC193.
- D. Drop-in Expansion Anchors:
1. General:
    - a. Drop-in expansion anchors shall each consist of an internally threaded, deformation-controlled expansion anchor with pre-assembled expander plug.
  2. Products and Manufacturers: Provide one of the following:
    - a. HDI Drop-In Anchors, by Hilti Fastening Systems, Inc.
    - b. Drop-In Anchor, by Simpson Strong-Tie Company, Inc.
    - c. Or equal.
  3. Provide carbon steel anchors complete with nuts and washers, zinc plated, in accordance with ASTM B633, complying with physical requirements of FS

A-A-55614, Type I. Anchors shall be flush or shell type. Provide low-profile anchors for use in precast concrete planks.

E. Concrete Inserts:

1. Manufacturers: Provide products of one of the following:
  - a. Unistrut Corporation.
  - b. Cooper B-Line, Inc.
  - c. Anvil International, Inc.
  - d. Or equal.
2. Spot Concrete Inserts:
  - a. Provide inserts recommended by insert manufacturer for required loading. Inserts shall comply with ANSI/MSS SP-58, malleable iron, Type 18. Spot inserts shall allow for lateral adjustment and have means for attachment to forms. Provide nuts compatible with insert and to suit threaded hanger rod sizes.
3. Continuous Concrete Inserts:
  - a. Provide inserts recommended by insert manufacturer for required loading. Inserts shall be continuous type and shall be manufactured from minimum 12-gage cold-formed channel sections, complying with ASTM A1011/A1011M, stainless steel, Grade 33, complete with styrofoam inserts, end caps, and means for attaching to forms. Provide channel nuts compatible with insert suitable for threaded hanger rod sizes.
4. Provide inserts with plain finish.

F. Drive-In Expansion Anchors:

1. General:
  - a. Drive-In expansion anchors shall each consist of stainless steel drive pin and expanding alloy body.
2. Products and Manufacturers: Provide one of the following:
  - a. Metal HIT Anchor, by Hilti Fastening Systems, Inc.
  - b. Zinc Nailon Anchor, by Simpson Strong-Tie Company, Inc.
  - c. Or equal.
3. Provide Type 304 stainless steel drive pin with zinc alloy body. Anchor shall comply with physical requirements of FS A-A-1925A, Type 1.

G. Unless approved by ENGINEER, do not use power-actuated fasteners or other types of bolts and fasteners not specified in this Section.

H. Anti-Seizing Compound:

1. Products and Manufacturers: Provide one of the following:
  - a. Pure Nickel Never-Seez, by Bostik.
  - b. Nickel-Graf, by Anti-Seize Technology.
  - c. Or equal.
2. Provide pure nickel anti-seizing compound.

## PART 3 – EXECUTION

### 3.1 INSPECTION

- A. Examine conditions under which materials will be installed and advise ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

### 3.2 INSTALLATION

- A. Anchor Bolts:
1. Provide anchor bolts as shown or indicated in the Contract Documents, or as required to secure structural element to the appropriate anchor surface.
  2. Locate and accurately set anchor bolts using templates or other devices as required, prior to placing concrete. Wet setting of anchor bolts is unacceptable.
  3. Protect threads and shank from damage during installation and subsequent construction operations.
  4. Unless otherwise shown or approved by ENGINEER anchor bolts shall comply with Table 05 05 33-B:

**TABLE 05 05 33-B:  
SINGLE ANCHOR ALLOWABLE LOADS ON ANCHOR BOLTS <sup>1</sup>**

Bolt Diameter (inch)	F1554 Grade 36				F1554			
	F593 Type 316, Condition A				Grade 55			
	Minimum Embedment (inch)	Minimum Edge Distance and Spacing <sup>2</sup> (inch)	Shear <sup>3,4</sup> (lb)	Tension <sup>3</sup> (lb)	Minimum Embedment (inch)	Minimum Edge Distance and Spacing <sup>2</sup> (inch)	Shear <sup>3</sup> (lb)	Tension <sup>3</sup> (lb)
1/2	6	9	1,262	2,420	8.5	12.75	1,660	3,190
5/8	7.5	11.25	2,010	3,860	10.5	15.75	2,640	5,080
3/4	9	13.5	2,974	5,720	13	19.5	3,910	7,520
7/8	10.5	15.75	4,106	7,890	15	22.5	5,400	10,390
1	12	18	5,386	10,360	17	25.5	7,090	13,450
1 1/8	13.5	20.25	6,787	13,052	19	28.5	8,930	16,580
1 1/4	15	22.5	8,617	16,572	21	31.5	11,340	20,040

Table Notes:

1. Table is based on ACI 318 and ACI 350, Appendix D,  $f'_c = 4000$  psi. Table 05 05 33-B is not applicable to anchor bolts embedded in grouted masonry.
2. Critical edge distance and spacing are indicated in the table. Capacity of anchor bolts for other combination of edge distances and spacing shall be evaluated in accordance with ACI 318 and ACI 350, Appendix D.
3. Values for shear and tension listed are not considered to act concurrently. Interaction of tension and shear will be evaluated by ENGINEER in accordance with ACI 318 and ACI 350, Appendix D.

- B. Adhesive Anchors, Undercut Anchors, and Expansion Anchors – General:

1. Prior to drilling, locate existing reinforcing steel in vicinity of proposed holes. If reinforcing conflicts with proposed hole location, obtain ENGINEER's approval of alternate hole locations to avoid drilling through or damaging existing reinforcing bars.

C. Adhesive Anchors:

1. Comply with manufacturer's written installation instructions and the following.
2. Drill holes to adhesive system manufacturer's recommended drill bit diameter to the specified depth. Drill holes in hammering and rotation mode with carbide-tipped drill bits that comply with the tolerances of ANSI B212.15. Core-drilled holes are unacceptable.
3. Before setting adhesive anchor, hole shall be made free of dust and debris by method recommended by adhesive anchor system manufacturer. Hole shall be brushed with adhesive system manufacturer-approved brush and blown clean with clean, dry, oil-free compressed air to remove all dust and loose particles. Hole shall be dry as defined by adhesive system manufacturer.
4. Before injecting adhesive, obtain ENGINEER's concurrence that hole is dry and free of oil and other contaminants.
5. Prior to injecting adhesive into the drilled hole, dispense, to a location appropriate for such waste, an initial amount of adhesive from the mixing nozzle, until adhesive is uniform color.
6. Inject adhesive into hole through injection system-mixing nozzle and necessary extension tubes, placed to bottom of hole. Discharge end shall be withdrawn as adhesive is placed but kept immersed to prevent formation of air pockets. Fill hole to depth that ensures that excess material is expelled from hole during anchor placement.
7. Twist anchors during insertion into partially-filled hole to guarantee full wetting of rod surface with adhesive. Insert rod slowly to avoid developing air pockets.
8. Provide adequate curing in accordance to adhesive system manufacturer's requirements prior to continuing with adjoining Work that could place load on installed adhesive anchors. Do not begin adjoining Work until adhesive anchors are successfully tested or when allowed by ENGINEER.
9. Limitations:
  - a. Installation Temperature: Comply with manufacturer's instructions for installation temperature requirements. Provide temporary protection and other measures, such as heated enclosures, necessary to ensure that base material temperature complies with anchor systems manufacturer's requirements during installation and curing of adhesive anchor system.
  - b. Oversized Holes: Advise ENGINEER immediately if size of drilled hole is larger than recommended by anchor system manufacturer. Cost of corrective measures, including but not limited to redesign of anchors due to decreased anchor capacities, shall be paid by CONTRACTOR.

- c. Embedment depths shall be based on installation in normal-weight concrete with compressive strength of 2,500 psi when embedded in existing concrete, and 4,000 psi when embedded in new concrete.

D. Expansion Anchors:

1. Comply with expansion anchor manufacturer's written installation instructions and the following:
2. Drill holes using anchor system manufacturer's recommended drill bit diameter and to the specified depth. Drill holes in hammering and rotation mode with carbide-tipped drill bits complying with tolerances of ANSI B212.15. Core drilled holes are unacceptable.
3. Before installing anchor, hole shall be made free of dust and debris by method recommended by anchor system manufacturer. Hole shall be brushed with anchor system manufacturer-approved brush and blown clean with clean, dry, oil-free compressed air to remove all dust and loose particles.
4. Before installing anchor, obtain ENGINEER's concurrence that hole is dry and free of oil and other contaminants.
5. Protect threads from damage during anchor installation. Drive anchors not less than four threads below surface of the attachment. Set anchors to anchor manufacturer's recommended torque using a torque wrench.

E. Concrete Inserts:

1. Comply with concrete insert manufacturer's installation instructions.
2. Inserts shall be flush with slab bottom surface.
3. Protect embedded items from damage during concrete placing. Ensure that embedded items are securely fastened to prevent movement during concrete placing, and ensure that embedded items do fill with concrete during concrete placing.
4. Inserts intended for piping greater than four-inch diameter shall be provided with hooked rods attached to concrete reinforcing.

F. Anti-Seizing Compound:

1. Provide anti-seizing compound in accordance with anti-seizing compound manufacturer's installation instructions, at locations indicated in Paragraph 2.1.B of this Section.
2. Do not use anti-seizing compound at locations where anchor bolt or adhesive anchor will contact potable water or water that will be treated to become potable.

### 3.3 CLEANING

- A. After embedding concrete is placed, remove protection and clean bolts and inserts.

### 3.4 FIELD QUALITY CONTROL

#### A. Site Tests:

1. Furnish services of independent testing laboratory to perform field quality tensile testing of production adhesive anchors at the Site, unless otherwise specified.
  - a. Testing shall comply with ASTM E488.
  - b. Test at least ten percent of all types of adhesive anchors. If one or more adhesive anchors fail the test, CONTRACTOR shall pay cost of testing, or at ENGINEER's option CONTRACTOR may arrange for testing paid by CONTRACTOR, for all adhesive anchors of same diameter and type installed on the same day as the failed anchor. If anchors installed on the same day as the failed anchor also fail the test, ENGINEER may require retesting of all anchors of the same diameter and type installed in the Work. CONTRACTOR shall be responsible for retesting costs.
  - c. ENGINEER will direct which adhesive anchors are to be tested and indicate test load to be used
  - d. Apply test loads with hydraulic ram.
  - e. Displacement of post-installed anchors shall not exceed  $D/10$ , where D is nominal diameter of anchor being tested.
2. Correct defective Work by removing and replacing or correcting, as directed by ENGINEER.
3. CONTRACTOR shall pay for all corrections and subsequent testing required to confirm competence in the installation of post-installed mechanical anchors.
4. Testing agency shall submit test results to CONTRACTOR and ENGINEER within 24 hours of completion of test.

#### B. Manufacturer's Services:

1. Provide at the Site services of qualified adhesive manufacturer's representative during initial installation of adhesive anchor systems to train CONTRACTOR's personnel in proper installation procedures. Manufacturer's representative shall observe to confirm that installer demonstrates proper installation procedures for adhesive anchors and adhesive material.

++ END OF SECTION ++

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## SECTION 05 12 00

### STRUCTURAL STEEL FRAMING

#### PART 1 – GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install structural steel framing, including surface preparation and shop priming.
2. Structural steel framing is the Work defined in AISC 303, Section 2, and as shown or indicated in the Contract Documents. The Work also includes:
  - a. Providing openings in and attachments to structural steel framing to accommodate the Work under this and other Sections, and providing for structural steel framing items such as anchorage devices, studs, and all items required for which provision is not specifically included under other Sections.

###### B. Coordination:

1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before structural steel framing Work.

###### C. Related Sections:

1. Section 03 00 05, Concrete
2. Section 05 05 33, Anchor Systems.
3. Section 09 91 00, Painting.

##### 1.2 REFERENCES

###### A. Standards referenced in this Section are:

1. AISC 303, Code of Standard Practice for Steel Buildings and Bridges.
2. AISC 325, Steel Construction Manual.
3. AISC 360, Specification for Structural Steel Buildings.
4. ASME B46.1, Surface Texture (Surface Roughness, Waviness and Lay).
5. ASTM A6/A6M, Specification for General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling.
6. ASTM A36/A36M, Specification for Carbon Structural Steel.
7. ASTM A53/A53M, Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless.
8. ASTM A108, Specification for Steel Bar, Carbon and Alloy, Cold-Finished.
9. ASTM A194/A194M, Specification for Carbon and Alloy Steel Nuts for Bolts for High Pressure or High Temperature Service, or Both.

10. ASTM A325, Specification for Structural Bolts, Steel, Heat-Treated, 120/105 ksi Minimum Tensile Strength.
11. ASTM A500/A500M, Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes.
12. ASTM A563, Specification for Carbon and Alloy Steel Nuts.
13. ASTM A572/A572M, Specification for High-Strength Low-Alloy Columbium-Vanadium Structural Steel.
14. ASTM A992/A992M, Specification for Structural Steel Shapes.
15. ASTM E329, for Agencies Engaged in Construction Inspection, Special Inspection, or Testing Materials Used in Construction.
16. ASTM F436, Specification for Hardened Steel Washers.
17. ASTM F593, Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
18. ASTM F959, Specification for Compressible-Washer-Type Direct Tension Indicators for Use with Structural Fasteners.
19. ASTM F1852, Specification for "Twist off" Type Tension Control Structural Bolt/Nut/Washer Assemblies, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength.
20. AWS D1.1/D1.1M, Structural Welding Code-Steel.
21. CMAA 74, Specifications for Top Running & Under Running Single Girder Electric Traveling Cranes Utilizing Under Running Trolley Hoist.
22. ISO 2859-1, Sampling Procedures for Inspection by Attributes -- Part 1: Sampling Schemes Indexed by Acceptance Quality Limit (AQL) for Lot-by-Lot Inspection.
23. ISO 4017, Hexagon Head Screws -- Product Grades A and B.
24. RCSC Specification for Structural Joints Using ASTM A325 or ASTM A490 Bolts.

### 1.3 QUALITY ASSURANCE

#### A. Qualifications:

1. Steel Fabricator:
  - a. Structural steel fabricating plant shall possess current certificate from AISC stating that the fabrication facility complies with requirements for certification of "Standard for Steel Building Structures (STD)" of AISC's quality certification program. Fabricating plant shall maintain this certification throughout time of fabrication for this Project.
2. Welders and Welding Processes:
  - a. Qualify welding processes and welding operators in accordance with AWS D1.1/D1.1M, Section 5, Qualification.
  - b. Each welder employed on or to be employed for the Work shall possess current AWS certification in the welding process with which welder will be working. Certifications shall be current and valid throughout the Work.
3. Surveyor:

- a. Engage a registered professional land surveyor legally qualified to practice in the same jurisdiction as the Site, and experienced in providing surveying services of the kind indicated.
- b. Responsibilities include but are not necessarily limited to:
  - 1) Performing or supervising performance of field survey work to check lines and elevations of concrete and masonry bearing surfaces, and locations of anchorage devices and similar devices, before steel erection proceeds.
  - 2) Notifying CONTRACTOR and ENGINEER in writing when surveyed Work does not comply with the Contract Documents.
  - 3) Submit to CONTRACTOR field survey reports.
- 4. Testing Laboratory:
  - a. CONTRACTOR shall retain the services of an independent testing laboratory to perform testing and determine compliance with the Contract Documents of the materials specified in this Section.
  - b. Laboratory shall comply with ASTM E329.
  - c. Testing laboratory shall be experienced in the types of testing required.
  - d. Welding inspection and welding inspector qualifications shall be in accordance with AWS D1.1/D1.1M
  - e. Selection of testing laboratory is subject to ENGINEER's acceptance

#### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Complete details and schedules for fabrication and shop assembly of members and details, schedules, procedures, and diagrams showing proposed sequence of erection. Shop Drawings shall not be reproductions of Contract Drawings.
    - b. Include complete information for fabrication of the structure's components, including but not limited to location, type, and size of bolts, details of blocks, copes and cuts, connections, camber, holes, member sizes and lengths, and other pertinent data. Clearly indicate welds using standard AWS notations and symbols, and clearly show or indicate size, length, and type of each weld.
    - c. Setting drawings, templates, and directions for installing anchorage devices.
  - 2. Product Data:
    - a. Manufacturer's specifications and installation instructions for products listed below.
      - 1) High-strength bolts of each type, including nuts and washers.
      - 2) Welding electrodes and rods.
      - 3) Load indicator bolts and washers.
    - b. Hollow structural section cavity connector manufacturer specifications, load tables, dimension diagrams, and acceptable base material conditions. Clearly indicate allowable strength design safety

factors when ultimate load carrying capacities are submitted for approval.

B. Informational Submittals: Submit the following:

1. Certificates.
  - a. Fabricator's AISC quality certification.
  - b. Welders' certifications.
  - c. Certified reports of laboratory tests on previously-manufactured, identical materials, and other data as necessary, to demonstrate compliance with the Contract Documents for the materials listed below:
    - 1) Structural steel of each type, including certified mill reports indicating chemical and physical properties.
    - 2) High-strength bolts of each type, including nuts and washers.
2. Supplier Instructions:
  - a. Installation data, handling, and storage instructions.
3. Source Quality Control Submittals:
  - a. When performed or when required by ENGINEER, submit results of source quality control testing and inspections performed at the mill or shop.
4. Field Quality Control Submittals:
  - a. Submit results of testing and inspection performed in the field by testing laboratory employed by CONTRACTOR.
  - b. Written field survey reports for all bearing surfaces surveyed, verifying tolerance requirements, areas out of tolerance, and corrective measures required.
5. Qualifications Statements.
  - a. Land surveyor.
  - b. Testing laboratory.

1.5 DELIVERY, STORAGE AND HANDLING

A. Storage:

1. Protect steel members and packaged materials from corrosion and deterioration.
2. Do not store materials in or on the building or structure in manner that may cause distortion or damage to structural steel members, building, or supporting structures.

## PART 2 – PRODUCTS

### 2.1 MATERIALS

- A. Steel Types:
  - 1. W-Shapes and WT-Shapes: ASTM A992/A992M.
  - 2. S-shapes and Channels: ASTM A572/A572M, Grade 50.
  - 3. Hollow Structural Sections: ASTM A500/A500M, Grade B
  - 4. Angles, Plates, and Bars: ASTM A36/A36M.
  - 5. Steel Pipe: ASTM A53/A53M, Grade B.
- B. Anchorages, Fasteners, and Connectors:
  - 1. Anchorage Devices: Refer to Section 05 05 33, Anchor Systems.
  - 2. Headed Stud Type Shear Connectors: ASTM A108, Grades 1010/1020, complying with AWS D1.1/D1.1M, Section 7.
  - 3. High-Strength Threaded Fasteners: Heavy hexagonal structural bolts, heavy hexagon nuts, and hardened washers, as follows:
    - a. Unless otherwise indicated, fasteners shall be quenched and tempered medium-carbon steel bolts, nuts and washers, complying with ASTM A325, Type I, nuts complying with ASTM A563C, A563DH or A194/A194M 2H, and hardened washers complying with ASTM F436. Bolts, nuts and washers shall be hot-dip galvanized where shown or indicated.
    - b. Tension control bolts, when used, shall comply with ASTM F1852.
    - c. Compressible washer-type direct-tension indicators, when used, shall comply with ASTM F959, Type 325.
  - 4. Hollow Structural Section (HSS) Cavity Connectors: High-strength fastening system for hollow structural sections, as follows:
    - a. General:
      - 1) Each connector shall be hexagon-headed, expansion anchor for connecting structural steel tubes.
      - 2) Use hollow structural section cavity connectors only in the sizes and at locations shown or indicated in the Contract Documents.
    - b. Products and Manufacturers: Provide one of the following:
      - 1) Hollo-Bolt, by Lindapter International.
      - 2) BoxBolt, by Key Safety, Inc.
      - 3) Or equal.
    - c. Materials:
      - 1) Body/shoulder and wedge manufactured from mild steel bars.
      - 2) Core bolt manufactured with high tensile steel ISO 4017.
      - 3) Finish: Hot-dip galvanized.
    - d. Test bolts at time of manufacture in accordance with ISO 2859-1. Do not ship bolts that do not successfully pass the test.
  - 5. Threaded Rod: Provide threaded rods with heavy hexagon nuts, and hardened washers, as follows:

- a. Interior and Dry Locations: Provide threaded carbon steel rods complying with ASTM A36, with heavy hex nuts complying with ASTM A563A, unless otherwise shown or indicated on the Drawings.
- b. Exterior, Buried, or Submerged Locations, or When Exposed to Wastewater: Provide stainless steel threaded rods complete with washers complying with ASTM F593, AISI Type 316, Condition A, with ASTM A194/A194M, Grade 8S (nitronic 60) stainless steel nuts. Other AISI types may be used when approved by ENGINEER.

C. Electrodes for Welding: E70XX complying with AWS D1.1/D1.1M.

## 2.2 FABRICATION

### A. Shop Fabrication and Assembly:

#### 1. General:

- a. Fabricate and assemble structural assemblies in the shop to greatest extent possible. Fabricate items of structural steel in accordance with AISC 325, the Contract Documents, and as shown on approved Shop Drawings. Provide camber in structural members as shown or indicated.
- b. Properly mark and match-mark materials for field assembly. Fabricate for delivery sequence that will expedite erection and minimize handling of materials for storage and minimize handling at the Site.
- c. Where finishing is required, complete the assembly, including welding of units, before commencing finishing operations. Provide finish surfaces of members exposed-to-view in the completed Work that are free of markings, burrs, and other defects.

### B. Connections:

#### 1. Shop Connections:

- a. Unless otherwise shown or indicated, shop connections may be welded or high-strength bolted connections. Welds shall be 3/16-inch minimum.
- b. Where reaction values of beam are not shown or indicated, connections shall be detailed to support one-half the total uniform load capacity tabulated in tables contained in AISC 325 for allowable loads on beams for the associated shape, span, and steel specified for the beam.
- c. Shop-welded connections shall be detailed to eliminate or minimize eccentricity in the connection.
- d. End-connection angles fastened to webs of beams and girders, and the thickness of angles, size, and extent of fasteners or shop welds, shall comply with tables of "Framed Beam Connections" in AISC 325. Connections shall be two-sided, unless otherwise shown or indicated.

#### 2. Field Connections:

- a. Field connections, unless otherwise shown or indicated, shall be made with high-strength bolts, and shall be bearing-type connections.

- b. Use field welding only where shown or indicated or where approved by ENGINEER.
  - 3. High-Strength Bolted Construction:
    - a. Provide high-strength threaded fasteners in accordance with RCSC Specifications for Structural Joints using ASTM A325 or ASTM A490 Bolts.
    - b. High-strength bolt design shear values shall be as specified in AISC 325 for bolts with threads in the shear plane for bearing type connections, or as specified in this Section for slip-critical connections.
    - c. Bolted connections shown or indicated as "SC" shall comply with slip-critical connection requirements in RCSC Specifications for Structural Joints Using ASTM A325 or ASTM A490 Bolts.
      - 1) Faying surfaces shall have a Class A surface condition.
      - 2) Slip-critical bolts shall be fully pre-tensioned to 70 percent of minimum specified tensile strength of the bolt using one of the following methods:
        - a) Turn of nut with matchmarking.
        - b) Twist-off tension control bolt (ASTM F1852).
        - c) Direct tension indicator washer (ASTM F959).
    - d. Minimum bolt diameter shall be 3/4-inch, unless otherwise shown or indicated.
  - 4. Welded Construction: Comply with AWS D1.1/D1.1M for procedures, appearance, and quality of welds, and methods used in correcting defective welding Work.
    - a. Assemble and weld built-up sections by methods that produce true alignment of axes without warp.
  - 5. Where rigid connections are required by stresses shown or indicated, provide web shear reinforcement and stiffeners in accordance with AISC 360.
- C. Bracing:
- 1. Bracing for which stress is not shown or indicated shall have minimum two-bolt connection, or shop-welded connection of equivalent strength.
  - 2. Vertical bracing and knee braces connecting to columns shall be on the centerline of columns, unless otherwise shown or indicated.
  - 3. Knee braces shall be at 45-degree angle, unless otherwise shown or indicated.
  - 4. Gussets shall be not less than 3/8-inch thick, unless otherwise shown or indicated.
- D. Columns: Column shafts shall have finished bearing surface roughness not greater than 500 micro-inch in accordance with ASME B46.1, and ends shall be square within tolerances for milled ends in accordance with ASTM A6/A6M at the base and at splice lines.
- E. Structural Tubing: Properly seal structural tubing to protect internal surfaces.

F. Holes and Appurtenances for Other Work:

1. Provide holes required for securing other work to structural steel framing, and for passage of other work through steel framing members, as shown on the approved Shop Drawings. If large block-outs are required and approved, reinforce the webs to develop specified shears. Provide threaded nuts welded to framing and other specialty items as shown or indicated to receive other work.
2. Cut, drill, or punch holes perpendicular to metal surfaces. Do not flame-cut holes or enlarge holes by burning. Drill holes in bearing plates.

2.3 FINISHING

- A. Surface Preparation and Shop Priming: Structural steel shall be primed in the shop. For surface preparation and shop priming requirements refer to Section 09 91 00, Painting.

2.4 SOURCE QUALITY CONTROL

A. Inspection and Testing at the Mill or Shop:

1. Perform fabricator's standard procedures for source quality control, including inspections and testing.
2. Materials and fabrication procedures shall be subject to inspection and tests in mill and shop, conducted by a qualified inspection laboratory. Such inspections and tests do not relieve CONTRACTOR of responsibility for providing the Work in accordance with the Contract Documents.

PART 3 – EXECUTION

3.1 INSPECTION

- A. Examine areas and conditions under which the Work will be performed and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

3.2 ERECTION

- A. General: Comply with AISC 303, AISC 360, and the Contract Documents.
- B. Temporary Shoring and Bracing: Provide temporary shoring and bracing members with connections of sufficient strength to bear imposed loads. Remove temporary members and connections when permanent members are in place and final connections are made. Provide temporary guy-lines to achieve proper alignment of structures as erection proceeds.



- C. Temporary Planking: Provide temporary planking and working platforms as necessary to effectively complete the Work. Provide sufficient planking to comply with Laws and Regulations, and provide tightly-planked substantial floor within two stories or 30 feet, whichever is less, below each tier of steel beams on which work is performed.
- D. Anchorage Devices:
1. Provide anchorage devices, including anchor bolts, and other connectors required for securing structural steel to foundations and other in-place construction.
  2. Provide templates and other devices necessary for presetting anchorage devices to accurate locations.
  3. Refer to Section 05 05 33, Anchor Systems, for anchorage requirements.
- E. Setting Bases and Bearing Plates:
1. Clean concrete and masonry bearing surfaces of bond-reducing materials and roughen to improve bond to surfaces. Clean bottom surface of base and bearing plates.
  2. Set loose and attached base plates and bearing plates for structural members on steel wedges or other adjusting devices.
  3. Tighten anchorage devices after supported members are positioned and plumbed. Do not remove wedges or shims, but if protruding, cut off flush with edge of base or bearing plate prior to packing with grout.
  4. Place grout between bearing surfaces and bases or plates in accordance with Section 03 00 05, Concrete. Finish exposed surfaces, protect installed materials, and allow to cure in accordance with grout manufacturer's instructions, and as otherwise required.
  5. Do not use leveling plates or wood wedges.
- F. Field Assembly:
1. Set structural frames accurately to the lines and elevations shown and indicated. Align and adjust the various members forming part of a complete frame or structure before permanently fastening. Before assembly, clean bearing surfaces and other surfaces that will be in permanent contact. Perform necessary adjustments to compensate for discrepancies in elevations and alignment.
  2. Level and plumb individual members of structure within tolerances as specified in AISC 325. For members requiring accurate alignment, provide clip angles, lintels, and other members, with slotted holes for horizontal adjustment at least 3/8-inch in each direction, or more when required.
  3. Splice members only where shown or indicated.
- G. Erection Bolts: On exposed-to-view, welded construction, remove erection bolts, fill holes with plug welds, and grind smooth at exposed surfaces.
- H. Connections:

1. Comply with AISC 325 for bearing, adequacy of temporary connections, alignment, and the removal of paint on surfaces adjacent to field welds.
  2. Do not enlarge inadequate holes in members by burning or by using drift pins, except in secondary bracing members. Ream holes that must be
  3. Hollow Structural Section Cavity Connectors:
    - a. Comply with manufacturer's written installation instructions and the following.
    - b. Cavity Connectors shall be torqued in accordance with manufacturer's instructions.
- I. Gas Cutting: Do not use gas-cutting torches for correcting fabrication defects in structural framing. Cutting will be allowed only on secondary members that are not under stress, as approved by ENGINEER. Finish gas-cut sections equal to a sheared appearance, when allowed.
- J. Touch-up Painting:
1. Unless otherwise specified, comply with touch-up painting requirements in Section 09 91 00, Painting.
  2. Immediately after erection, clean field welds, bolted connections, and damaged or abraded areas of shop-applied paint. Apply paint to exposed areas with the same paint or coating material applied in the shop. Apply by brush or spray to provide not less than the dry film thickness specified in Section 09 91 00, Painting.

### 3.3 FIELD QUALITY CONTROL

- A. Site Tests and Inspections: Materials and erection procedures shall be subject to inspection and tests at the Site conducted by qualified inspection laboratory. Such inspections and tests do not relieve CONTRACTOR of responsibility for providing the Work in accordance with the Contract Documents.
1. OWNER will engage independent testing and inspection laboratory to inspect high-strength bolted connections and welded connections and to perform tests and prepare test reports.
    - a. Testing laboratory shall conduct and interpret tests, prepare and state in each report of results whether test specimens comply with the Contract Documents and specifically indicate all deviations.
    - b. High-strength Bolted Connections: Each high-strength bolted connection shall be visually inspected. Inspection shall identify whether the Work complies with Sections 2, 3, and 8 of RCSC Specifications for Structural Joints Using ASTM A325 or A490 Bolts.
      - 1) For connections that are slip-critical or subject to axial tension, inspector shall verify proper pre-tensioning.
      - 2) For connections that are not slip critical and not subject to direct tension, bolt does not need to be inspected for bolt tension, but shall be visually inspected to verify that plies of connected elements are in snug contact.

- 3) Where bolts or connections are defective, correct defective workmanship, remove and replace, or correct as required defective bolts and connections. CONTRACTOR shall pay for correcting defective Work and tests required to confirm integrity of corrected Work.
- c. Welds: Each weld shall be visually inspected.
- 1) Where visually defective welds are evident, further test welds using non-destructive methods. If welds are determined to be acceptable, OWNER will pay for non-destructive testing. When welds are defective, CONTRACTOR shall pay for non-destructive testing.
  - 2) Correct, or remove and replace, defective Work as directed by ENGINEER.
  - 3) CONTRACTOR shall pay for corrections and subsequent tests required to determine weld compliance with the Contract Documents.

+ + END OF SECTION + +

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## SECTION 07 62 00

### SHEET METAL FLASHING AND TRIM

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, tools, equipment and incidentals as shown, specified and required to furnish and install sheet metal flashing and trim.
2. The Work also includes:
  - a. Providing openings in sheet metal flashing and trim to accommodate the Work under this and other Sections and building into the sheet metal flashing and trim all items such as sleeves, anchor bolts, inserts and all other items to be embedded in sheet metal flashing and trim for which placement is not specifically provided under other Sections.
3. Extent of the sheet metal flashing and trim is shown.

###### B. Coordination:

1. Review installation procedures under other Sections and coordinate the installation of items that must be installed with the sheet metal flashing and trim Work.
2. Work advanced without sheet metal flashing and trim items that are specified to be cast-in-place or built-in-place as the Work advances, shall be stopped, demolished and rebuilt incorporating specified sheet metal flashing and trim Work, at no additional cost to OWNER.

##### 1.2 REFERENCES

###### A. Standards referenced in this Section are listed below:

1. The Aluminum Association, (AA).
  - a. AA, ASD-1 - Aluminum Standards and Data.
  - b. AA, DAF-45 - Designation System for Aluminum Finishes.
  - c. AA, SAA-46 - Anodized Architectural Aluminum.
2. American Society for Testing and Materials, (ASTM).
  - a. ASTM A 480, Specification for General Requirements for Flat-Rolled Stainless and Heat-Resisting Steel Plate, Sheet and Strip.
  - b. ASTM A 666, Specification for Annealed or Cold-Worked Austenitic Stainless Steel, Sheet, Strip, Plate, and Flat Bar.
  - c. ASTM B 29, Specification for Refined Lead.
  - d. ASTM B 32, Specification for Solder Metal.
  - e. ASTM B 101, Specification for Lead-Coated Copper Sheet and Strip for Building Construction.
  - f. ASTM B 117, Practice for Operating Salt Spray (Fog) Apparatus.

- g. ASTM B 209, Specification for Aluminum and Aluminum-Alloy Sheet and Plate.
  - h. ASTM B 370, Specification for Copper Sheet and Strip for Building Construction.
  - i. ASTM B 749, Specification for Lead and Lead Alloy Strip, Sheet, and Plate Products.
  - j. ASTM D 395, Test Methods for Rubber Property-Compression Set.
  - k. ASTM D 412, Test Methods for Vulcanized Rubber and Thermoplastic Rubbers - Tension.
  - l. ASTM D 522, Test Methods for Mandrel Bend Test of Attached Organic Coatings.
  - m. ASTM D 523, Test Method for Specular Gloss.
  - n. ASTM D 624, Test Method for Tear Strength of Conventional Vulcanized Rubber and Thermoplastic Elastomers.
  - o. ASTM D 746, Test Method for Brittleness Temperature of Plastics and Elastomers by Impact.
  - p. ASTM D 968, Test Methods for Abrasion Resistance of Organic Coatings by Falling Abrasive.
  - q. ASTM D 1308, Test Method for Effect of Household Chemicals on Clear and Pigmented Organic Finishes.
  - r. ASTM D 2240, Test Method for Rubber Property - Durometer Hardness.
  - s. ASTM D 2244, Practice for Calculation of Color Tolerances and Color Differences from Instrumentally Measured Color Coordinates.
  - t. ASTM D 2247, Practice for Testing Water Resistance of Coatings in 100% Relative Humidity.
  - u. ASTM D 3363, Test Method for Film Hardness by Pencil Test.
  - v. ASTM D 4214, Test Methods for Evaluating Degree of Chalking of Exterior Paint Films.
- 3. Factory Mutual Engineering Corporation, (FM).
    - a. FM Loss Prevention Data for Roofing Contractors, 1-49 - Perimeter Flashing.
  - 4. National Roofing Contractors Association, (NRCA).
    - a. NRCA, Low-Slope Membrane Roofing Construction Details Manual.
  - 5. Sheet Metal and Air Conditioning Contractors National Association, Incorporated, (SMACNA).
    - a. SMACNA 1013, Architectural Sheet Metal Manual.
  - 6. The Society for Protective Coatings, (SSPC).
    - a. SSPC - Paint 12, Cold Applied Asphalt Mastic (Extra Thick Film).

### 1.3 QUALITY ASSURANCE

#### A. Installer Qualifications:

1. Engage a single installer who is a recognized flashing and trim installer, skilled and experienced in the type of flashing and trim Work required, and equipped to perform workmanship in accordance with recognized standards so that there will be undivided responsibility for the performance of the Work. Submit name and qualifications to ENGINEER along with at least three successfully completed Projects including names and telephone numbers of owners, architects and engineers, responsible for the project and the approximate contract price for flashing and trim work.
2. The installer of the sheet metal flashing and trim Work shall be franchised or otherwise accepted in writing by thermoplastic polyolefin membrane roofing materials manufacturer for installation of fully guaranteed built-up bituminous roofing Work in accordance with these Specifications.

#### B. Source Quality Control:

1. Except as otherwise shown, comply with recommendations of the thermoplastic polyolefin membrane roofing manufacturer concerning the installation of flashing and trim that affects the built-up bituminous roofing bond or guarantee.

### 1.4 SUBMITTALS

#### A. Action Submittals: Submit the following:

1. Product Data:
  - a. Copies of manufacturer's specifications, installation instructions and general recommendations for sheet metal flashing and trim required. Include manufacturer's data substantiating that the materials comply with the requirements.
  - b. Submit full selection of manufacturer's standard, custom and premium color charts.
2. Shop Drawings:
  - a. Drawings showing the manner of forming, jointing and securing flashings and trim. Show fully dimensioned joint details and waterproof connections to adjoining Work and details at obstructions and penetrations.
3. Qualifications Statements:
  - a. Installer's qualifications

#### B. Closeout Submittals: Submit the following:

1. Guarantee:
  - a. Submit guarantee as specified in section 1.7
2. Warranty
  - a. Submit warranty as specified in section 1.7

### 1.5 DELIVERY, STORAGE AND HANDLING

#### A. Delivery of Materials:

1. Deliver sheet metal flashing and trim materials in manufacturer's original, unopened, and undamaged containers and rolls, with labels intact and legible, indicating compliance with approved Shop Drawings.
2. Items delivered in broken, damaged, rusted, or unlabeled condition shall immediately be removed from Site and not offered again for approval by ENGINEER.

B. Storage of Materials:

1. Store materials in an area undercover and protected from construction traffic.
2. Store materials in same package in which they were shipped, off the ground and on platforms protected from dirt and other contamination.
3. Store in a manner which does not permit water to remain on sheet metal flashing and trim materials and system components.

C. Handling of Materials:

1. Protect sheet metal flashing and trim from dents, scratches, warps and bends.
2. Remove strippable protective film, immediately proceeding installation of each system component.

## 1.6 JOB CONDITIONS

A. Scheduling:

1. Do not proceed with sheet metal flashing and trim Work until curb and substrate construction, cant strips, blocking, reglets and other construction to receive the Work is completed.
2. Deliver materials to the Site in sufficient quantities to ensure uninterrupted progress of the Work.
3. Schedule the installation of sheet metal flashing and trim to coincide with the installation of thermoplastic polyolefin membrane roofing, waterproofing, drains, piping, blocking, nailers, framing at openings, curbs, and other adjoining and substrate Work.
4. Proceed with and complete the Work only when materials, equipment and knowledgeable tradesmen, required for the installation of sheet metal flashing and trim, are at the Site and are ready to follow, and integrate sheet metal flashing and trim Work with roofing Work, in order to maintain watertight conditions.

## 1.7 GUARANTEE

- A. Provide coping and cap flashing manufacturer's fifteen year warranty against blow-off, leak, or premature membrane failure in winds of up to 165 miles per hour (Ultimate design wind speed per ASCE/FBC).
- B. Provide manufacturer's twenty-year warranty on the specified coil coated polyvinylidene fluoride based coating.
- C. Guarantee that the polyvinylidene fluoride based coating meets all criteria specified and will not spall, check, craze, peel or otherwise lose adhesion for a period of twenty



years from the date of installation, to the extent that such shall create unsightly conditions or otherwise impair the intended architectural qualities of the building.

- D. In the event that the coil coated polyvinylidene fluoride based coating fails to meet the specified standards the manufacturer shall, at their own expense, replace or field paint, at the discretion of OWNER, all areas affected by the failure. In the event that repainting is selected, it shall be done at mutually agreeable intervals throughout the term of the warranty.
- E. The warranty specified shall not deprive OWNER of other rights OWNER may have under other provisions of the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by CONTRACTOR under requirements of the Contract Documents.
- F. The warranty does not apply where failure is caused by accidents, or external conditions or forces beyond the control of the manufacturer.

## PART 2 - PRODUCTS

### 2.1 SYSTEM PERFORMANCE

- A. Performance Criteria:
  - 1. Sheet metal flashing and trim shall be permanently watertight, and not deteriorate in excess of manufacturer's published limitations.
  - 2. Comply with fabrication details recommended by FM, SMACNA, NRCA and the requirements of the sheet metal flashing and trim manufacturer, and as shown on approved Shop Drawings.

### 2.2 MATERIALS

- A. Metal Sheet metal flashing and trim:
  - 1. Aluminum Sheet metal flashing and trim: Provide aluminum complying with ASTM B 209, alloy 3003, temper H14. Provide sheet aluminum 0.032-inches thick with AA-C22A41 finish.
- B. Miscellaneous Materials:
  - 1. Nails, Screws and Rivets: Same material as flashing sheet, or as recommended by manufacturer of flashing sheet.
  - 2. Cleats: Same metal and gage as sheet being anchored, 2-inches wide, punched for two anchors.
  - 3. Bituminous Coating: SSPC-Paint 12, cold-applied solvent-type bituminous mastic coating for application in dry film thickness of 15-mils per coat.
  - 4. Sealants: Refer to Section 07 92 00, Joint Sealants.

## 2.3 FABRICATION

- A. Fabricated Metal Flashing: Shop-fabricate metal sheet metal flashing and trim to comply with profiles and sizes shown, and to comply with manufacturer's recommended details. Except as otherwise shown or specified, provide soldered flat-lock seams, and fold back metal to form a hem on the concealed side of exposed edges. Comply with metal producers' recommendations for tinning, soldering and cleaning flux from metal.
- B. Provide completely shop-fabricated corners and transition sheet metal flashing and trim for all roof edges and fascia; heliarc welded to ensure watertight joints. Grind all welds smooth so as to be indistinguishable from surrounding surfaces. Finish with specified paint system after fabrication.
- C. Where fabricator does not recommend grinding welds smooth, comply with SMACNA formed metal details requiring double-lock seamed construction.

## 2.4 ALUMINUM FLASHING

- A. Exposed Aluminum Polyvinylidene Fluoride Based Coating: Apply full strength polyvinylidene fluoride based coatings at the factory by coil coating for sheet material and spray coating for extruded or factory-fabricated material. Provide the following four coat finish system complying with the following:
  - 1. Alkali clean and hot water rinse all surfaces to receive polyvinylidene fluoride based finish.
  - 2. Prepare a chemical conversion coating on the surface, using phosphates or chromates followed by a cold water rinse. Seal with a chromic acid rinse and dry, except where manufacturer recommends another method to achieve greater coating reliability.
  - 3. Apply a base prime coat of epoxy paint to the prepared surface in its coil form, by reverse roller coating. Fully cure in a gas-fired oven to a dry film thickness of 0.2 to 0.4-mils. Follow with a barrier coat, 1.0-mils thick.
  - 4. Apply color coat containing mica pearlescent or metallic flakes over the barrier coat by roller coating for coil material and airless or Ransburg Elastostatic Hand Spray for extrusions and fuse at a peak metal temperature of 440°F for a dry film thickness of 0.7-mils for coil coating and 1.2-mils for spray coating so that the total dry film is approximately 1.0-mil thick for coil material and 1.5-mils thick for extruded material.
  - 5. Apply clear fluoropolymer top coat to provide a dry film thickness of 0.4 to 0.8-mils. The entire four coat system shall have a dry film thickness of 2.6-mils, minimum.
  - 6. Provide the following physical properties, as proven by appropriate and recognized laboratory test methods acceptable to ENGINEER:
    - a. Weathering, ASTM D 4214: Chalking, not more than No. 8, after exposure for 5000 hours in Sunshine Arc Weatherometer XWR using 60/60 cycle.

- b. Color Change, ASTM D 2244: No greater than 5 NBS units after removal of external deposits and after exposure for 5000 hours in Sunshine Arc Weatherometer XWR using 60/60 cycle.
  - c. Humidity Resistance, ASTM D 2247; no blisters after 3000 hours.
  - d. Salt Spray, ASTM B 117: Few scattered blisters no larger than ASTM No. 4, and no more than 1/16-inch creep from areas scribed to bare metal after 3000 hours.
  - e. Dry Adhesion: No pick-off when tape tested over 1/16-inch cross hatch.
  - f. Wet Adhesion: No pick-off when tape tested over 1/16-inch cross hatch; extruded material only.
  - g. Boiling Water Adhesion: No pick-off when tape tested over cross hatch area after one hour immersion in distilled boiling water.
  - h. Water Immersion: No pick-off when tape tested over cross hatch area after immersion in aerated distilled water  $80 \pm 10^{\circ}\text{F}$  after 500 hours.
  - i. Abrasion Resistance, ASTM D 968: Coefficient of abrasion of 67, minimum.
  - j. Gloss, ASTM D 523:  $30 \pm 5$  reflectivity at  $60^{\circ}\text{F}$ .
  - k. Pencil Hardness, ASTM D 3363: HB-H minimum.
  - l. Dry Film Thickness, ASTM D 3363: Primer, 0.2 to 0.4-mils; barrier coat, 1.0-mils; color coating, 0.7 to 1.5-mils; clear topcoat, 0.4 to 0.8-mils.
  - m. Solvent Resistance: 100 Double MEK rubs minimum.
  - n. Flexibility, ASTM D 522: No cracking prior to metal fracture.
  - o. Acid Resistance, ASTM D 1308: 16 hour spot test with five percent hydrochloric acid - no effect.
  - p. Alkali Resistance, ASTM D 1308: 16 hour spot test with five percent sodium hydroxide - no effect.
- B. Colors: Provide the following:
- 1. Full selection of manufacturer's standard, custom and premium colors for final selection by ENGINEER.
  - 2. ENGINEER will select custom special extended life premium colors for sheet metal flashing and trim at time of Shop Drawing and sample submission review.
- C. Products and Manufacturers: Provide one of the following:
- 1. Duranar Metallic XL Specialty Color 4-Coat System by PPG Industries Coatings and Resins Division, Incorporated.
  - 2. Or equal.

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. CONTRACTOR and installer shall examine the substrate and the conditions under which the sheet metal flashing and trim Work is to be performed, and notify ENGINEER, in writing, of unsatisfactory conditions. Do not proceed with sheet metal flashing and trim Work until unsatisfactory conditions have been corrected in a manner acceptable to ENGINEER.

### 3.2 PREPARATION

- A. Before installing sheet metal flashing and trim, verify shapes, and dimensions to be covered.
- B. Prepare substrates as recommended by the sheet metal manufacturer.

### 3.3 INSTALLATION

- A. General:
  - 1. Separate dissimilar metals from each other by painting each metal surface in the area of contact with a heavy application of bituminous coating, or by other permanent separation as recommended by the manufacturers of the dissimilar metals. Comply with the following:
    - a. Separate stainless steel from dissimilar metals, including regular steel and iron, and from cementitious materials by a course of roofing felt wherever possible. Where felt application is not possible, coat the stainless steel or the other material with a 15-mil bituminous coating. Where felt is applied under sheets which will be soldered or welded, cover felt with a course of building paper before installing stainless steel. Comply with manufacturer's recommendations for other forms of protection of the stainless steel against corrosion.
    - b. In addition to bituminous coatings, apply a heavy tinning of solder at locations where lead-coated copper contacts dissimilar metals.
  - 2. Provide thermal expansion for running trim, flashing, valleys, and other items exposed for more than 15 feet-0 inches continuous length. Maintain a watertight installation at expansion seams. Locate expansion seams as shown or, if not shown, at the following maximum spacing for each general flashing use:
    - a. Valleys: Midway between drains (at high points in slopes), but in no case more than 30 feet-0 inches apart, except as otherwise shown.
    - b. Sheet metal flashing and trim: At 10 feet-0 inch intervals and 2 feet-0 inch each side of corners and intersections.
  - 3. Fabricate and install Work with lines and corners of exposed units true and accurate. Form exposed faces flat and free of buckles, excessive waves and avoidable tool marks, considering the temper and reflectivity of the metal. Provide uniform, neat flat-locked seams with minimum exposure of solder, welds and sealant. Except as otherwise shown, fold back the sheet metal to form a hem on the concealed side of exposed edges. All exposed edges of all sheet metal flashing shall be hemmed not less than 1/2-inch wide.
  - 4. Conceal fasteners and expansion provisions wherever possible in exposed Work, and locate so as to minimize the possibility of leakage. Cover and seal Work as required for a watertight installation.
    - a. Provide cleat-type anchorages for metal flashings and trim wherever practical, arranged to relieve stresses from building movement, and thermal expansion and contraction.
  - 5. On vertical surfaces lap two-piece flashings a minimum of 4-inches.

6. On sloping surfaces, for slopes of not less than 6-inches in 12-inches, lap unsealed flashings a minimum of 6-inches. For slopes less than 6-inches in 12-inches use soldered flat locked seams.
  7. For embedment of metal flashing flanges in built-up bituminous roofing or composition flashing or stripping, extend flanges for a minimum of 4-inches embedment.
- B. Installation of Aluminum Sheet metal flashing and trim: Bed base members and flashings of aluminum in roofing cement. Comply with manufacturer's instructions for installation and anchorage of units. Provide gasket-type washers under exposed screw and bolt heads. Shim and seal under units as required to provide continuous, level, plumb and true lines.

### 3.4 FIELD QUALITY CONTROL

- A. Polyvinylidene Fluoride Based Coatings: Determine conformity of sheet metal flashing and trim Work requiring painted finish to these Specifications as follows:
1. The manufacturer of the sheet metal flashing and trim Work shall set aside and label samples of each component of the sheet metal flashing and trim Work from each production lot for the Project. Protect samples from weather.
  2. Make samples of sheet metal flashing and trim Work available at all times, for comparison with installed sheet metal flashing and trim Work as requested by OWNER, for the full time of the warranty.
  3. Make color comparison measurements with a Hunter Tristimulus Color Difference Meter employing methods of computation in use at the National Bureau of Standards conforming to ASTM D 2224.

### 3.5 ADJUSTMENT AND CLEANING

- A. Protect sheet metal flashing and trim until Final Acceptance of the Work.
- B. Do not permit workmen, or others, to step directly on flashing sheets in place, or to place or move equipment over sheet metal flashing and trim surfaces. Protect surfaces during installation of permanent covering work and adjoining Work.
- C. Neutralize excess flux as the Work progresses with five percent to percent washing soda solution and rinse thoroughly.
- D. Clean exposed surfaces of every substance which is visible or might cause corrosion or prevent uniform oxidation of the metal surfaces. Exercise extreme care to remove fluxes and ferrous metal particles, including welding splatter and grinding dust.

++ END OF SECTION ++

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## SECTION 07 92 00

### JOINT SEALANTS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

A. Scope:

1. CONTRACTOR shall provide all labor, materials, tools, equipment, and incidentals as shown, specified, and required to furnish and install joint sealants.

B. Coordination:

1. Review installation procedures under other Sections and coordinate installation of items to be installed with or before joint sealants.
2. Coordinate final selection of joint sealants so that materials are compatible with all calking and sealant substrates specified.

##### 1.2 REFERENCES

A. Standards referenced in this Section are:

1. ASTM C510, Test Method for Staining and Color Change of Single- or Multicomponent Joint Sealants.
2. ASTM C661, Test Method for Indentation Hardness of Elastomeric-Type Sealants by Means of a Durometer.
3. ASTM C793, Test Method for Effects of Accelerated Weathering on Elastomeric Joint Sealants.
4. ASTM C794, Test Method for Adhesion-in-Peel of Elastomeric Joint Sealants.
5. ASTM C920, Specification for Elastomeric Joint Sealants.
6. ASTM C1021, Practice for Laboratories Engaged in Testing Building Sealants.
7. ASTM C1087, Test method for Determining Compatibility of Liquid-Applied Sealants with Accessories Used in Structural Glazing Systems.
8. ASTM C1193, Guide for Use of Joint Sealants.
9. ASTM C1247, Practice for Durability of Sealants Exposed to Continuous Immersion in Liquids.
10. BAAQMD Regulation 8, Rule 51.
11. FS TT-S-00227, Sealing Compound: Elastomeric Type, Multi-component (for Calking, Sealing, and Glazing in Buildings and Other Structures).
12. FS TT-S-00230 Sealing Compound: Elastomeric Type, Single Component (for Calking, Sealing, and Glazing in Buildings and Other Structures).
13. NSF/ANSI Standard 61, Drinking Water System Components - Health Effects.
14. SCAQMD Rule 1168.

### 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Testing Laboratory:
    - a. Furnish services of independent testing laboratory qualified according to ASTM C1021, for conducting testing required.
- B. Product Testing: Provide test results of laboratory pre-construction compatibility and adhesion testing, as specified in Article 3.1 of this Section, by qualified testing laboratory, based on testing of current sealant formulations within a 36-month period preceding the Notice to Proceed for the Work.
  - 1. Test elastomeric joint sealants for compliance with requirements specified by reference to ASTM C920 and, where applicable, to other standard test methods.

### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Schedule of joint sealants installation, indication each specific surface where calking or sealants are to be provided and the material proposed for each application.
  - 2. Product Data:
    - a. Copies of manufacturer's data sheets including color charts, specifications, recommendations, and installation instructions for each type of sealant, calking compound, and associated miscellaneous material required. Include manufacturer's published data, indicating that each product complies with the Contract Documents and is intended for the applications shown or indicated.
    - b. Product test reports.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Certify that materials are suitable for intended use and materials meet or exceed requirements of the Contract Documents.
    - b. Certification from manufacturer that products furnished are appropriate for surfaces and conditions to which they will be applied.
    - c. Certify that applicator is approved by manufacturer.
- C. Closeout Submittals: Submit the following:
  - 1. Warranty: Submit written warranties as specified in this Section.

### 1.5 DELIVERY, STORAGE AND HANDLING

- A. Comply with Section 01 65 00, Product Delivery Requirements, and Section 01 66 00, Product Storage and Handling Requirements, and the following:
  - 1. Delivery of Products:



- a. Deliver products in caulking and sealant manufacturer's original unopened, undamaged containers, indicating compliance with approved Shop Drawings and approved Sample color selections.
- b. Include the following information on label:
  - 1) Name of material and Supplier.
  - 2) Formula or Specification Section number, lot number, color and date of manufacture.
  - 3) Mixing instructions, shelf life, and curing time, when applicable.
- 2. Storage of Products:
  - a. Do not store or expose materials to temperature above 90 degrees F or store in direct sunlight.
  - b. Do not use materials that are outdated as indicated by shelf life.
  - c. Store sealant tape in manner that will not deform tape.
  - d. When high temperatures prevail, store mixed sealants in a cool place.
- 3. Handling:
  - a. not open containers or mix components until necessary preparatory Work and priming are complete.

## 1.6 JOB CONDITIONS

- A. Environmental Conditions:
  - 1. Do not install joint sealants under adverse weather conditions, or when temperatures are below or above manufacturer's recommended limitations for installation.
  - 2. Proceed with the Work when forecasted weather conditions are favorable for proper cure and development of high-early bond strength.
  - 3. Where joint width is affected by ambient temperature variations, install elastomeric sealants when temperatures are in the lower third of manufacturer's recommended installation temperature range, so that sealant will not be subjected to excessive elongation and bond stress at subsequent low temperatures.

## 1.7 WARRANTY

- A. Provide written warranty, signed by manufacturer and CONTRACTOR, agreeing to repair or replace sealants that fail to perform as air-tight and watertight joints; or fail in joint adhesion, cohesion, abrasion resistance, weather resistance, extrusion resistance, migration resistance, stain resistance, or general durability; or appear to deteriorate in any other manner not clearly specified in approved Shop Drawings and other submittals, as an inherent quality of material for exposure indicated.
  - 1. Provide manufacturer warranty for period of one year from date of Substantial Completion of joint sealants Work.
  - 2. Provide installer warranty for period of two years from date of Substantial Completion of joint sealants Work.

## PART 2 - PRODUCTS

### 2.1 SYSTEM PERFORMANCE

- A. Provide elastomeric joint sealants for interior and exterior joint applications that establish and maintain watertight and airtight continuous joint seals without staining or deteriorating joint substrates.
- B. VOC Performance Criteria:
  - 1. VOC content of sealants used shall comply with current VOC content limits of SCAQMD Rule 1168. Sealants used as fillers shall comply with or exceed requirements of BAAQMD Regulation 8, Rule 51.
    - a. Sealants: 250 g/L.
    - b. Sealant Primers for Nonporous Substrates: 250 g/L.
    - c. Sealant Primers for Porous Substrates: 775 g/L.
- C. Provide colors selected by ENGINEER from calking and sealant manufacturer's standard and custom color charts. "Or equal" manufacturers shall provide same generic products and colors as available from manufacturers specified.

## 2.2 MATERIALS

- A. Exterior and Interior Horizontal and Vertical Joints:
  - 1. One-component Polyurethane Sealant:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) Sikaflex-1a by Sika Corporation.
      - 2) Or equal.
    - b. One-component, moisture cured, gun grade, polyurethane sealant, complying with:
      - 1) FS TT-S-00230C, Type II, Class A; ASTM C920, Type S, Grade NS, Class 25.
      - 2) Adhesion-in-Peel, FS TT-S-00230C, ASTM C794 (minimum five pounds.): Glass, minimum 20 pounds per linear inch; Aluminum, minimum 20 pounds per linear inch; Concrete, minimum 20 pounds per linear inch.
      - 3) Hardness (Standard Conditions), ASTM D2240: 20 to 25 (Shore A).
      - 4) Stain and Color Change, FS TT-S-00227E and ASTM C510: No discoloration or stain.
      - 5) Accelerated Aging, ASTM C793: No change in sealant characteristics after 250 hours in weatherometer.
      - 6) Rheological Vertical Displacement at 120 degrees F, FS TT-S-00227E: No sag.
      - 7) VOC Content: 100 g/L, maximum.
      - 8) Listed in NSF/ANSI 61.
- B. Miscellaneous Materials:
  - 1. Joint Cleaner: As recommended by calking and sealant manufacturer.
  - 2. Joint Primer and Sealer: As recommended for compatibility with calking and sealant by calking and sealant manufacturer.
  - 3. Bond Breaker Type: Polyethylene tape or other plastic tape as recommended for compatibility with calking and sealant by calking and sealant manufacturer, to be applied to sealant-contact surfaces where bond to substrate or joint filler must be

avoided for proper performance of calking and sealant. Provide self-adhesive tape where applicable.

4. Sealant Backer Rod: Compressible rod stock polyethylene foam, polyethylene jacketed polyurethane foam, butyl rubber foam, neoprene foam or other flexible, permanent, durable nonabsorptive material as recommended for compatibility with calking and sealant by calking and sealant manufacturer. Provide size and shape of rod that will control joint depth for sealant placement, break bond of sealant at bottom of joint, form optimum shape of sealant bead on back side, and provide highly-compressible backer to minimize possibility of sealant extrusion when joint is compressed.

### PART 3 - EXECUTION

#### 3.1 INSPECTION

- A. Examine joint surfaces, substrates, backing, and anchorage of units forming sealant rabbet, and conditions under which calking and sealant Work will be performed, and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work and performance of sealants. Do not proceed with calking and sealant Work until unsatisfactory conditions are corrected.

#### 3.2 PREPARATION

- A. Protection: Do not allow joint sealants to overflow or spill onto adjoining surfaces, or to migrate into voids of adjoining surfaces including rough textured materials. Use masking tape or other precautionary devices to prevent staining of adjoining surfaces, by either the primer/sealer or calking and sealant materials.
- B. Joint Surface Preparation:
  1. Clean joint surfaces immediately before installing sealant compound. Remove dirt, weakly adhering coatings, moisture and other substances that would interfere with bonds of sealant compound as recommended in sealant manufacturer's written instructions as shown on approved Shop Drawings.
  2. If necessary, clean porous materials by grinding, sandblasting, or mechanical abrading. Blow out joints with oil-free compressed air or by vacuuming joints prior to applying primer or sealant.
  3. Roughen joint surfaces on vitreous coated and similar non-porous materials, when sealant manufacturer's data indicates lower bond strength than for porous surfaces. Rub with fine abrasive cloth or steel wool to produce a dull sheen.

#### 3.3 INSTALLATION

- A. Install joint sealants after adjacent areas have been cleaned and before joint has been cleaned and primed, to ensure calking and sealant joints will not be soiled. Replace calking and sealant joints soiled after installation.
- B. Employ only proven installation techniques that will ensure that sealants are deposited in uniform, continuous ribbons without gaps or air pockets, with complete

“wetting” of joint bond surfaces equally on opposite sides. Except as otherwise indicated, fill sealant rabbet to a slightly concave surface slightly below adjoining surfaces. Where horizontal joints are between a horizontal surface and a vertical surface, fill joint to form a slight cove, so that joint will not trap moisture and dirt.

- C. Remove excess and spillage of compounds promptly as the Work progresses.
- D. Cure caulking and sealant compounds in compliance with manufacturer’s instructions and recommendations, to obtain high-early bond strength, internal cohesive strength, and surface durability.

#### 3.4 ADJUSTING AND CLEANING

- A. Where leaks and lack of adhesion are evident, replace sealant.
- B. Clean adjacent surfaces of sealant and soiling resulting from the Work. Use solvent or cleaning agent recommended by sealant manufacturer. Leave all finish Work in neat, clean condition.
- C. Protect sealants during construction so that they will be without deterioration, soiling, or damage at time of readiness for final payment of the Contract.

#### 3.5 PROTECTION

- A. During and after curing period, protect joint sealants from contact with contaminating substances and from damage resulting from construction operations or other causes, so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original Work.

+ + END OF SECTION + +

## SECTION 08 45 23

### TRANSLUCENT SKYLIGHT SYSTEM

#### PART 1 GENERAL

##### 1.1 SUMMARY

- A. Section Includes:
  - 1. Contractor shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install all skylights, canopy Work.
  - 2. Extent of skylights Work is shown.
  - 3. Types of skylights Work required includes:
    - a. Flat factory prefabricated structural insulated translucent sandwich panels.
    - b. Sealants, setting blocks, gaskets, and other miscellaneous materials.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items that must be installed with or before skylights and canopies Work.
  - 2. Notify other trades in advance of installation of skylights and canopies to provide them with sufficient time for installing their work that must be installed with or before skylights Work.
- C. Related Sections:
  - 1. Section 07 92 00 – Calking and Sealants.
  - 2. Section 09 91 00 – Painting.

##### 1.2 REFERENCES

- A. Architectural Aluminum Manufacturers Association, (AAMA).
  - 1. AAMA 2604, Specification for Performance Requirements and Tests Procedures for High Performance Organic Coatings on Aluminum Extrusions and Panels.
- B. American Society for Testing and Materials, (ASTM).
  - 1. ASTM C 297, Test Method for Flatwise Tensile Strength of Sandwich Construction.
  - 2. ASTM D 635, Test Method for Rate of Burning and/or Extent of Time of Burning of Plastics in the Horizontal Position.

3. ASTM D 1002, Test Method for Apparent Shear Strength of Single Lap-Joint Adhesively Bonded Metal Specimens by Tension Loading (Metal to Metal).
  4. ASTM D 2244, Practice for Calculation of Color Tolerances and Color Differences from Instrumentally Measured Color Coordinates.
  5. ASTM D 4060, Test Method for Abrasion Resistance of Organic Coatings.
  6. ASTM E 72, Test Method for Conducting Strength Tests of Panels for Building Construction.
  7. ASTM E 84, Method of Surface Burning Characteristics of Building Material
  8. ASTM E 108, Test Method for Fire Tests of Roof Coverings.
- C. Occupational Safety and Health Administration, (OSHA).
1. OSHA 1910.23, Guarding Floor and Wall Openings and Holes.
- D. Underwriters Laboratories (UL).
1. UL 723, Test for Surface Burning Characteristics of Building Materials.

### 1.3 SUBMITTALS

- A. Section 01 33 00 – Submittal Procedures: Requirements for submittals.
- B. Action Submittals: Provide the following:
1. Shop Drawings:
    - a. Assembly of entire skylight system, showing all dimensions, gages, finishes, location of joints, wood blocking, connections, fasteners, and locations and types of glazing gaskets, and other related items, as required. Provide detail sections of curb and skylight units.
    - b. Provide Florida Product Approval number and suitability of use for the product based on actual project location.
    - c. Provide engineer's stamped drawings showing the roof panel attachment detail for wind pressures shown on the drawings.
  2. Product Data:
    - a. Submit product test reports from a qualified independent testing agency indicating each type and class of skylight panel system complies with the project performance requirements, based on comprehensive testing of current products. Previously completed test reports will be acceptable if for current manufacturer and indicative of products used on this project. Test reports required are:
      - 1) Flame Spread and Smoke Developed (UL 723) – Submit UL Card

- 2) Burn Extent (ASTM D 635)
  - 3) Color Difference (ASTM D 2244)
  - 4) Abrasion/Erosion Resistance (ASTM D 4060)
  - 5) Impact Strength (UL 972)
  - 6) Bond Tensile Strength (ASTM C 297 after aging by ASTM D 1037)
  - 7) Bond Shear Strength (ASTM D 1002)
  - 8) Beam Bending Strength (ASTM E 72)
  - 9) Fall Through Resistance (ASTM E 661)
  - 10) Condensation Resistance Factor (AAMA 1503)
  - 11) Class A Roof Covering Burning Brand (ASTM E 108)
  - 12) ASTM #1886/1996 or TAS 201, 202, and 203
  - 13) Daylight Autonomy – Translucent panel manufacturer to provide a daylight autonomy report. In addition, a computer simulation (Radiance) report that matches a current report the Architect of record has regarding illumination levels within the space on Sept. 21 at 9 AM and 3 PM. This daylighting analysis report is required from manufacturer prior to approval for bid.
- b. Manufacturer's product literature and specifications.

- C. Informational Submittals: Provide the following:
1. Manufacturer's shop drawings and installation instructions.
  2. Contractor's procedures for protecting skylights following installation and prior to final inspection.
  3. Qualifications Statements: Provide for manufacturer and installer.

#### 1.4 QUALITY ASSURANCE

- A. Qualifications:
1. Manufacturer:
    - a. Shall have a minimum of five years experience producing substantially similar products to those specified and shall be able to document of at least five installations in satisfactory operation for at least five years.
    - b. Panel system must be listed by the International Code Council – Evaluation Service (ICC-ES) which requires quality control inspections and fire, structural and water infiltration testing of sandwich panel systems by an approved agency.
    - c. Quality control inspections and shall be conducted at least once each year and shall include manufacturing facilities, sandwich panel components and production sandwich panels for conformance with "Acceptance Criteria for Sandwich Panels" as regulated by the ICC-ES.

- d. Engage one manufacturer, with undivided responsibility for furnishing products and services for skylights Work.
  - 2. Installer:
    - a. Engage installer regularly engaged in skylight installation and with five years experience in installing types of materials required; and who employs only tradesmen with specific skill and experience in this type of Work. Submit name and qualifications of installer.
    - b. Engage one installer for all skylights Work with undivided responsibility for performance and other requirements.
  - 3. Performance Requirements: The manufacturer shall be responsible for the configuration and fabrication of the complete skylight structural panel system.
    - a. When requested, include structural analysis data signed and sealed by the qualified professional engineer responsible for their preparation in the State of Florida.
- B. Component Supply and Compatibility:
  - 1. Obtain all products included in this Section regardless of component manufacturer from a single skylight manufacturer.
  - 2. Skylight manufacturer shall prepare all Shop Drawings and other submittals for components provided under this Section.
  - 3. Components shall be specifically constructed for specified service conditions and be integrated into overall assembly by skylight manufacturer.
- C. Regulatory Requirements:
  - 1. Florida Building code 2017 (6<sup>th</sup> Edition) .

#### 1.5 DELIVERY, STORAGE AND HANDLING

- A. Section 01 65 00 – Product Delivery, Storage and Handling Requirements: Requirements for transporting, handling, storing and protection products.
- B. Packing, Shipping, Handling, and Unloading:
  - 1. Deliver products to Site to ensure uninterrupted progress of the Work. Deliver anchorage products to be embedded in concrete in ample time to prevent delaying the Work.
  - 2. Inspect all boxes, crates, and packages upon delivery to Site and notify Engineer in writing of loss or damage to products. Promptly remedy loss and damage to new condition per manufacturer's instructions.
- C. Storage and Protection:
  - 1. Keep all products off ground using pallets, platforms, or other supports. Protect aluminum, steel and packaged materials from corrosion and



deterioration.

- D. Coordinate deliveries to avoid conflict with the Work and conditions at Site, and to accommodate the following:
  - 1. Work of other trades, or Owner.
  - 2. Limitations of storage space.
  - 3. Availability of equipment and personnel for handling products.
  - 4. Owner's use of premises.
- E. Have products delivered to Site in manufacturer's original, unopened, labeled containers. Keep Engineer informed of delivery of all products to be incorporated in the Work.
- F. Clearly mark partial deliveries of component parts of equipment to identify the equipment, to permit easy accumulation of parts and to facilitate assembly.
- G. Promptly remove damaged products from the Site and expedite delivery of new undamaged products, and remedy incomplete or lost products to provide that specified, so as not to delay progress of the Work.

## 1.6 JOB CONDITIONS

- A. Conform to applicable OSHA requirements.

## 1.7 WARRANTY

- A. Submit manufacturer's and installer's written warranty agreeing to repair or replace panel system work, which fails in materials or workmanship within one year of the date of delivery. Failure of material or workmanship shall include leakage, excessive deflection, deterioration of finish on metal in excess of normal weathering, defects in accessories, insulated translucent sandwich panels and other components of the work.

## PART 2 PRODUCTS

### 2.1 SYSTEM PERFORMANCE

- A. System Description:
  - 1. Provide manufacturer's units modified as necessary to comply with the Contract Documents. Shop-fabricate each unit to greatest extent possible.
  - 2. Provide manufacturer's unit OSHA compliant fall protection in all openings.
- B. Design Criteria:

1. Standards: Comply with the following applicable standards, except when more stringent requirements are specified in the Contract Documents.
  - a. Size(s) of units: Refer to Architectural Drawings.
  - b. Slope: 2:12 pitch.
  - c. Design Loads: Refer to Structural Drawings.
- C. Windborne Debris Impact Resistance Performance
  1. Translucent panels must be impact-resistant meeting the requirements of an approved  
Impact resisting standard: ASTM E 1996 and ASTM E 1886 or TAS 201, 202 and 203.
  2. Panel System designed to meet the Missile D per ASTM E 1996.

## 2.2 MANUFACTURERS

- A. Manufacturers: Provide products of one of the following:
  1. Kalwall Corporation.
  2. Structures Unlimited, Inc.
  3. Or Approved Equal.

## 2.3 PANEL COMPONENTS

- A. Face Sheets
  1. Translucent faces: Manufactured from glass fiber reinforced thermoset resins, formulated specifically for architectural use.
    - a. Thermoplastic (e.g. polycarbonate, acrylic) faces are not acceptable.
    - b. Face sheets shall not deform, deflect or drip when subjected to fire or flame.
    - c. Face sheets shall not delaminate when exposed to 200°F for 30 minutes per IBC and NBC or 300°F for 25 minutes.
  2. Skylight Interior face sheets:
    - a. Flamespread: Underwriters Laboratories (UL) listed, which requires periodic unannounced retesting, with flamespread rating no greater than 50 and smoke developed no greater than 250 when tested in accordance with UL 723/ASTM E 84.
    - b. Burn extent by ASTM D-635 shall be no greater than 1".
    - c. Face sheets shall not deform, deflect or drip when subjected to fire or flame.
  3. Weatherability of exterior face sheets:
    - a. Skylight Color stability: Full thickness of the exterior face sheet shall not change color more than 3.0 CIE Units DELTA E by ASTM D-2244 after 5 years outdoor South Florida weathering at 5 degrees facing south, determined by the average of at least three

- (3) white samples with and without a protective film or coating to ensure long-term color stability. Color stability shall be unaffected by abrasion or scratching.
    - b. Erosion Resistance: Exterior face shall have a permanent glass erosion barrier embedded beneath the surface to provide long-term resistance to reinforcing fiber exposure. Exterior face surface loss shall not exceed .7 mils and 40 mgs when tested in accordance with ASTM D-4060 employing CS17 abrasive wheels at a head load of 500 grams for 1000 cycles. Sacrificial surface films or coatings are not acceptable erosion barriers.
    - c. Strength: Exterior face sheet shall be uniform in strength, impenetrable by hand held pencil and repel an impact equal to 70 ft. lbs. without fracture or tear when impacted by a 3-1/4" diameter, 5 lb. free-falling ball per UL 972.
  - 4. Appearance:
    - a. Exterior face sheets: Smooth, 0.060" thick and White in color.
    - b. Interior face sheets: Smooth, 0.045" thick and white in color.
    - c. Face sheets shall not vary more than +/- 10% in thickness and be uniform in color.
    - d. Strength: Exterior face sheet shall be uniform in strength, with panel meeting ASTM E1996 and ASTM E1886 or TAS 201, 202 and 203.
- B. Grid Core
- 1. Skylight: I-beam grid core shall be of 6063-T6 or 6005-T5 alloy and temper with provisions for mechanical interlocking of muntin-mullion and perimeter. Width of I- beam shall be no less than 7/16". The I-beam grid shall be machined to tolerances of not greater than +/- .002".
- C. Laminate Adhesive
- 1. Heat and pressure resin type adhesive engineered for structural sandwich panel use, with minimum 25-years field use. Adhesive shall pass testing requirements specified by the International Code Council "Acceptance Criteria for Sandwich Panel Adhesives."
  - 2. Minimum tensile strength of 750 PSI when the panel assembly is tested by ASTM C-297 after two (2) exposures to six (6) cycles each of the aging conditions prescribed by ASTM D-1037.
  - 3. Minimum shear strength of the panel adhesive by ASTM D-1002 after exposure to five (5) separate conditions:
    - a. 50% Relative Humidity at 68° F: 540 PSI
    - b. 182° F: 100 PSI
    - c. Accelerated Aging by ASTM D-1037 at room temperature: 800 PSI
    - d. Accelerated Aging by ASTM D-1037 at 182° F: 250 PSI

## 2.4     PANEL CONSTRUCTION

- A.     Skylight: Provide sandwich panels of flat fiberglass reinforced translucent face sheets laminated to a grid core of mechanically interlocking thermally broken (aluminum) I-beams. The adhesive bonding line shall be straight, cover the entire width of the I-beam and have a neat, sharp edge.
  - 1.       Thickness: 2-3/4"
  - 2.       Light transmission: 5%.
  - 3.       Solar heat gain coefficient: 0.11.
  - 4.       Grid pattern: Nominal 12" x 24" shoji.
- B.     Panels shall deflect no more than 1.9" at 30 psf in 10' 0" span without a supporting frame by ASTM E-72.
- C.     Panels shall show evidence of withstanding 1200°F fire for minimum one (1) hour without collapse or flame penetration.
- D.     Panel system shall pass Class A Roof Burning Brand Test by ASTM E-108.
- E.     Skylight system shall meet the fall through requirements of OSHA 1910.23 as demonstrated by testing in accordance with ASTM E 661, thereby not requiring supplemental screens or railings

## 2.5     BATTENS AND PERIMETER CLOSURE SYSTEM

- A.     Closure system: Extruded aluminum 6063-T6 and 6063-T5 alloy and temper clamp-tite screw type closure system.
  - 1.       Perimeter closures shall be factory sealed to panels.
- B.     Sealing tape: Manufacturer's standard, pre-applied to closure system at the factory under controlled conditions.
- C.     Fasteners: 300 series stainless steel screws for aluminum closures, excluding final fasteners to the building.
- D.     Finish: Exposed aluminum to be manufacturer's factory applied finish that meets the performance requirements of AAMA 2604.
  - 1.       Color to be selected from manufacturer's standard colors.

## PART 3 EXECUTION

### 3.1 INSPECTION

- A. Examine conditions under which products are to be installed and notify Engineer in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Section 01 70 00 – Execution and Closeout: Requirements for installation.
- B. Installation Requirements:
  - 1. Skylight:
    - a. Install skylights and related components in accordance with manufacturer's instructions, approved submittals, and the Contract Documents.
    - b. Anchor enclosures permanently to substrate by methods in accordance with approved Shop Drawings. Anchorages shall be adequate for sizes and locations of units and adequate to withstand lateral and thermal stresses and inward and outward loading pressures.
    - c. locations of units and adequate to withstand lateral and thermal stresses and inward and outward loading pressures.
  - 2. Protection of Aluminum from Dissimilar Materials: Coat all aluminum surfaces in contact with dissimilar materials, such as concrete, masonry, steel and other metals, as specified in Section 09 91 00.
  - 3. Seal all joints to provide a permanently watertight closure in accordance with Section 07 92 00.
  - 4. Clean exposed metal and plastic surfaces of skylight in accordance with manufacturer's instructions as required for preventing deterioration and uneven weathering.
  - 5. Advise Engineer in writing of protection and surveillance requirements that Contractor shall provide, at no additional cost to Owner, to ensure that skylights and canopies will be without deterioration or damage at the time of final inspection.
  - 6. Clean and polish inside and outside of skylight and canopies within five days prior to date of Substantial Completion.

### 3.3 FIELD QUALITY CONTROL

- A. Field Testing:
  - 1. After nominal cure of exterior joint sealants exposed to weather, test all

- exposed skylight joints for water leakage.
2. Flood exposed joint with water from garden hose without nozzle held perpendicular to wall face, 2.0 feet from joint. Hose shall discharge water at 30 pounds per square inch minimum pressure. Move stream of water along joint at approximate rate of 20 feet per minute.
  3. Conduct test in presence of Engineer.
  4. Criteria for Acceptance: No evidence of leakage is allowed.
  5. Repair joints that fail test and re-test until satisfactory results are achieved.

++ END OF SECTION ++

## SECTION 09 91 00

### PAINTING

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, tools, equipment, and incidentals as shown, specified, and required to furnish and apply paint systems.
  - a. CONTRACTOR is responsible for surface preparation and painting of all new and existing interior items and surfaces throughout the Project areas included under this and other Sections.
2. Extent of painting includes the Work specified below. Painting shown in schedules may not provide CONTRACTOR with complete indication of all painting Work. Refer to Article 2.2 of this Section where all surfaces of generic types specified are specified for preparation and painting according to their status, intended function, and location, using the painting system for that surface, function, and location as specified, unless specifically identified on the Drawings as a surface not to receive specified painting system.
  - a. All new and specifically identified existing surfaces and items except where natural finish of material is specified as a corrosion-resistant material not requiring paint; or is specifically shown as indicated by written note, or specified as a surface not to be painted. Where items or surfaces are not specifically mentioned, paint them the same as adjacent similar materials or areas.
  - b. Surface preparation and painting of all new and specifically identified existing items are included in the Work, except as otherwise shown or specified.

###### B. Coordination:

1. Review installation, removal, and demolition procedures under other Sections and coordinate them with the Work specified in this Section.
2. Coordinate painting of areas that will become inaccessible once equipment and similar fixed items have been installed.
3. Furnish information to ENGINEER on characteristics of finish materials proposed for use and ensure compatibility with prime coats used. Provide barrier coats over incompatible primers or remove and repaint as required. Notify ENGINEER in writing of anticipated problems using specified painting systems with surfaces primed by others. Reprime equipment primed in factory and other factory-primed items that are damaged or scratched.

- C. Related Sections:
1. Section 03 01 30, Repair and Rehabilitation of Cast-In-Place Concrete
  2. Section 07 92 00, Joint Sealants.
- D. Work Not Included: The following Work is not included as painting Work, or are included under other Sections or in other contracts:
1. Shop Priming: Shop priming of structural metal, miscellaneous metal fabrications, other metal items and fabricated components such as shop-fabricated or factory-painted process equipment, plumbing equipment, heating and ventilating equipment, electrical equipment, and accessories shall conform to applicable requirements of this Section but are included under other Sections or in other contracts.
  2. Pre-finished Items:
    - a. Items furnished with such finishes as baked-on enamel, porcelain, and polyvinylidene fluoride shall only be touched up at Site by CONTRACTOR using manufacturer's recommended compatible field-applied touchup paint.
    - b. Items furnished with finishes such as chrome plating or anodizing.
  3. Concealed Surfaces: Non-metallic wall or ceiling surfaces in areas not exposed to view, and generally inaccessible areas, such as furred spaces, pipe chases, duct shafts, and elevator shafts.
  4. Concrete surfaces below grade, unless otherwise shown or specified.
  5. Concrete floors, unless specifically shown as a surface to be painted.
  6. Exterior face of architectural concrete/masonry.
  7. Corrosion-Resistant Metal Surfaces: Where the natural oxide of item forms a barrier to corrosion, whether factory- or Site-formed, including such materials as copper, bronze, muntz metal, terne metal, and stainless steel.
  8. Operating Parts and Labels:
    - a. Do not paint moving parts of operating units, mechanical and electrical parts such as valve and damper operators, linkages, sensing devices, interior of motors, and fan shafts.
    - b. Do not paint over labels required by governing authorities having jurisdiction at Site, or equipment identification, performance rating, nameplates, and nomenclature plates.
    - c. Cover moving parts and labels during the painting with protective masking. Remove all protective masking upon completion of Work. Remove all paint, coatings, and splatter that comes in contact with such labels.
  9. Structural and miscellaneous metals covered with concrete need not receive primers, intermediate, or finish coats of paint.
  10. Existing structures, equipment, and other existing surfaces and items unless otherwise shown or specified.
- E. Description of Colors and Finishes:
1. Color Selection:
    - a. ENGINEER reserves the right to select non-standard colors for paint systems specified within ability of paint manufacturer to produce such



non-standard colors. Provide such colors at no additional expense to OWNER.

2. Color Coding of Pipelines, Valves, Equipment, and Ducts:
  - a. Color-coding of pipelines, valves, equipment and ducts shall comply with applicable standards of ANSI A13.1, ANSI Z535.1, CFR 1910.144, Recommended Standards for Water Works, and Recommended Standards for Wastewater Facilities. For piping and equipment not covered by the above standards, conform to OWNER's color standards.
  - b. For equipment located on roofs and equipment that is exposed-to-view, color will be selected by ENGINEER.

## 1.2 REFERENCES

- A. Referenced Standards: Standards referenced in this Section are:
  1. ANSI A13.1, Scheme for Identification of Piping Systems.
  2. ANSI Z535.1, Safety Color Code.
  3. ASTM D16, Terminology for Paint, Related Coatings, Materials and Applications.
  4. ASTM D2200, Pictorial Surface Preparation Standards for Painting Steel Surfaces.
  5. ASTM D4262, Testing Method for pH of Chemically Cleaned or Etched Concrete Surfaces.
  6. ASTM D4263, Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method.
  7. ASTM D4541, Test Methods for Pull-Off Strength of Coatings Using Portable Adhesion-Testers.
  8. ASTM E329, Specification for Agencies Engaged in the Testing and/or Inspection of Materials Used in Construction.
  9. Great Lakes Upper Mississippi River Board of Public Health and Environmental Managers (GLUMRB) Recommended Standards for Water Works.
  10. GLUMRB, Recommended Standards for Wastewater Facilities.
  11. Ozone Transport Commission, (OTC), OTC Model Rule for Architectural and Industrial Maintenance Coatings.
  12. SSPC PA 2, Measurement of Dry Coating Thickness with Magnetic Gages.
  13. SSPC VIS 1, Visual Standard for Abrasive Blast Cleaned Steel.
  14. SSPC VIS 2, Method of Evaluating Degree of Rusting/Painted Steel Surfaces.
  15. SSPC Volume 2, Systems and Specifications.

## 1.3 DEFINITIONS

- A. Coating terms defined in ASTM D16 apply to this Section.

## 1.4 QUALITY ASSURANCE

- A. Applicator Qualifications:
  - 1. Engage a single applicator regularly performing installation of painting systems, with documented skill and successful experience in installing types of products required and agrees to employ only tradesmen trained, skilled, and with successful experience in installing types of products specified.
- B. Testing Agency Qualifications: Provide independent testing agency with experience and capability to satisfactorily conduct testing specified in accordance with ASTM E329. Testing agency shall be selected by OWNER and paid for by CONTRACTOR.
- C. Source Quality Control:
  - 1. Obtain products from manufacturers that will provide services of a qualified manufacturer's representative at Site at commencement of painting Work to advise on products, mock-ups, installation, and finishing techniques, at completion of the Work to advise ENGINEER on acceptability of completed Work, and during course of Work as requested by ENGINEER.
  - 2. Submit "or equal" products, when proposed, with direct comparison to products specified, including information on durability, adhesion, color and gloss retention, percent solids, VOC's grams per liter, and recoatability after curing.
  - 3. "Or equal" manufacturers shall furnish same color selection as manufacturers specified, including intense chroma and custom pigmented colors in painting systems.
  - 4. Color Pigments: Provide pure, non-fading, applicable types to suit surfaces and services indicated. Comply with the following:
    - a. Lead and Chromate: Lead and chromate content shall not exceed amount allowed by authorities having jurisdiction.
    - b. Through CONTRACTOR, paint manufacturer shall notify ENGINEER of colors that are not suitable for long-term color retention in areas subject to hydrogen sulfide fume exposure.
    - c. Manufacturer shall identify colors that meet requirements of authorities having jurisdiction at Site for use in locations subject to contact with potable water or water that will be treated to become potable.
    - d. Comply with paint manufacturers' recommendations on preventing coating contact with levels of carbon dioxide and carbon monoxide that may cause yellowing during application and initial stages of curing of paint coatings.
- D. Regulatory Requirements:
  - 1. Comply with regulatory requirements of authorities having jurisdiction over the Site.
- E. Pre-Painting Conference:

1. Conduct a pre-painting conference at the Site to review specified requirements. Meeting attendees shall include painting applicator and its foreman, paint manufacturer's technical representative, installers of other work in and around painting that must follow painting Work, ENGINEER, and other representatives directly concerned with performance of painting Work.

## 1.5 SUBMITTALS

### A. Action Submittals: Submit the following:

1. Product Data:
  - a. Copies of manufacturer's technical data sheets, including surface preparation, number of coats, dry film thickness, test performance data including paint analysis, VOC and chemical component content in comparison to maximum allowed by the Contract Documents, and application instructions for each product proposed for use
  - b. Submit proof of acceptability of proposed application techniques by paint manufacturer selected.
  - c. Copies of CONTRACTOR's proposed protection procedures in each area of the Work explaining methods of protecting adjacent surfaces from splatter, for confining application procedures in a manner that allows other work adjacent to surface preparation and painting Work to proceed safely and without interruption, and for maintaining acceptable application, curing, and environmental conditions during and after painting systems application.
  - d. List each material and cross-reference to the specific painting system and application, including a list of site-specific surfaces to which painting system will be applied. Identify by manufacturer's catalog number and general classification. State number of gallons of each product being purchased for delivery to Site and square foot area calculated to be covered by each painting system specified based on theoretical loss of 20 percent. Where actual area to be covered by paint system exceeds area submitted to ENGINEER for that system, proof of additional material purchase shall be provided to ENGINEER. Calculated coverage shall be as specified for each component of each painting system specified. This requirement does not take precedence over CONTRACTOR's responsibility to provide dry film thickness required for each component of each painting system.
  - e. Identify maximum exposure times allowable for each paint system component before next coat of paint can be applied. Submit proposed methods for preparing surfaces for subsequent coats if maximum exposure times are exceeded.
  - f. Information on curing times and environmental conditions that affect curing time of each paint system component and proposed methods for accommodating variations in curing time. Identify this information for each painting system in the Work.

- g. Specification for spray equipment with cross-reference to paint manufacturer's recommended equipment requirements.
  - 2. Samples:
    - a. Copies of manufacturer's complete color charts for each coating system.
- B. Informational Submittals: Submit the following:
- 1. Certificates:
    - a. Certificate from paint manufacturer stating that materials meet or exceed Contract Documents requirements.
    - b. CONTRACTOR shall provide notarized statement verifying that all painting systems are compatible with surfaces specified. All painting systems components shall be reviewed by an authorized technical representative of paint manufacturer for use as a compatible system. Verify that all painting systems are acceptable for exposures specified and that paint manufacturer is in agreement that selected systems are proper, compatible, and are not in conflict with paint manufacturer's recommended specifications. Show by copy of transmittal form that a copy of letter has been transmitted to paint applicator.
  - 2. Test Reports:
    - a. Certified laboratory test reports for required performance and analysis testing in compliance with ASTM E329.
    - b. Adhesion testing plan and procedures.
    - c. Results of adhesion testing on existing surfaces containing paints or other coatings to be topcoated with paint systems specified. Prior to adhesion testing, submit a testing plan establishing methods, procedures and number of tests in each area where existing coatings are to remain and become substrate for painting Work. Based on results of adhesion testing, recommend methods, procedures, and painting system modifications, if necessary, for proceeding with Work.
    - d. Proposed methods for testing, handling, and disposal of waste generated during Work.
    - e. Results of alkalinity and moisture content tests performed per ASTM D4262 and ASTM D4263.
    - f. Results of film thickness, holidays, and imperfections tests.
  - 3. Manufacturer's Instructions: Provide paint manufacturer's storage, handling, and application instructions prior to commencing painting Work at Site.
  - 4. Manufacturer's Site Reports: Provide report of paint manufacturer's representative for each visit to Site by paint manufacturer's representative.
  - 5. Special Procedure Submittals:
    - a. Proposed protection procedures for each area of Work, explaining methods of protecting adjacent surfaces from splatter, for confining application procedures in a manner that allows other work adjacent to surface preparation and painting Work to proceed safely and without interruption.
    - b. Site-specific health and safety plan.

- c. Procedures for maintaining acceptable application, curing and environmental conditions during and after painting systems application.
  - d. Procedures for providing adequate lighting, ventilation, and personal protection equipment relative to painting Work.
- 6. Qualifications:
  - a. Applicator.
  - b. Testing laboratory
- C. Closeout Submittals: Submit the following:
  - 1. Maintenance Manual: Upon completion of the painting Work, furnish ENGINEER five copies of detailed maintenance manual including the following information:
    - a. Complete and updated product catalog of paint manufacturer's currently available products including complete technical information on each product. Identify product names and numbers of each product used in the painting Work.
    - b. Name, address, e-mail address and telephone number of manufacturer, local distributor, applicator and technical representative.
    - c. Detailed procedures for routine maintenance and cleaning.
    - d. Detailed procedures for light repairs such as dents, scratches and staining.
  - 2. Statement of Application: Upon completion of the painting Work, submit a notarized statement to ENGINEER signed by CONTRACTOR and painting applicator stating that Work complies with requirements of the Contract Documents and that application methods, equipment, and environmental conditions were proper and adequate for conditions of installation and use.

## 1.6 DELIVERY, STORAGE AND HANDLING

- A. Product Delivery Requirements: Deliver products to Site in original, new, and unopened packages and containers, accurately and legibly and accurately labeled with the following:
  - 1. Container contents, including name and generic description of product.
  - 2. Manufacturer's stock number and date of manufacture.
  - 3. Manufacturer's name.
  - 4. Contents by volume, for major pigment and vehicle constituents.
  - 5. Grams per liter of volatile organic compounds.
  - 6. Thinning instructions, where recommended.
  - 7. Application instructions.
  - 8. Color name and number.
- B. Product Storage Requirements:
  - 1. Store acceptable materials at Site.
  - 2. Store in an environmentally controlled location as recommended in paint manufacturer's written product information. Keep area clean and accessible. Prevent freezing of products.

3. Store products that are not in actual use in tightly covered containers.
4. Comply with health and fire regulations of authorities having jurisdiction at Site.

C. Product Handling Requirements:

1. Handle products in a manner that minimizes the potential for contamination, or incorrect product catalyzation.
2. Do not open containers or mix components until necessary preparatory work has been completed and approved by ENGINEER and painting Work will start immediately.
3. Maintain containers used in storing, mixing, and applying paint in a clean condition, free of foreign materials and residue.

## 1.7 SITE CONDITIONS

A. Site Facilities:

1. Supplemental heat sources, as required to maintain both ambient and surface temperatures within range recommended by paint manufacturer for paint system applications, are not available at the Site.
2. Provision of supplemental heat energy sources, power, equipment, and operating, maintenance, and temperature-monitoring personnel is CONTRACTOR's responsibility.
3. Do not use heat sources that emit carbon dioxide or carbon monoxide into areas being painted. Properly locate and vent heat sources to exterior so that paint systems and personnel are unaffected by exhaust products.

B. Existing Conditions:

1. Existing surfaces to receive painting Work shall have their surfaces prepared to meet requirements of painting systems specified. Prior to initiating painting Work, perform adhesion tests on existing surfaces to be painted. Perform testing per ASTM D4541 or other method acceptable to ENGINEER. Number and location of tests shall be sufficient to determine the condition of existing coatings and suitability of existing coatings to remain to provide an acceptable substrate for new coatings. Submit testing plan prior to testing and provide ENGINEER the adhesion test results.
2. Provide abrasive blasting, scraping, or other abrading or surface film removal, or preparatory techniques accepted by ENGINEER.
3. Before commencing painting in an area, surfaces to be painted and floors shall be cleaned of dust using commercial vacuum cleaning equipment equipped with high-efficiency particulate air (HEPA) filters and dust containment systems.
4. After painting operations have started in a given area, cleaning only with commercial vacuum cleaning equipment with high-efficiency particulate air (HEPA) filters and dust containment systems.

C. Environmental Requirements:

1. Comply with manufacturer's published requirements.

D. Protection:

1. Cover or otherwise protect finished Work of other trades and those surfaces not being painted concurrently and not to be painted.
2. During surface preparation and painting, facility shall remain in operation. Use procedures that prevent contamination of process or cause or require facility shutdown.
3. Coordinate and schedule surface preparation and painting to avoid exposing personnel to hazards associated with painting Work. Provide required personnel safety equipment per requirements of authorities having jurisdiction at Site.
4. Submit protection procedures to be employed. Do not begin surface preparation and painting Work until ENGINEER accepts protection techniques proposed by CONTRACTOR.
5. When working with flammable materials, provide fire extinguishers and post temporary signs warning against smoking and open flame.

## PART 2 - PRODUCTS

### 2.1 PAINTING SYSTEM MANUFACTURERS

- A. Products and Manufacturers: Where referenced under painting systems, provide painting systems manufactured by the following:
1. Tnemec Company, Incorporated (TCI).
  2. The Carboline Company, part of StonCor Group, an RMP Company (TCC).
  3. Sherwin-Williams Company (SWC).
  4. Or equal.

### 2.2 PAINTING SYSTEMS

- A. New and Existing Concrete associated with concrete and masonry walls at Sodium Hypochlorite tank storage room concrete and masonry walls, floor, walkways, trench at Sodium Hypochlorite tank room. Low VOC Content; Non Submerged, Intermittently Submerged and Submerged, Interior and Exterior:
1. Provide painting system components specified for all cast-in-place concrete surfaces within area of the Sodium Hypochlorite tank storage room beginning at the bottom slab and extending to 2'-0" above the walkway surface roof, including troughs, partial walls, walkways, and other locations shown and required.
  2. Surface Preparation: Refer to Part 3.2 and manufacturer's published recommendations for additional material and surface condition requirements.
    - a) Remove all existing paint, grease, oil, dirt, dust, mold, mildew, and other contaminants. At a minimum, high pressure water washed or hydro blasted all surfaces. High pressure wash shall be a minimum of 3,500 pounds per square inch at a rate of 3 to 5 gallons per minute. High pressure and hydro water shall be potable water. A cleaning

detergent such as trisodium phosphate may be utilized to facilitate cleaning.

- b) Shot-blast, abrasive blast or mechanically abrade to remove all existing coating, laitance, curing compounds, sealers and other contaminants and provide a uniform surface profile equal to a minimum ICRI-CSP5.
- c) All bare concrete/masonry surfaces shall have a minimum pH of 9 prior to the application of the coating system.
- d) Apply one coat of Xypex or equal waterproofing concentrate at a rate of 1/16" or 1.5 lbs per square yard to all concrete walls below grade. Allow the Xypex or equal waterproofing concentrate to achieve an initial set, but while it is still green, apply (1) coat of Xypex modified at a rate of 1/16" or 1.5 lbs per square yard. Cure the waterproofed surface per manuf. recommendation.
- e) Allow waterproofed surface to set for minimum 28 days, re-abrade the waterproof surface to provide a surface provide equal to ICRI-CSP3.
- f) Prior to application of the base coat, verify that the moisture vapor transmission is no longer an issue per ASTM D4263.
- g) All surfaces shall be clean and dry prior to the application of the coating system in accordance to manufacturer's requirements.

3. Primer: Interior:

- a. Generic Components:
  - 1) Minimum 61 percent volume solids, moisture curing urethane or modified aromatic polyurethane zinc-rich primer, 334 grams per liter VOC, maximum.
- b. Products and Manufacturers: Provide one of the following:
  - 1) Series 61-5002 Tneme-Liner (TCI); Corobond 100 Primer (SWC); or equal: One coat, 6-10 mils dry mils. Randomly broadcast 30/50 mesh sand into the base coat for Floors and walkway areas for slip protection.

4. Finish: Semi-Gloss; Interior:

- a. Generic Components:
  - 1) Minimum 67 percent solids, polyamindoamine epoxy; 8 grams per liter VOC.
- b. Products and Manufacturers: Provide one of the following:
  - 1) Series 61-5001 Tneme-Liner (TCI) One coat, 10 mils dry mils or Cor-crete HCR (FF) (SWC) two coats, 15 mils dry mils per coat or equal.

B. New and Existing Ferrous Metals; Low VOC Content, Interior:

- 1. Surface Preparation: Comply with manufacturer's published recommendations for material and surface condition.
- 2. Spot Prime:
  - a. Products and Manufacturers: Provide one of the following:
    - 1) Series V10 (TCI); Plastite 140 S (TCC); Dura-Plate UHS NSF (SWC): one coat, 2 to 3.5 dry mils, per coat.



3. Full Prime:
  - a. Products and Manufacturers: Provide one of the following:
    - 1) Series 113 Tneme-Tufcoat (TCI); Plastite 140 S (TCC); Dura-Plate UHS NSF (SWC): one coat, 4 to 6 dry mils, per coat.
4. Finish Coat:
  - a. Products and Manufacturers: Provide one of the following:
    - 1) Series 113 Tneme-Tufcoat (TCI); Plastite 140 S (TCC); Dura-Plate UHS NSF (SWC): one coat, 4 to 6 dry mils, per coat.
- C. New and Existing Aluminum in Contact with Dissimilar Materials:
  1. Surface Preparation: Refer to surface preparation and manuf. requirements.
  2. Primer/Finish:
    - a. Generic Components:
      - 1) Minimum 100 percent volume solids, high-build, two-component, polyamido-amine or polyamine epoxy; 49 grams per gallon VOC, maximum.
    - b. Products and Manufacturers: Provide one of the following:
      - 1) Series 165 Epoxoline 100 (TCI); Carboguard 954 HB (TCC); Dura-Plate UHS (SWC): Two coats, 8.0 to 15.0 dry mils, per coat.

## 2.3 CALKING AND SEALANTS

- A. Refer to Section 07 92 00, Joint Sealants.

## 2.4 INSTRUMENTS

- A. Instruments:
  1. Provide one new dry-film thickness gauge for checking film thickness, one holiday detector to detect holidays or holes in the coating, and one set of visual standards to check surface preparation. Calibrate dry film thickness gauge at Site using Bureau of Standards standard shim blocks.
  2. Products and Manufacturers: Provide the following:
    - a. Film Thickness Testers: Model FM-III manufactured by Mikrotest, or equal.
    - b. Holiday detector shall be Model M-1 as manufactured by Tinker & Rasor, or equal.
    - c. Visual Standards: ASTM D2200, Swedish Standards, SSPC VIS 1.

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. Examine areas and conditions under which painting Work is to be performed and notify ENGINEER in writing of conditions detrimental to proper and timely completion of Work. Do not proceed with Work until unsatisfactory conditions have been corrected in a manner acceptable to ENGINEER.

- B. Do not paint over existing paint where there is no assurance that existing paint will provide an acceptable surface for long-term adherence and durability of painting systems specified, or where paint manufacturer requires removal of all existing paint to recommend use of specified painting system.

### 3.2 PROTECTION OF PROPERTY AND STRUCTURES

- A. Protect property and structures adjacent to the Work from waste residues resulting from cleaning, surface preparation, and painting Work.
- B. Use shrouding, vacuum blasting, or other acceptable methods for cleaning and surface preparation of exterior surfaces.
- C. During blast cleaning and surface preparation of interior and exterior surfaces, control exhausting of dust and grit using shrouding, negative-pressure containment/dust collection systems, or other means to protect adjacent property and structures and prevent dust and grit from escaping. Similarly, control removal and temporarily store residues to protect adjacent property and structures.
- D. For painting of exterior surfaces, use rollers, shrouding, or other acceptable methods as required to protect adjacent property and structures from wind-blown paint residues.
- E. Submit proposed procedures for cleaning, surface preparation, and paint application that describe in detail methods to be used to protect adjacent property and structures from residues. Do not proceed with cleaning, surface preparation, or painting until proposed procedures are accepted by ENGINEER.

### 3.3 MATERIALS PREPARATION

- A. General: Mix and prepare painting products in strict accordance with paint manufacturer's product data sheets.

### 3.4 APPLICATION

- A. General:
  - 1. Apply paint systems by brush, roller, or airless spray per paint manufacturer's recommendations and in compliance with Paint Application Specifications No. 1 in SSPC Volume 2, where applicable, and in strict accordance with paint manufacturer's product data sheets.
  - 2. Surfaces of items not normally exposed-to-view do not require same color as other components of system of which they are a part, but require same painting system specified for exposed surfaces of system.
  - 3. Paint interior surfaces of ducts, where visible through registers or grilles, with a flat, non-specular black paint before final installation of registers or grilles.

4. Paint backs of access panels and removable or hinged covers to match exposed surfaces.
  5. Omit field-applied primer on metal surfaces that have been primed in the shop. Touch-up paint to shop-primed coats and pre-finished items only when approved by ENGINEER using compatible primers and paint manufacturer's recommended compatible field-applied finishes.
  6. Welds shall be stripe-coated with intermediate or finish coat of paint after application of prime coat.
- B. Minimum/Maximum Paint Film Thickness: Comply with manufacturer's published recommendations for coating type and surface.
- C. Scheduling Surface Preparation and Painting: Comply with manufacturer's published recommendations for coating type and surface.
- D. Prime Coats: Recoat primed and sealed walls and ceilings where there is evidence of suction spots or unsealed areas in first coat, to result in a finish coat with no burn-through or other defects caused by insufficient sealing.
- E. Pigmented (Opaque) Finishes: Completely cover to provide an opaque, smooth surface of uniform finish, color, appearance, and coverage.
- F. Brush Application:
1. Brush-out and work all brush coats onto the surfaces in an even film. Cloudiness, spotting, holidays, laps, brush marks, runs, sags, ropiness, or other surface imperfections are unacceptable. Neatly draw all glass and color break lines.
  2. Brush-apply all primer or first coats, unless otherwise allowed to use mechanical applicators.
- G. Mechanical Applicators:
1. Use mechanical methods for applying paint when allowed by applicable ordinances, paint manufacturer, and approved by ENGINEER.
  2. Limit roller applications, if approved by ENGINEER, to interior wall finishes for second and third coats. Apply each roller coat to provide equivalent hiding as brush-applied coats.
  3. Where spray application is used, apply each coat to provide equivalent hiding of brush-applied coats. Do not double back with spray equipment for purpose of building up film thickness of two coats in one pass.
- H. Completed Work: Match approved samples for color, texture, and coverage. Remove, refinish, or repaint Work not in compliance with specified requirements as required by ENGINEER.

### 3.5 FIELD QUALITY CONTROL

- A. Notify ENGINEER after completing each coat of paint. After inspection and checking of film thickness, holidays, and imperfections, and after acceptance by ENGINEER, proceed with succeeding coat. Perform testing using testing instruments specified in Article 2.4 of this Section.
  - 1. ENGINEER will witness all testing and shall be notified of scheduled testing at least twenty-four hours in advance.
  - 2. Apply additional coats, if required, to produce specified film thickness and to correct holidays and to completely fill all surface air holes.
- B. For magnetic substrates, measure thickness of dry film nonmagnetic coatings following recommendations of SSPC PA-2. These procedures supplement manufacturers' approved instructions for manual operation of measurement gauges and do not replace such instructions.
- C. Record time, location, number of coats, dry film thickness, holidays, and other imperfections and submit testing results to ENGINEER.

### 3.6 PROTECTION

- A. Provide "Wet Paint" signs as required to protect newly painted finishes. After completing painting Work, remove temporary protective wrappings provided for protection of the Work.

### 3.7 ADJUSTMENT AND CLEAN-UP

- A. Correct damage to work of other trades by cleaning, repairing or replacing, and repainting, as acceptable to ENGINEER.
- B. During progress of the Work, remove from Site all discarded paint products, rubbish, cans, and rags at end of each workday.
- C. Upon completion of painting, clean paint-spattered surfaces. Remove spattered paint by proper methods of washing and scraping, using care not to scratch or otherwise damage finished surfaces.
- D. At completion of Work of other trades, touch-up and restore all damaged or defaced painted surfaces as determined by ENGINEER.

+ + END OF SECTION + +

## SECTION 26 05 05

### GENERAL PROVISIONS FOR ELECTRICAL SYSTEMS

#### PART 1 GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals shown, specified, and required to complete the electrical Work.
2. Temporary Utilities: (Not Used).
3. Demolition: Electrical demolition shall be in accordance with Section 02 41 00 – Demolition.
4. Utility Companies: (Not Used).

###### B. Coordination:

1. Review installation procedures and schedules under other Specification Sections and coordinate with other trades the installation of electrical items that will be installed with or within formwork, walls, partitions, ceilings, and panels.
2. Coordination and Intent of Electrical Drawings:
  - a. Dimensions on Drawings related to equipment are based on equipment of certain manufacturers. Verify the dimensions of equipment furnished to space available at the Site and allocated to the equipment.
  - b. Drawings show the principal elements of the electrical Work, and are not intended as detailed working drawings for the electrical Work. Drawings supplement and complement the Specifications and other Contract Documents relative to principal features of electrical systems.
  - c. Equipment and devices provided under this Contract shall be properly connected and interconnected with other equipment and devices for successful operation of complete systems, whether or not all connections and interconnections are specifically mentioned or shown in the Contract Documents.
  - d. Drawings are provided for CONTRACTOR's guidance in fulfilling the intent of the Contract Documents. CONTRACTOR shall comply with Laws and Regulations, including safety and electrical codes, and provide materials, equipment, appurtenances, and specialty items necessary for complete and operable systems.
3. Obtain from OWNER record drawings required to execute the Work.
4. Field Coordination:
  - a. Provide materials, equipment, and services to interface with existing circuits. Field-verify system and equipment requirements prior to modifying existing systems.
  - b. Coordinate the interface of equipment with OWNER's personnel and field conditions.

- c. Field-compare existing starter and panel control circuit terminations from record documents with existing circuits.
  - d. Field-trace existing circuits as required to interface the equipment provided.
  - e. Field-identify terminations for starters and panel controls for follow function for re-connection.
- C. Related Sections:
  - 1. Section 02 41 00 – Demolition.
  - 2. Section 03 00 05 – Concrete
  - 3. Section 05 05 33 – Anchor Systems.
  - 4. Section 09 91 00 – Painting.
- D. Work Included in This Contract but Specified Elsewhere: (Not Used).
- E. Materials and Equipment Installed by CONTRACTOR but Furnished by Others: (Not Used).
- F. Area Classifications:
  - 1. Materials, equipment, and incidentals shall be suitable for the area classification(s) shown, specified, and required.
  - 2. Wet Locations: Comply with NEC and NEMA requirements for wet locations. Enclosures in wet locations shall comply with NEMA 4 unless specified otherwise.
  - 3. Corrosive Locations: Comply with NEC and NEMA requirements for corrosive locations. Enclosures in corrosive locations shall conform to NEMA 4X requirements unless specified otherwise.
  - 4. Hazardous Locations: (Not Used).
  - 5. Dusty Locations: (Not Used)

## 1.2 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Electrical Subcontractor:
    - a. Electrical Subcontractor shall have not less than five years experience installing electrical systems of the types required for the Project.
    - b. Electrical Subcontractor shall possess a valid electricians' and contractors' license in the jurisdiction where the Site is located.
    - c. Submit the following information for not less than three successful, completed projects: project name and location; year completed; name and contact information for: prime contractor for whom electrical Subcontractor worked, project owner, and project engineer or architect, including addresses and telephone numbers.
  - 2. Wiring Coordinator: (Not Used).
- B. Component Supply and Compatibility:
  - 1. Materials and equipment similar to each other shall be from the same manufacturer for uniformity.

C. Regulatory Requirements:

1. Permits: Refer to the General Conditions, Supplementary Conditions, and other parts of the Contract Documents for responsibilities relative to obtaining and paying for permits, licenses, and inspection fees.
2. Codes: (Not Used).

1.3 SUBMITTALS

A. General:

1. To the extent practical, submit Shop Drawings and other CONTRACTOR submittals for each Specification Section into the smallest number of submittals possible. Do not furnish partial submittals.
2. Review of equipment submittals does not relieve CONTRACTOR of responsibility for providing complete and successfully operating systems.
3. Shop Drawings and other CONTRACTOR submittals shall be submitted in Adobe Portable Document Format (PDF) and shall be searchable.

B. Action Submittals: Submit the following:

1. Shop Drawings:
  - a. Internal wiring diagram and drawings indicating all connections to components and numbered terminals for external connections.
  - b. Dimensioned plan, section, elevations, and panel layouts showing means for mounting, conduit connection, and grounding.
  - c. List of components including manufacturer's name and catalog number (or part number) for each.
2. Product Data:
  - a. Manufacturer's name and product designation or catalog number.
  - b. Electrical ratings.
  - c. Manufacturer's technical data and specifications.
  - d. Manufacturer's indication of compliance with applicable reference standards.
  - e. Painting and coating systems proposed.
3. Test Procedures: Proposed testing procedures and testing limitations for source quality control testing and field quality control testing.

C. Informational Submittals: Submit the following:

1. Manufacturer's Instructions:
  - a. Installation data and instructions.
  - b. Instructions for handling, starting-up, and troubleshooting.
2. Source Quality Control Submittals: Results for required shop testing.
3. Field Quality Control Submittals: Results for required field testing.
4. Qualifications:
  - a. Electrical Subcontractor.

D. Closeout Submittals: Submit the following:

1. Record Documentation:
  - a. System Record Drawings: Include the following:

- 1) One-line wiring diagram of the electrical distribution system.
  - 2) Actual, in-place conduit and cable layouts with schedule of conduit sizes and number, and size of conductors.
  - 3) Layouts of the power and lighting arrangements and the grounding system.
  - 4) Control schematic diagrams, with terminal numbers and control devices identified, for all equipment.
  - 5) As Built Electrical Drawings.
- b. Record documents shall indicate final equipment and field installation information.

#### 1.4 PRODUCT DELIVERY, STORAGE, AND HANDLING: (Not Used).

### PART 2 PRODUCTS

#### A. Performance Criteria:

1. Electrical equipment shall be capable of operating successfully at full-rated load, without failure, with ambient outside air temperature of 30 degrees F to 120 degrees F and an elevation of 100 feet above mean sea level.
2. Unless specified otherwise, electrical equipment shall have ratings based on 75 degrees C terminations.

#### B. Testing Laboratory Labels: Electrical material and equipment shall bear the label of Underwriters' Laboratories, Inc. or other nationally recognized, independent testing laboratory, where standards have been established and label service applies.

### PART 3 EXECUTION

#### 3.1 INSPECTION

#### A. Examine conditions under which Work will be performed and notify ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with Work until unsatisfactory conditions are corrected.

#### 3.2 INSTALLATION

#### A. General:

1. Install materials and equipment in accordance with the Contract Documents, Laws and Regulations, approved (and accepted, as applicable) Shop Drawings and other CONTRACTOR submittals, and manufacturer's recommendations.
2. Provide tools and equipment required to trace circuits necessary for proper execution of the Work.
3. Define and identify all wiring, circuit terminations, and equipment to be modified to ensure proper interface of components. The Contract Price includes all costs associated with field services specified for a complete and functional system.

#### B. Staging, Sequencing, and Coordination with Existing Facilities:



1. Schedule, sequence, and install materials and equipment in accordance with Section 01 14 16 – Coordination with Owner’s Operations
2. Perform the Work in a manner that will not interfere with the existing equipment and facilities or cause interruption of the functions of the Site, unless specified otherwise or otherwise allowed by OWNER.
3. When operation of existing facilities and Site is disrupted due to CONTRACTOR’s operations, comply with Section 01 14 16 – Coordination with Owner’s Operations, unless otherwise allowed by OWNER.
4. Where the Work ties in with existing installations, take precautions and provide safeguards in connecting the Work to existing operating circuits to prevent interruption to existing circuits. Connection of Work to existing circuits shall be performed in the presence of OWNER and ENGINEER.
5. Interruptions of existing circuits, not addressed in Section 01 14 16, Coordination with Owner’s Operations, shall be coordinated with the OWNER who will determine the length of time a circuit may be de-energized to maintain the OWNER’s processes in dependable and safe operation.

### 3.3 FIELD QUALITY CONTROL

#### A. Field Quality Control – General:

1. Perform field quality control for electrical Work in accordance with the Contract Documents.

#### B. Site Tests:

1. Prior to requesting certificate of Substantial Completion, demonstrate to ENGINEER that electrical systems and electrically-operated equipment installed or modified under the Contract operates in accordance with the Contract Documents and operates as required
2. Perform the following operational tests on electrical systems:
  - a. Operate power circuits to verify proper operation and connection to electrical systems materials and equipment, including mechanical key-interlocks for circuit breakers.
  - b. Operate control circuits, including pushbuttons, indicating lights, and similar devices, to verify proper connection and function. Operate all devices, such as pressure switches, flow switches, and similar devices, to verify that shutdowns and control sequences operate as required.
3. Prepare and submit report on the equipment demonstration and operating field quality control tests. Report shall include complete information on the tests performed and results.

#### C. Manufacturer’s Services:

1. Furnish at the Site qualified, factory-trained representative(s) of equipment manufacturers for the services indicated in the Contract Documents.

++END OF SECTION++

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## SECTION 26 05 19

### LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

#### PART 1 GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals shown, specified, and required to furnish and install low-voltage conductors and cabling.
  - 2. Types of cabling required include:
    - a. Insulated cable for installation in raceways.
- B. Related Sections:
  - 1. Section 26 05 53 – Identification for Electrical Systems.
- C. Work Included but Specified Elsewhere: (Not Used).

##### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ANSI/NETA ATS, Acceptance Testing Specifications for Electrical Power Equipment and Systems.
  - 2. ASTM B3, Specification for Soft or Annealed Copper Wire.
  - 3. ASTM B8, Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard or Soft.
  - 4. UL 44, Thermoset-Insulated Wires and Cables.
  - 5. UL 1277, Electrical Power and Control Tray Cables with Optional Optical-Fiber Members

##### 1.3 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with the following:
  - 1. NEC Article 300, Wiring Methods.
  - 2. NEC Article 310, Conductors for General Wiring.

##### 1.4 SUBMITTALS

- A. All files associated with shop drawings and product data shall be submitted as adobe PDF with searchable function. This allows reviewer to search the document for keywords.
- B. Action Submittals: Submit the following:
  - 1. Product Data:

- a. Manufacturer's literature, specifications, and engineering data for low volt insulated cable proposed for use.
- C. Informational Submittals: Submit the following:
  - 1. Field Quality Control Submittals:
    - a. Written results of field insulation resistance tests.

## PART 2 PRODUCTS

### 2.1 MATERIALS

- A. Insulated Cable in Raceways:
  - 1. Application: Use for circuits located indoors and outdoors.
  - 2. Manufacturers: Provide products of one of the following:
    - a. Southwire.
    - b. The Okonite Company.
    - c. Encore Wire Corporation.
    - d. General Cable.
    - e. Cerrowire.
    - f. Or equal.
  - 3. Single conductor copper cable that are used in raceways for feeder and branch circuits shall be 98% conductive complying with ASTM B3 and ASTM B8 with flame-retardant, moisture- and heat-resistant insulation rated for 90 degrees C in dry or wet locations, listed by UL as Type XHHW-2 or USE-2 or RHW-2 complying with UL 44. Insulation shall be 600V.
  - 4. Wire Sizes: Not smaller than No. 12 AWG for power and lighting, No. 14 AWG for 120-volt control circuits.
  - 5. Stranding: 600-volt cable shall be stranded, #10 AWG and larger except that solid cable, # 12 AWG and smaller may be used for lighting circuits. Insulation shall be 600V insulation.
- B. Cable for Installation in Trays: (Not Used).
- C. Direct-Burial Cable: (Not Used).
- D. Direct-Burial Cable Duct: (Not Used).
- E. Metal Clad Cable: (Not Used).
- F. Metal Sheathed Cable: (Not Used).
- G. Variable Frequency Drive Power Cables: (Not Used).
- H. Cable Connectors, Solderless Type:
  - 1. Products and Manufacturers: Provide products of one of the following:
    - a. Thomas & Betts Sta-Kon.
    - b. Hubbell Burndy Hylug.
    - c. Or equal.

2. For wire sizes No. 4 AWG and above, use either compression type or bolted type with tin-plated contact faces.
3. For wire sizes up to and including No. 6 AWG, use compression type. Alarm and control wire shall be terminated using forked type connectors at terminal boards.
4. For wire sizes No. 250 KCMIL and larger, use connectors with at least two cable clamping elements or compression indents and provision for at least two bolts for joining to apparatus terminal.
5. Properly size connectors to fit fastening device and wire size. Connectors shall be rated for 90 degree C, 600 volts.

I. Cable Splices: (Not Used).

J. Wire Pulling Compound:

1. Apply cable pulling lubricant to the conductors as they are being pulled into the conduit.

K. Wire and Cable Markers:

1. Provide wire and cable markers in accordance with Section 26 05 53, Identification for Electrical Systems.

## 2.2 SOURCE QUALITY CONTROL

A. Factory Tests:

1. Factory-test wire and cable in accordance with UL standards.

## PART 3 EXECUTION

### 3.1 INSTALLATION

A. Install cables complete with proper terminations at both ends. Check and correct for proper phase sequence and proper motor rotation.

B. Pulling:

1. Use insulating types of pulling compounds containing no mineral oil.
2. Pulling tension shall be within limits recommended by wire and cable manufacturer.
3. Use dynamometer where mechanical means are used.
4. Cut off section subject to mechanical means.

C. Bending Radius: Limit to minimum of six times cable overall diameter.

D. Slack: Provide maximum slack at all terminal points.

E. Splices: (Not Used).

F. Identification:

1. Identify conductors in accordance with Section 26 05 53, Identification for electrical Systems.
2. Identify power conductors by circuit number and phase at each terminal or splice location.
3. Identify control and status wiring using numeral tagging system.

G. Color-code power cables as follows:

1. No. 8 AWG and Smaller: Provide colored conductors.
2. No. 6 AWG and Larger: Apply general purpose, flame retardant tape at each end, wrapped in overlapping turns to cover an area of at least two inches.
3. Colors: Match color scheme in use at the Site. If the Site does not have an existing color scheme, use the following colors:

System	Conductor	Color
All Systems	Equipment Grounding	Green (208Y/120) Green with White Stripe (480V Systems)
240/120 Volts Single-Phase, Three-Wire	Grounded Neutral One Hot Leg Other Hot Leg	White Black Red
208Y/120 Volts Three-Phase, Four-Wire	Grounded Neutral Phase A Phase B Phase C	White Black Red Blue
240/120 Volts Three-Phase, Four-Wire Delta, Center Tap Ground on Single-Phase	Grounded Neutral Phase A High (wild) Leg Phase C	White Black Orange Blue
480Y/277 Volts Three-Phase, Four-Wire	Grounded Neutral Phase A Phase B Phase C	Gray Brown Orange Yellow

H. Wiring Methods:

Environmental Condition	Raceways	Wire	Enclosures	Supports and Hangers
Dry	RMC	XHHW-2	NEMA 1, IP10	12-gage carbon steel, Note 1
Damp or Non-Submersible Wet	RSC-PVC	RHW-2	NEMA 3R, IP44	12-gage AL (Alloy 6063-T6)
Submersible Wet	RSC-PVC	RHW-2	NEMA 6P, IP67	12-gage AL Alloy 6063-T6
Dusty	IMC	XHHW-2	NEMA 12, IP52	12-gage carbon steel, Note 1
Corrosive	RMC-AL	RHW-2	NEMA 4X, IP66	12-gage AL Alloy 6063-T6

Class I, Div 1, Indoor	IMC	XHHW-2	NEMA 7	12-gage carbon steel, Note 1
Class I, Div 1, Outdoor	IMC	XHHW-2	NEMA 8	12-gage AL Alloy 6063-T6
Class I, Div 2	IMC	XHHW-2	NEMA 4X, IP66	12-gage carbon steel, Note 1

Note 1: ASTM A1011/A1011M, painted with an electroplated epoxy base paint.

### 3.2 FIELD QUALITY CONTROL

#### A. Site Tests:

1. Test each electrical circuit after permanent cables are in place, to demonstrate that circuit and equipment are connected properly and will perform satisfactorily, free from improper grounds and short circuits.
2. Individually test 600-volt cable mechanical connections after installation and before they are put in service, with calibrated torque wrench. Values shall be in accordance with manufacturer's recommendations.
3. Individually test 600-volt cables for insulation resistance between phases and from each phase to ground. Test after cables are installed and before they are put in service, with Megger for one minute at voltage rating recommended by cable manufacturer or in accordance with ANSI/NETA ATS recommendations.
4. Insulation resistance for each conductor shall not be less than value recommended by cable manufacturer. Cables not meeting recommended value or that fail when tested under full load conditions shall be replaced with a new cable for full length.

++END OF SECTION++

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## SECTION 26 05 29

### HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

#### PART 1 GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install hangers and supports for electrical systemns.
2. Area Classifications: Materials shall be suitable for the area classification(s) shown or indicated on the Drawings, and specified in Section 26 05 05, General Provisions for Electrical Systems.

###### B. Related Sections:

1. Section 05 05 33, Anchor Systems.
2. Section 26 05 05, General Provisions for Electrical Systems.
3. Section 26 05 33.13, Rigid Conduits.

##### 1.2 REFERENCES

###### A. Standards referenced in this section are:

1. ASTM A123/A123M, Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products.
2. ASTM A1011/A1011M, Standard Specification for Steel, Sheet and Strip, Hot-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, and Ultra-High Strength.
3. ASTM E84, Standard Test Method For Surface Burning Characteristics of Building Materials

##### 1.3 SUBMITTALS

###### A. All files associated with shop drawings and product data shall be submitted as Adobe PDF with searchable function. This allows reviewer to search the document for keywords.

###### B. Action Submittals: Submit the following:

1. Shop Drawings:
  - a. Detailed installation drawings showing dimensions and compatibility with proposed layout.
2. Product Data:
  - a. Manufacturer's name, product designation, and catalog number of each material item proposed for use.

- b. Manufacturer's specifications including material, dimensional and weight data, and load capacity for each supporting system component proposed for use.
  - c. Pictorial views and corresponding identifying text of each component proposed for installation.
  - d. Documentation that confirms product compatibility with Laws and Regulations.
- C. Informational Submittals: Submit the following:
  - 1. Certifications:
    - a. Submit certifications required under this Section.
  - 2. Manufacturer's Instructions:
    - a. Manufacturer's installation instructions, including recommended tightening torque values for all nuts and bolts.

## PART 2 PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Provide products of one of the following:
  - 1. Eaton B-Line.
  - 2. Thomas & Betts Kindorf.
  - 3. Unistrut
  - 4. Or equal.

### 2.2 MATERIALS

- A. Strut, Fittings, and Accessories:
  - 1. General
    - a. Unless otherwise shown or indicated, strut shall be 1-5/8 inches by 1-5/8 inches. Double struts shall be two pieces of the same strut, welded back-to-back at the factory.
    - b. Attachment holes, when required, shall be factory-punched on hole centers approximately equal to the cross-sectional width and shall be 9/16-inch diameter.
    - c. Fittings, braces, brackets, hardware, and accessories shall be Type 316 stainless steel.
    - d. Strut nuts shall be spring captured Type 316 stainless steel.
    - e. Square and round washers shall be Type 316 stainless steel.
  - 2. Strut materials shall be suitable for area classifications indicated in Section 26 05 05, General Provisions for Electrical Systems, and shown or indicated on the Drawings.
    - a. Dusty Locations: (Not Used)
    - b. Wet Locations:
      - 1) Strut shall be 12-gage aluminum (Alloy 6063-T6).
    - c. Corrosive Locations:
      - 1) Strut shall be 12-gage aluminum (Alloy 6063-T6).

- B. Hanger Rods:
  - 1. Material:
    - a. Dry Locations: (Not Used).
    - b. Wet, Corrosive, or Hazardous Areas: Stainless steel.
  - 2. Size: Not less than 3/8-inch diameter, unless otherwise shown on the Drawings or specified.
- C. Beam Clamps for Attaching Threaded Rods or Bolts to Beam Flanges for Hanging Struts or Conduit Hangers:
  - 1. Beam clamps shall be stainless steel equipped with stainless steel square-head set screw, and shall include threaded hole sized for attaching the all-thread rod or threaded bolt.
- D. Miscellaneous Hardware:
  - 1. Bolts, screws, and washers shall be stainless steel.
  - 2. Hex Nuts: Shall be stainless steel and include nylon inserts.

### PART 3 EXECUTION

#### 3.1 INSPECTION

- A. Examine conditions under which the Work will be installed and notify ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

#### 3.2 INSTALLATION

- A. Provide hangers and supports for electrical systems with necessary channels, fittings, brackets, and related hardware for mounting and supporting materials and equipment. Provide anchor systems, concrete inserts, and associated hardware for proper support of electrical systems.
- B. Install equipment and devices on hangers and supports as shown on the Drawings, as specified, and as required.
- C. Install hangers and supports level, true, free of rack, and parallel and perpendicular to building walls and floors, so that the hangers and supports are installed in a neat, professional, workmanlike manner.
- D. Holes in suspended ceilings for rods for hangers and supports and other equipment shall be provided adjacent to bars, where possible, to facilitate removal of ceiling panels.
- E. Coordinate installation of hangers and supports with equipment, cabinets, consoles, panels, enclosures, boxes, conduit, cable tray, wireway, busway, cablebus, piping, ductwork, lighting fixtures, and other systems and equipment. Locate hangers and supports clear of interferences and access ways.

- F. Anchor Bolts, Expansion Anchors, and Concrete Inserts: Shall be in accordance with Section 05 05 33, Anchor Systems, and requirements of this Section.
- G. Mounting of Conduit:
1. Provide space of not less than 1/4-inch between conduit surfaces and abutting or near surfaces except struts, cable trays, steel beams, and columns.
  2. Fasten conduit to struts, cable trays, steel beams, and columns using specified clamps and straps as shown, specified, and required.
  3. Devices shall be compatible with size of conduit and type of support. Following installation, size identification shall be visible and legible.
  4. Install conduit supports and fasteners in accordance with Section, 26 05 33.13, Rigid Conduits.
- H. Supports for Cabinets, Consoles, Panels, Enclosures, and Boxes:
1. Freestanding: (Not Used).
  2. Wall-Mounted:
    - a. Provide space not less than 1/4-inch between cabinets, consoles, panels, enclosures, and boxes and the surface on which each is mounted. Provide non-metallic or stainless steel spacers as required.
    - b. Do not mount equipment, enclosures, panels, and boxes directly to beams or columns. Mount struts to beams or columns using beam clamps, and mount equipment, enclosures, panels, and boxes to the struts.
  3. Floor Stand Rack:
    - a. Where equipment, cabinets, consoles, panels, enclosures, and boxes cannot be wall-mounted, provide an independent floor stand rack.
    - b. Floor stand rack shall consist of struts, plates, brackets, connection fittings, braces, accessories, and hardware assembled in a rigid framework suitable for mounting of intended materials and equipment.
    - c. Equip floor stand racks with brackets and bases for rigidly-mounting the framework to the ceiling or floor, as applicable; or equip floor stand racks with beam clamps, angle plates, washers, and bolts for fastening to beam flanges, as applicable.
    - d. When equipment, cabinets, consoles, panels, enclosures, and boxes weigh more than 100 pounds:
      - 1) Main vertical supports of floor stand rack assemblies shall be back-to-back struts.
      - 2) Bracing, clamping and anchoring of each floor stand rack shall be sufficient to ensure rigidity of the floor stand rack with the intended equipment, enclosures, conduit, cable tray, busway, cablebus, and wireway installed. Floor stand racks shall not be deflected more than 1/8-inch by a 100-pound force applied at any point on the floor stand rack in any direction.

- I. Drilling into beams or columns is not allowed unless authorized by ENGINEER.
- J. Tighten nuts and bolts to the manufacturer's recommended torque values.
- K. Field Cutting:
  - 1. Cut edges of strut and hanger rod shall have rounded corners, edges beveled, and burrs removed. If field cutting the strut is required, use clean, sharp, dedicated tools. Remove oil, shavings, and other residue of cuttings prior to installation.
  - 2. Coatings: To prevent corrosion:
    - a. Coat cut edges with epoxy-base touchup paint.
    - b. Coat cut edges with zinc-rich paint.

++END OF SECTION++

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## SECTION 26 05 33.13

### RIGID CONDUITS

#### PART 1 GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals shown, specified, and required to furnish and install conduit and fittings to form complete, coordinated and grounded raceway systems.
2. When specific, detailed conduit routings for various systems within buildings and other areas are not be shown on the Drawings, CONTRACTOR shall establish routings based on single-line, riser, and interconnection diagrams and other information on the Drawings. CONTRACTOR shall provide for the proper installation of conduits in each system.
3. Conduit types and the installation methods shall comply with the following, unless otherwise shown or indicated in the Contract Documents:
  - a. Use Schedule 80 PVC for exposed indoor conduit runs.

###### B. Coordination:

1. Conduit runs shown are diagrammatic. Coordinate conduit installation with piping, ductwork, light fixtures, and other systems and equipment and locate to avoid interferences.

###### C. Related Sections:

1. Section 05 05 33, Anchor Systems.
2. Section 26 05 29, Hangers and Supports for Electrical Systems.
3. Section 26 05 53, Identification for Electrical Systems.

##### 1.2 REFERENCES

###### A. Standards referenced in this Section are:

1. NEMA TC2, Electrical Polyvinyl Chloride (PVC) Conduit.
2. NEMA TC3, Polyvinyl Chloride (PVC) Fittings for Use with Rigid PVC Conduit and Tubing.
3. UL 514B, Conduit, Tubing, and Cable Fittings.
4. UL 651, Safety Schedule 40 and 80 Rigid PVC Conduit and Fittings.
5. UL 886, Outlet Boxes and Fittings for Use in Hazardous (Classified) Locations.

##### 1.3 QUALITY ASSURANCE

###### A. Regulatory Requirements: Comply with the following:

1. NEC Article 352, Rigid Nonmetallic Conduit.

#### 1.4 SUBMITTALS

- A. All files associated with shop drawing shall be submitted as Adobe PDF with searchable function. This allows reviewer to search the document for keywords.
- B. Action Submittals: Submit the following:
  1. Shop Drawings:
    - a. Assembly details of conduit racks and other conduit support systems.
    - b. Layout drawings showing proposed routing of exposed conduits, conduits embedded in structural concrete, and conduits directly buried in the ground. Shop Drawings shall show locations of pull and junction boxes and penetrations in walls and floors. Shop Drawings of embedded conduits shall include cross-sections showing thickness of concrete slabs and locations of conduits relative to reinforcing steel, waterstops, and other features of the slab.
  2. Product Data:
    - a. Manufacturer's catalog cuts and product data for conduit, fittings, and appurtenances.
- C. Informational Submittals: Submit the following:
  1. Manufacturer's Instructions:
    - a. When requested by ENGINEER, provide copies of manufacturer's recommendations for handling and installing products.
  2. Site Quality Control Submittals:
    - a. When requested by ENGINEER, provide copies of results of specified Site quality control testing.
- D. Closeout Submittals: Submit the following:
  1. Record Drawings:
    - a. Show actual routing of exposed and concealed conduit runs in record documents in accordance with Section 01 78 39, Project Record Documents.

### PART 2 PRODUCTS

#### 2.1 MATERIALS

- A. Rigid Galvanized Steel Conduit, Elbows, and Couplings: (Not Used).
- B. PVC-coated Rigid Steel Conduit, Elbows, and Couplings: (Not Used).
- C. Aluminum Conduit, Elbows, and Couplings: (Not Used).
- D. Metallic Conduit Fittings, and Outlet Bodies: (Not Used).
- E. PVC-coated Conduit Fittings, and Outlet Bodies: (Not Used).



F. Non-metallic Conduit and Fittings:

1. PVC Plastic Conduit:

- a. Manufacturers: Provide products of one of the following:
  - 1) Amoco Chemicals Corp.
  - 2) Carlon Electrical Products.
  - 3) Or equal.
- b. Material: Schedule 80 PVC, rated for 90 degrees C, complying with NEMA TC3 and UL 514B and 651. Schedule 80 PVC shall be used in applications where the conduits are not encased in concrete.
- c. Fittings: Form elbows, bodies, terminations, expansions, and fasteners of same material and manufacturer as base conduit. Provide cement by same manufacturer as base conduit.

2. Fiberglass Conduit: (Not Used).

G. Conduit Hubs:

1. Manufacturers: Provide products one of the following.
  - a. Myers Power Products Inc.
  - b. Or equal.
2. Material: Threaded conduit hub, vibration-proof, weatherproof, with captive O-ring seal, zinc metal with insulated throat and bonding screw.
3. Use: Provide for all conduit terminations to boxes, cabinets, and other enclosures in areas designated as wet locations.

H. PVC-coated Conduit Hubs: (Not Used).

I. Conduit Bushings and Locknuts:

1. Manufacturers: Provide products one of the following:
  - a. Emerson Industrial Automation: O-Z/Gedney, Appleton Group.
  - b. Or equal.
2. Insulated Bushings: Malleable iron body with plastic liner. Threaded type with steel clamping screw. Provide with bronze grounding lug, as required.
3. Locknuts: Steel for sizes 3/4-inch through two-inch diameter and malleable iron for sizes 2.5-inch through four-inch diameter.
4. Use: Provide for all conduit terminations to boxes, cabinets and other enclosures except threaded type in areas designated as dusty locations.

J. Thruwall Seals (Not Used)

## 2.2 ACCESSORIES

- A. Fasteners: To the extent possible, fastener material shall be consistent with conduit material. For PVC-coated rigid steel conduit runs, fasteners shall have factory applied PVC coating or be stainless steel. Fasten raceway systems to supporting structures using the following:
1. To Wood: Wood screws.
  2. To Hollow Masonry Units: Toggle bolts, in accordance with Section 05 05 33, Anchor Systems.

3. To Brick Masonry: Expansion bolts by Price, or equal.
4. To Concrete: Anchors in accordance with Section 05 05 33, Anchor Systems.
5. To Steel Beam clamps in accordance with Section 26 05 29, Hangers and Supports for Electrical Systems.

B. Duct Sealing Compound

1. Soft, fibrous, slightly tacky, non-hardening sealing compound.
2. Remains workable at all temperatures.
3. Manufacturer:
  - a. Type DUX by Emerson Industrial Automation O-Z/Gedney: Appleton Group.
  - b. Or equal.

## 2.3 IDENTIFICATION

A. Conduit Labels:

1. Provide conduit labels in accordance with Section 26 05 53, Identification for Electrical Systems.

B. Warning Tape:

1. Provide warning tape in accordance with Section 26 05 53, Identification for Electrical Systems.

## PART 3 EXECUTION

### 3.1 INSPECTION

- A. Examine conditions under which the Work will be performed and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with installation until unsatisfactory conditions are corrected.

### 3.2 INSTALLATION

- A. Install in accordance with Laws and Regulations.

B. Supports:

1. Rigidly support conduits by clamps, hangers, or Unistrut-type channels. Conduit supports and accessories shall be in accordance with Section 26 05 29, Hangers and Supports for Electrical Systems.
2. Support single conduits by means of one-hole pipe clamps in combination with one-screw back plates, to raise conduits from the support surface. Support multiple runs of conduits on trapeze type hangers.

- C. Fastenings: Fasten raceway systems rigidly and neatly to supporting structures using specified materials.

D. Exposed Conduit:

1. Install parallel or perpendicular to structural members or walls.
2. Where possible, run in groups. Provide conduit racks of suitable width, length, and height, arranged to suit field conditions. Provide support every ten feet, minimum.
3. Install on structural members in protected locations.
4. Locate clear of interferences.
5. Provide six inches of clearance from hot fluid lines and 1/4-inch from walls.
6. Install vertical runs plumb. Unsecured drop length shall not exceed 12 feet.

E. Conduit Embedded in Structural Concrete (Not Used)

F. Underground Conduits (Not Used)

G. Empty Conduits:

1. Install nylon pull wire in each empty conduit and cap conduits not terminating in boxes with permanent fittings designed for the purpose.

H. Field Bends: No indentations. Diameter of conduit shall not vary more than 15 percent at bends.

I. Joints:

1. Apply conductive compound to joints before assembly.
2. Make up joints tight and ground thoroughly.
3. Use standard tapered pipe threads for conduit and fittings.
4. Cut conduit ends square and ream to prevent damaging wire and cable.
5. Use full threaded couplings. Split couplings are not allowed.
6. Use strap wrenches and vises to install conduit. Replace conduit with wrench marks.
7. Apply zinc-rich paint to exposed threads and other areas of galvanized conduit system where base metal is exposed.

J. Terminations:

1. Install insulated bushings on conduits entering boxes or cabinets, except when threaded hubs are used.
2. Provide locknuts on both inside and outside of enclosure, except when threaded hubs are used.
3. Use of bushings in lieu of locknuts is not allowed.
4. Install conduit hubs on conduits entering boxes or cabinets in wet and corrosive areas.

K. Moisture Protection:

1. Plug or cap conduit ends at time of installation to prevent entrance of moisture and foreign materials.
2. Underground and embedded conduit connections shall be watertight.
3. Thruwall Seals and Conduit Sealing Bushings: Install for conduits passing through concrete slabs, floors, walls, or concrete block walls.

4. Drainage: Conduit runs shall be fully drainable. Where possible install conduit runs to drain to one end and away from building. Avoid pockets or depressions in conduit runs.
5. Seal conduit openings within control and instrumentation panels and distribution equipment with duct sealing compound to provide watertight seal.

L. Corrosion Protection (Not Used)

M. Reused Existing Conduits:

1. Pull rag swab through conduits to remove water and to clean conduit prior to installing new cable.
2. Repeat swabbing until all foreign material is removed.
3. Pull mandrel through conduit, if necessary, to remove obstructions.

N. Non-metallic Conduit:

1. Install in accordance with manufacturer's recommendations.
2. Provide manufacturer's recommended adhesives or sealants for watertight connections.
3. Provide expansion fittings for expansion and contraction to compensate for temperature variations. Fittings shall be watertight and suitable for direct burial.
4. Transition to PVC-coated rigid steel conduit before making turn up to enclosures.

O. PVC-coated Rigid Steel Conduit (Not Used)

P. Identify conduits, including spares, in accordance with Section 26 05 53, Identification for Electrical Systems.

### 3.3 FIELD QUALITY CONTROL

A. Site Tests:

1. Test conduits by pulling through each conduit a cylindrical mandrel with length not less than two pipe inside diameters, having an outside diameter equal to 90 percent of conduit's inside diameter.
2. Maintain a record, by number, of all conduits successfully tested.
3. Repair or replace conduits that do not successfully pass testing, and re-test.

++END OF SECTION++

## SECTION 26 05 33.16

### FLEXIBLE CONDUITS

#### PART 1 GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals shown, specified, and required to furnish and install flexible metallic conduit and fittings.

##### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. UL 360, Liquid-Tight Flexible Steel Conduit.

##### 1.3 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with the following:
  - 1. NEC Article 350, Liquid-Tight Flexible Metal Conduit.

##### 1.4 SUBMITTALS

- A. All files associated with shop drawings and product data shall be submitted as Adobe PDF with searchable function. This allows reviewer to search the document for keywords.
- B. Action Submittals: Submit the following:
  - 1. Product Data:
    - a. Manufacturer's literature and technical information for flexible conduit and fittings proposed for use.

#### PART 2 PRODUCTS

##### 2.1 MATERIALS

- A. Flexible Conduit (Non-hazardous Areas and Class I, Division 2, Hazardous Areas):
  - 1. Material: Flexible galvanized steel core with smooth, abrasion-resistant, liquid-tight, polyvinyl chloride cover. Continuous copper ground built in for sizes 3/4-inch through 1.25-inch. Material shall be UL-listed.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Anaconda Sealtite Type UA by Anamet Electrical, Inc.

- b. Liquatite Type LA by Electri-Flex Company.
  - c. Or equal.
- B. Flexible Conduit (Class I, Group D, Division 1, Hazardous Areas): (Not Used).
- C. Flexible Conduit Fittings:
  - 1. Material and Construction: Malleable iron with cadmium finish. Fittings shall adapt the conduit to standard threaded connections, shall have an inside diameter not less than that of the corresponding standard conduit size and shall be UL listed.
  - 2. Manufacturers: Provide products of one of the following:
    - a. Eaton, Crouse-Hinds Division.
    - b. Emerson Industrial Automation Appleton Group.
    - c. Or equal.
  - 3. Use: Provide flexible conduit in non-hazardous and Class I, Division 2 hazardous areas.
- D. PVC-Coated Conduit Fittings: (Not Used).

### PART 3 EXECUTION

#### 3.1 INSPECTION

- A. Examine conditions under which the Work will be installed and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

#### 3.2 INSTALLATION

- A. Install at motors, transformers, field instruments, and equipment subject to vibration or require movement for maintenance purposes. Provide necessary reducer where equipment furnished cannot accept 3/4-inch diameter flexible conduit. Limit flexible conduit length to six feet maximum.
- B. Install in conformance with the Laws and Regulations.

++END OF SECTION++

## SECTION 26 05 53

### IDENTIFICATION FOR ELECTRICAL SYSTEMS

#### PART 1 GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals shown, specified, and required to furnish and install identification for electrical apparatus and electrical Work.
- B. Related Sections:
  - 1. Section 26 05 19, Low Voltage Electrical Power Conductors and Cables.
  - 2. Section 26 05 33.13, Rigid Conduits.

##### 1.2 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with the following:
  - 1. NEC Article 110, Requirements for Electrical Installation.
  - 2. NEC Article 210, Branch Circuits.
  - 3. NEC Article 215, Feeders.
  - 4. NFPA 70E, Electrical Safety in the Workplace.

##### 1.3 SUBMITTALS

- A. All files associated with shop drawings and product data shall be submitted as Adobe PDF with searchable function. This allows reviewers to search the document for keywords.
- B. Action Submittals: Submit the following:
  - 1. Shop Drawings: Submit the following:
    - a. Complete description and listing of proposed electrical identification and electrical identification devices for associated equipment or systems.
    - b. Conduit and wire identification numbering system and equipment signage.
  - 2. Product Data:
    - a. Manufacturer's literature, cut sheets, specifications, dimensions and technical data for all products proposed under this Section.

#### PART 2 PRODUCTS

##### 2.1 MANUFACTURED UNITS

- A. Engraved Identification Devices (Nameplates and Legend Plates): (Not Used).
- B. Safety Signs and Voltage Markers: (Not Used).

- C. Arc-flash Safety Labels: (Not Used).
- D. Service Fault Current Label: (Not Used).
- E. Arc-flash Safety Signs: (Not Used).
- F. Voltage System Identification Directories: (Not Used)
- G. Conduit Labels:
  - 1. Reuse existing conduit labels.
  - 2. Attach strap-on style for all diameter conduit with nylon fasteners.
- H. Wire Identification:
  - 1. Heat Shrinkable Wire and Cable Labeling System:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) B-341 by Brady.
      - 2) Or equal.
    - b. White heat-shrinkable irradiated polyolefin shrink-on sleeves. Labels shall be thermal printed. Labels shall be not less than two inches wide.
  - 2. Wrap-Around Wire and Cable Labeling System:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) THT-XX-427 by Brady.
      - 2) Or equal.
    - b. Self-laminating white/transparent self-extinguishing vinyl strips. Length shall be sufficient to provide at least 2.5 wraps. Labels shall be thermally printed and not less than two inches wide.
- I. Transformer Disconnect Signs: (Not Used).
- J. Detectable Underground Warning Tape: (Not Used).
- K. Thermal Printing System: (Not Used).

## 2.2 FABRICATION

- A. Engraved Identification Devices (Nameplates and Legend Plates): (Not Used).

## PART 3 EXECUTION

### 3.1 INSTALLATION

- A. Provide electrical identification in accordance with manufacturer recommendations and as required for proper identification of equipment and materials.
- B. Engraved Identification Devices (Nameplates and Legend Plates): (Not Used).
- C. Safety Signs and Voltage Markers: (Not Used)



- D. Voltage System Identification Directories (Not Used)
- E. Arc-flash Safety Labels: (Not Used).
- F. Conduit Labels:
  - 1. Provide conduits with conduit labels unless otherwise shown or indicated.
  - 2. Do not label flexible conduit.
  - 3. Do not label exposed single conduit runs of less than 25 feet between local disconnect switches and their associated equipment.
  - 4. Orient conduit labels to be readable.
- G. Wire and Cable Identification:
  - 1. Color-coding of insulated conductors shall comply with Section 26 05 19, Low Voltage Electrical Power Conductors and Cables.
  - 2. Use heat-shrinkable wire labels where wire or cable is terminated. Use wrap-around labels where wire or cable is to be labeled but is not terminated.
  - 3. Do not provide labels for the following:
    - a. Bare (uninsulated) conductors, unless otherwise shown or indicated as labeled.
  - 4. Provide wire and cable labels for the following:
    - a. New, rerouted, or revised wire or cable.
    - b. Insulated conductors.
    - c. Wire and cable terminations:
      - 1) Wire labels shall be applied between 1/2-inch and one inch of completed termination
      - 2) Apply cable labels between 1/2-inch and one inch of cable breakout into individual conductors.
        - a) Label individual conductors in a cable after breakout as specified for wires.
    - d. Wire or cable exiting cabinets, consoles, panels, terminal boxes, and enclosures.
      - 1) Label wires or cables within two inches of entrance to conduit.
  - 5. Wire and Cable Identification System:
    - a. Wire and cable labels shall be imprinted with an identifying designator.
      - 1) Wire and cable extending between two devices or items and that does not undergo a change of function shall be identified by a single unique designator as specified below.
    - b. Field Wiring:
      - 1) Wire or cable designator shall consist of:
        - a. Remaining characters shall be alphanumeric and make wire designator unique.
        - b. Numbering shall reflect actual designations used in the Work and shall be documented in record documents.
      - c. Cabinet, Console, Panel, and Enclosure Wiring, Internal: (Not Used).
  - 6. Modified Cabinets, Consoles, Panels, and Enclosures:

- a. New or rerouted wire or cable in existing cabinets, consoles, panels, and enclosures shall be labeled as shown on the Drawings or be assigned a ten-character designator equivalent to field wire designator.

H. Terminal Strip Labeling: (Not Used).

I. Transformer disconnect sign: (Not Used).

J. Generator System Warning Signs: (Not Used).

++END OF SECTION++

## SECTION 40 23 26

### PIPING, VALVES AND APPURTENANCES FOR CHEMICAL FEED SYSTEMS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall furnish all labor, tools, materials, equipment and incidentals required to supply, install, test and place in satisfactory operation all piping, valves and appurtenances as shown on the Drawings and specified herein.
  - 2. It is the intention of the Drawings and Specifications to provide complete and workable piping systems. Miscellaneous fittings and appurtenances required for proper completion of the Work shall be considered as having been included under this Section.
- B. General:
  - 1. All piping, fittings, valves and appurtenances shall be new, clean and in accordance with material specifications. In no case will used or damaged material be acceptable.
  - 2. Provisions shall be made to permit bleeding air at high points. All piping shall be of the sizes and materials shown on the Drawings or specified herein.
- C. Related Work Specified Elsewhere:
  - 1. Section 09 91 00, Painting.
  - 2. Section 43 21 13.16 Centrifugal Magnetic Drive Sealless End Suction Pumps
  - 3. Section 43 41 00, Polyethylene Tanks

##### 1.2 QUALITY ASSURANCE

- A. Manufacturer Qualifications: Piping and valves shall be the standard product in regular production by manufacturers whose products have proven reliable in similar service. All valves and piping of the same type shall each be the product of one manufacturer.
- B. Source Quality Control: All pipe, specials and valves shall have the working pressure stenciled thereon. Pipe that has been designed for abnormal load conditions or thrust restraint shall have special markings thereon which can be readily identified.

- C. Reference Standards: Comply with applicable provisions and recommendations of the following:
  - 1. Standards of American Water Works Association, AWWA.
  - 2. Standards of American Society for Testing and Materials, ASTM.
  - 3. Standards of American National Standards Institute, ANSI.
  - 4. Standards of American Iron and Steel Institute, AISI.
- D. Manufacturer's Field Services and Reports:
  - 1. Retain factory trained manufacturer's representative with demonstrated ability and experience in the installation and operation of ball valves, duckbill valves, and miscellaneous valves to perform the services listed below:
    - a. Supervise the installation.
    - b. Test, calibrate and adjust all components for optimum performance.
    - c. Assist in initial start-up and field testing.
    - d. Inspect the completed installation and prepare an inspection and performance test report.
    - e. Instruct OWNER'S personnel in the operation and user maintenance of all components.
    - f. Supervise the correction of any defective or faulty Work before and after acceptance by OWNER – certify in written report.

### 1.3 SUBMITTALS

- A. Shop Drawings: Shop Drawing submittals shall include the following:
  - 1. Illustrations, specifications and engineering data including: dimensions, materials, size, and weight for all piping, valves, and appurtenances including pipe supports, pipe restraints, coatings, etc.
  - 2. Manufacturer's instructions and recommendations for installation of each type of pipe joint, valve and special items.

### 1.4 PRODUCTION DELIVERY, STORAGE, AND HANDLING

- A. Delivery, Storage and Handling of Materials: All materials shall be delivered to the site, stored, and handled in accordance with the manufacturer's instructions. CONTRACTOR shall inspect shipments for damage and content well in advance of the date scheduled for incorporation in the Work.
- B. Prior to Shipment:
  - 1. Each piece of pipe and each fitting shall be plainly marked at the manufacturer with schedule number and pressure class. All piping shall be readily identifiable.
- C. To establish minimum criteria for proper installation and handling, measures to

be taken by the CONTRACTOR shall include the following:

1. All piping and valves shall be thoroughly cleaned of sand, scale, rust or other foreign substances. Open ends of piping and valves shall be suitably closed to prevent the entrance of foreign matter after cleaning and during shipment and storage.
- D. Handle all pipe, fittings and accessories carefully with approved handling devices. Do not drop or roll pipe off trucks. Do not otherwise drop, roll, or skid pipe. Materials that are cracked, chipped, gouged, dented or otherwise damaged will not be approved for installation.
- E. Store pipe and fittings on heavy wood blocking or platforms. Do not store pipe in contact with ground.

## 1.5 JOB CONDITIONS

- A. Protection:
  1. Take all measures to ensure that all materials are protected from damage.
- B. Work Affecting Existing Piping
  1. Location of Existing Piping:
    - a. Locations of existing piping shown should be considered approximate.
    - b. Determine exact location of existing piping to which connections are required, or which may be affected by the Work.
  2. Work on Existing Piping:
    - a. Cut pipes as shown or required with machines specifically designed for this work.
    - b. Install temporary plugs to keep out all mud, dirt, water and debris.
    - c. Provide all necessary adapters, fittings, taps, outlets, pipe and appurtenances required.
    - d. Verify dimensions of all existing piping to which connections are required and provide all necessary adapters, specials and section pieces required to make the connections.
    - e. OWNER does not guarantee watertight closing of isolation valves. CONTRACTOR shall provide, at no additional expense to the OWNER, all temporary caps, plugs, dewatering, pumping and other measures required to ensure proper installation of new piping.

## PART 2 - PRODUCTS

### 2.1 MATERIALS - CHEMICAL FEED SYSTEMS

- A. Valves and Appurtenances:
  1. Ball Valves (for sodium hypochlorite):
    - a. Size and extent: 4-inches and smaller.

- b. Connections: End entry, double union design, solvent-weld socket ends.
  - c. Material: ASTM D1784, Class 12454-B (Type 1, Grade 1) polyvinyl chloride body, ball, and stem. PTFE seat, Viton or FKM O-rings.
  - d. Construction: Ball must contain an adequate vent to the pressure port opposite of the downstream sealing port. Valve must be manufactured with vent at factory.
  - e. Rated: 150 psi at 105 degree F.
  - f. Manufacturer:
    - 1) Asahi/America Type 21.
2. Pressure Gages:
- a. Extent: As shown on drawings.
  - b. Range: 0 to 100 psi and as noted on returned shop drawings.
  - c. Dial Size: 2-1/2 inches except where smaller dials are specified.
  - d. Case: Phenolic turret case for surface mounting Stainless.
  - e. Bourbon TubeBody Material: Stainless steel or bronze PVC.
  - f. Connection: Brass, 1/2-inch NPT-Bottom.
  - g. Accuracy:  $\pm 0.5$  percent of span.
  - h. Accessories: Provide 2-inch needle valve and diaphragm for pressure snubbing isolation with each gage.
  - i. Product and Manufacturer:
    - 1) Tuff Guard, Series TG-25T.Ametek, U.S. Gage Division, Fig. 1980.
    - 2) Or equal.
  - j. Diaphragm seal housing shall be of Type 316 stainless steel, the diaphragm of Telon or Kel-Fmodified PTFE, and the filling liquid of glycerin or silicone oil. Seal shall have a 1/2-inch diameter process connection, cleanout ring, and flush connection. Factory mount a stainless steel cartridge snubber between the gage and diaphragm seal.

3. Duckbill Valves

- a. Size and Extent: Storage tank overflow lines as shown on Drawings
- b. End: Clamped
- c. Sleeve material: Viton (Sodium Hypochlorite)
- d. Manufacturer:
  - 1) Tideflex as manufactured by Red Valve Co., Inc. Or equal.

B. Supports and Fasteners for Chemical Piping Systems:

- 1. All pipe supports and fasteners shall be glass fiber-reinforced plastic with a flame spread rating of 25, in accordance with ASTM E 84.
- 2. Materials shall be manufactured by either the pultrusion or extrusion process.

3. All pipe supports shall have a surface veil over 100 percent of the surface which, along with a filler system, shall protect against degradation from ultra-violet light.
4. All fasteners shall be manufactured from long glass fiber-reinforced polyurethane to ensure strength and corrosion resistance.
5. All-thread rods shall be made from vinylester resin.
6. Manufacturers: Provide products of one of the following:
  - a. Unistrut Company.
  - b. Or equal.

C. PVC Pipe - General Applications: Unless otherwise shown or specified, PVC pipe shall conform to the following:

1. Manufacturers: Provide products of one of the following:
  - a. Ipex, Inc.
  - b. Spears Manufacturing Company.
  - c. Or equal.
2. Material: Unless otherwise specified, conform to the following:
  - a. Type and Grade: Type 1, Grade 1.
  - b. Wall Thickness: Schedule 80 conforming to ASTM D1784 and ASTM D1785, and US Product Service PS 21-70 as having same outside diameter dimension as cast-iron pipe.
  - c. Temperature Rating: Rated for temperature to 140 degrees F.
  - d. Color: Gray. Clear PVC shall conform to ASTM D1784 as Cell Classification of 12454-B. (Clear PVC shall only be used where specifically called out on Drawings.)
  - e. Pipe shall contain suitable ultraviolet radiation inhibitor.
3. Fittings: Type, grade, schedule, and color of fitting shall match the associated pipe.
  - a. Solvent Weld: Conform to ASTM D2467.
  - b. Threaded: Threaded fittings shall conform to ASTM D2464.
  - c. Flanged: Provide flanged fittings with Viton gaskets.
4. Joints:
  - a. Solvent Weld: Use primer and solvent cement recommended by PVC pipe manufacturer for the application. Primer shall be in accordance with ASTM F656, and solvent cement shall be in accordance with ASTM D2564.
  - b. Threaded: Use 100 percent virgin polytetrafluoroethylene (Teflon or PTFE) tape for threaded fittings. Pipe shall not be threaded.
  - c. Flanged: Provide with backup flange minimum 1/8-inch thick. Backup flanges and connecting bolts shall be Titanium.

D. Flexible Tubing: Unless otherwise shown or specified, flexible tubing shall be as follows:

1. Manufacturers: Provide products of one of the following:

- a. Kuriyama “Kuri-Tech Clearbraid K3130 Series BF Heavy Wall PVC Food and Beverage Hose”.
  - b. Ryan-Herco “Herco-Braid Heavy Duty Food Grade Clear PVC Tubing”.
  - c. Or equal.
2. Material: Material shall be PVC with nylon braid reinforcement embedded in the wall of the tubing and conform to NSF 61. Unless otherwise shown or specified, PVC tubing shall be:
  - a. Food grade.
  - b. Color: Clear.
  - c. Temperature Rating: 150 degrees F.
  - d. Maximum Working Pressure Rating:
    - (1) 200 psi for ¾ inch dia.
    - (2) 150 psi for 1 inch dia.
    - (3) 100 psi for 1-1/4 to 1-1/2 inch dia.
    - (4) 75 psi for 2 inch dia.
3. Fittings: Join tubing to pipe with single-barb male adapter fitting and a stainless steel hose clamp. Join tubing sections by single or multi-barb thermoplastic couplings with titanium hose clamps.

#### E. Miscellaneous Items:

##### 1. PVC Pipe Supports:

- a. Provide fiberglass/plastic supports for chemical pipes as follows:
  - 1) Pipe 2-inch and larger shall be supported at 6 foot maximum spacing. Pipe smaller than 2-inch shall be supported at 4 foot maximum spacing.
  - 2) Pipes adjacent to or on walls shall be supported from molded fiberglass or polypropylene clamp halves complete with cover plate, hex bolts and base weld plate attached to wall with expansion anchors.
  - 3) Manufacturer:
    - a) Unistrut.
    - b) Or equal.

##### 2. Flanged Joints:

- a. Assemble flanged joints using 1/8-inch ring-type gaskets for raised face flanges. Use full face gaskets for flat face flanges unless otherwise approved. Gaskets shall be suitable for the chemical service intended in accordance with the manufacturer’s ratings and instructions. Gaskets shall be properly centered.
- b. Bolts shall be tightened in a sequence that will ensure equal distribution of bolt loads.
- c. The length of bolts shall be uniform, and they shall not project beyond the nut more than ¼ - inch or fall short of the nut when fully



- taken up. The ends of bolts shall be machine cut so as to be neatly rounded. Washers shall be used.
- d. Bolt threads and gasket faces for flanged joints shall be lubricated prior to assembly.
  - e. Alternately tighten bolts 180 degrees apart to compress the gasket evenly.
  - f. All bolts and hardware shall be Titanium.
3. Unions shall be installed for easy disassembly of pipes and where shown on Drawings.
  4. Expansion Joints:
    - a. General: Expansion joints shall be three convolutions type.
    - b. Extent: For bulk tanks as shown on drawings.
    - c. Material: Shall be PTSE (Sodium Hypochlorite).
    - d. Flanges: Shall be ductile iron construction. Flanges shall be full faced with 150 lb. ANSI standard drilling. Retainer rings shall be stainless steel.
    - e. Product and Manufacturer: Expansion joints shall be Proco Series 443 or equal.
    - f. Alternative: instead of expansion joints, flexible connections approved by the selected tank manufacturer for the tank size, material, pressure range, and chemical service may be used.
  5. Pipeline Identification: All exposed piping shall be identified by Brady B-500 vinyl cloth self-sticking arrows and markers. Equivalent products by Seton Name Plate Corporation or equal are acceptable.
    - a. Markers shall be of wording of "Sodium Hypochlorite Vent", "Sodium Hypochlorite Fill", "Sodium Hypochlorite Drain", and "Sodium Hypochlorite Transfer Line".
    - b. Install markers and arrows at following locations:
      - 1) At intervals not exceeding 25 feet along continuous runs of pipe.
      - 2) Wherever pipes pass through walls, floor or panels.
      - 3) At each valve or equipment connection.
      - 4) At each branch where it connects to a main line.
    - c. Lettering shall be:
      - 2-1/4 inches high for pipes 3 inches diameter and larger.
      - 1-1/8 inches high for pipes less than 3 inches diameter.
    - d. Flow arrows shall be:
      - 1) 2-1/4 inches by 6 inches for pipes 3 inches diameter and larger.
      - 2) 1-1/8 inches by 3 inches for pipes less than 3 inches diameter.

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. The CONTRACTOR shall inspect chemical valves and appurtenances to ensure that they are free of defects in material and workmanship. The compatibility of pipe, fittings, and coatings shall be verified.

### 3.2 INSTALLATION

- A. Chemical valves and appurtenances shall be installed in complete accordance with the manufacturer's instructions and recommendations.

+ + END OF SECTION ++

SECTION 43 21 13.16  
CENTRIFUGAL MAGNETIC DRIVE SEALLESS END SUCTION PUMPS

PART 1 - GENERAL

1.1 DESCRIPTION

A. Scope:

1. CONTRACTOR shall provide all labor, materials, services, equipment and incidentals required to furnish and install centrifugal magnetic drive sealless end suction pumps, complete and operational, with motors and accessories as shown and specified. This Section includes, but is not limited to the following:
  - a. Sodium Hypochlorite (12%) transfer pumps.
  - b. Pump appurtenances.
  - c. Anchor bolts.

B. Coordination:

1. Review installation procedures under this and other Sections and coordinate the installation of items that must be installed with, or before the centrifugal magnetic drive sealless end suction pumps Work.

C. Related Sections:

1. Section 03 30 00, Cast-In-Place Concrete.
2. Section 03 60 00, Grouting.
3. Section 05 05 33, Anchor Systems.
4. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Systems.

1.2 REFERENCES

A. Standards referenced in this Section are listed below:

1. American Bearing Manufacturers Association (ABMA).
2. American Gear Manufacturer's Association (AGMA).
3. American National Standards Institute, (ANSI).
4. American Society for Testing and Materials, (ASTM).
  - a. ANSI B73.1, Horizontal End Suction Centrifugal Pumps for Chemicals.
5. American Water Works Association (AWWA).
6. Institute of Electrical and Electronics Engineers, (IEEE).
7. National Electrical Code, (NEC).
8. National Electrical Manufacturers' Association, (NEMA).
9. National Sanitary Foundation (NSF).

### 1.3 QUALITY ASSURANCE

#### A. Manufacturer's Qualifications:

1. Manufacturer shall have a minimum of ten years of experience of producing substantially similar equipment, and shall be able to show evidence of at least five installations, each in satisfactory operation for at least five years.
2. Pumps and appurtenances shall be supplied by a single pump manufacturer who shall be responsible for proper applications, engineering, testing and operation and start-up of the equipment as specified herein.

#### B. Component Supply and Compatibility:

1. Obtain all equipment included in this Section regardless of the component manufacturer from a single centrifugal magnetic drive sealless end suction pumps manufacturer.
2. The centrifugal magnetic drive sealless end suction pumps equipment manufacturer to review and approve or to prepare all Shop Drawings and other submittals for all components furnished under this Section.
3. All components shall be specifically constructed for the specified service conditions and shall be integrated into the overall assembly by the centrifugal magnetic drive sealless end suction pumps equipment manufacturer.

### 1.4 SUBMITTALS

#### A. Product Data:

1. Manufacturer's literature, illustrations, specifications and engineering data including:
  - a. Dimensions, materials, size, weight, pump and motor performance data and curves showing overall pump efficiencies, required net positive suction head, allowable suction lift, flow rate, head, brake horsepower, motor horsepower, speed and shut-off head. Indicate the specified rated conditions and operating range on the head capacity curve. Pump curves with information noted above shall be supplied full size on 8-1/2 x 11 paper.
  - b. Motor nameplate data for the electric motor driven pumps. Provide motor data sheet.
2. Shop Drawings:
  - a. Fabrication, assembly, installation and wiring diagrams.

#### B. Closeout Submittals: Submit the following:

1. Operation and Maintenance Data:
  - a. Submit complete installation, operation and maintenance manuals including test reports, maintenance data and schedules, description of operation and spare parts information.
  - b. Furnish Operation and Maintenance Manuals in conformance with the

requirements of Section 01 78 23, Operations and Maintenance Data.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Prepare and protect equipment for shipment in accordance with manufacturer's printed operation and maintenance manuals.

### PART 2 - PRODUCTS

#### 2.1 SERVICE CONDITIONS

- A. General:
1. All wetted surfaces of the pumps and appurtenances and all sealing gaskets shall be suitable for continuous exposure to the chemical service and concentration shown on the Centrifugal Magnetic Drive Sealless End Suction Pumps Schedule, below.
  2. All wetted surfaces shall be of materials suitable for contact with chemicals in service and shall not leach out any organic or inorganic constituent which is not permitted by local or federal regulations.
- B. Pumps shall be of close coupled, end suction, top discharge, back pullout, centrifugal magnetic drive sealless design with separate motor. Pumps shall be specially designed, constructed and installed for the service intended and shall comply with the conditions as shown on the following schedule:

#### CENTRIFUGAL MAGNETIC DRIVE SEALLESS END SUCTION PUMPS SCHEDULE

Chemical Service	Sodium Hypochlorite
Chemical Concentration:	12%
Specific Gravity, (68°F):	1.2
Liquid Temperature Range, (°F):	85
Quantity of Pumps:	2 (1 duty/1 spare)
Location:	Sodium Hypochlorite Bulk Storage Area
Flow at First Design Point, (gpm):	30
TDH at First Design Point, (feet): *	18

Chemical Service	Sodium Hypochlorite
Flow at Second Design Point (gpm)	25
TDH at Second Design Point, (feet): *	22
Minimum Ratio of NPSHR:NPSHA	1.1
Shutoff Head, (feet): *	Approx. 33
Pump Speed, (RPM, maximum):	3450
Min. Efficiency at Design, (%):	40
Impeller:	Closed-type
Motor, (Hp, maximum):	0.5
Motor, (Volts/Phase/Hertz)	115/1/60

\* Ft. of Chemical in service.

## 2.2 MANUFACTURERS

- A. Products and Manufacturers: Provide one of the following:
1. Finish Thompson, Model DB6 for Sodium Hypochlorite
  2. Iwaki, Model MX-250 for Sodium Hypochlorite
  3. Or approved equal.

## 2.3 DETAILS OF CONSTRUCTION

- A. Pump Materials of Construction:
1. Impeller and Impeller housing: Glass-fiber polypropylene
  2. Bushing: Carbon
  3. O-Ring: Viton
  4. Flange: Fiberglass.
  5. Manufacturer to provide chemical compatibility data sheets to verify suitability of material for each chemical.
- B. Motors:
1. Provide a standard NEMA frame, TEFC, severe duty, horizontal, electric motor. Motors shall be 115 Volt, 1 phase, 60 hertz with a minimum service factor of 1.15. Motor shall be sized to be non-overloading at any point on the pump-operating curve when pumping the chemical indicated in the Magnetic Drive Sealless End Suction Pumps Schedule.
  2. Lifting Lugs: Provide for equipment weighing over 100 pounds.

C. Nameplates:

1. Minimum 1/32-inch stainless steel ASTM 276, Type 316 plate securely attached with stainless steel screw or rivets. All information shall be stamped with 1/8-inch minimum height letters with the following:
  - a. Manufacturer/ Model.
  - b. Date of manufacture.
  - c. Serial number.
  - d. Rated gpm and feet of head.
  - e. Speed at rated capacity and head.
  - f. Impeller diameter.
  - g. Pump weight.
  - h. Pump Identification

2.4 CONTROLS (NOT USED)

2.5 TOOLS, SPARE PARTS AND MAINTENANCE MATERIALS

- A. Each pump shall be furnished with the following spare parts.
  1. Recommended spare parts kit.
  2. One set of special tools required for maintenance and operations.
- B. Spare parts shall be packed in sturdy containers with clear indelible identification markings and shall be stored in a dry, warm location until transferred to the OWNER at the completion of the project.
- C. CONTRACTOR shall furnish one Sodium Hypochlorite Transfer Pump as a spare.

PART 3 - EXECUTION

3.1 INSPECTION

- A. Inspect all equipment immediately upon delivery to Site. All surfaces shall be smooth, free of voids and porosity, without dry spots, crazes or unreinforced areas. Any damaged equipment shall be replaced.

3.2 INSTALLATION

- A. Installation shall be in complete accordance with manufacturer's instructions and recommendations and the approved Shop Drawings.
- B. Pump shall be installed on concrete base, secured with anchor bolts, and grouted with non-shrink grout.
- C. Support piping independent of pump.

### 3.3 START-UP AND TEST

- A. CONTRACTOR shall verify that structures, pipes and equipment are compatible.
- B. Make adjustments required to place system in proper operating condition.
- C. Initial testing shall be performed using potable water to ensure the system operates as specified, prior to testing with Sodium Hypochlorite (12%). Test pump across range of conditions from full storage tank to storage tank at minimum level to ensure pump operates properly and without cavitation.

### 3.4 MANUFACTURER'S SERVICES

- A. A factory trained representative shall be provided for installation supervision, start-up and test services. The representative shall make a minimum of two visits for a minimum of 8 hours on-Site each time. The visits shall be for assistance in the installation of equipment and for checking the completed installation and start-up of the system. Manufacturer's representative shall test operate the system in the presence of the ENGINEER and verify that the equipment conforms to the requirements. Representative shall revisit the Site as often as necessary until all trouble is corrected and the installation is entirely satisfactory.
- B. All costs, including travel, lodging, meals and incidentals, for additional visits shall be at no additional cost to the OWNER.

+ + END OF SECTION + +



## SECTION 43 41 00

### POLYETHYLENE TANKS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

###### A. Scope:

1. CONTRACTOR shall provide all labor, materials, equipment and incidentals as shown, specified and required to furnish and install either Type I (cross-linked) or Type II (linear) high-density polyethylene flat-bottomed vertical storage tanks, complete and operational with accessories as shown and specified.
2. The above-ground vertical cylindrical tanks shall be provided as shown in the tank schedule on the drawings.

###### B. Coordination:

1. Review installation procedures under other Sections and coordinate the installation of items that must be installed with or before polyethylene tanks.
2. Size and location of manways, pipe connections, etc. shall be coordinated with the requirements of applicable chemical feed systems per the schedule and details shown on the Drawings.

###### C. Related Sections:

1. Section 03 30 00, Cast-In-Place Concrete.
2. Section 05 05 33, Anchor Systems.
3. Division 26, Electrical.
4. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.

##### 1.2 REFERENCES

###### A. Reference Standards: Comply with the latest edition of the applicable provisions and recommendations of the following, except as otherwise shown or specified:

1. ASTM D618, Conditioning Plastics and Electrical Insulating Materials for Testing
2. ASTM D638, Tensile Properties of Plastics.
3. ASTM D790, Flexural Properties of Unreinforced and Reinforced Plastics and Electrical Insulating Materials.
4. ASTM D883, Definitions of Terms Relating to Plastics.
5. ASTM D1505, Density of Plastics by the Density-Gradient Technique.
6. ASTM D1525, Test Method for Vicat Softening Temperature of Plastics.

7. ASTM D1693, Test Method for Environmental Stress-Cracking of Ethylene Plastics.
8. ASTM D1998, Standard Specification for Polyethylene Upright Storage Tanks.
9. ASTM D2765, Degree of Crosslinking in Crosslinked Ethylene Plastics as Determined by Solvent Extraction.
10. ASTM D2837, Method for Obtaining Hydrostatic Design Basis for Thermoplastic Pipe Materials.
11. ASTM D3892, Practice for Packaging/Packing of Plastics.
12. ASTM F412, Definitions of Terms Relating to Plastic Piping Systems.
13. ARM (Association of Rotational Molders) Standards Low Temperature Impact Resistance (Falling Dart Test Procedure).
14. ANSI B-16.5, Pipe Flanges and Flanged Fittings.
15. NSF/ANSI Standard 61, Drinking Water System Components – Health Effects.

### 1.3 QUALITY ASSURANCE

- A. Manufacturer's Qualifications: Manufacturer shall have at least ten years experience in producing polyethylene tanks and shall show evidence of at least five installations in satisfactory service for at least five years each for the chemical listed in Part 2 of this Section.
- B. Source Quality Control:
  1. All dimensions shall be taken with the tank in the vertical position, unfilled. Tank dimensions will represent the exterior measurements.
    - a. The tolerance for the outside diameter, including out of roundness, shall be per ASTM D 1998-15.
    - b. The tolerance for fitting placements shall be +/- 0.5 inch in elevation and two degrees radial at ambient temperature.
  2. Test specimens shall be taken from fitting location areas or piggy-back test molds.
  3. Low Temperature Impact Test - ARM Standard:
    - a. Test specimens shall be conditioned at -40 degrees Fahrenheit for a minimum of two hours.
    - b. The test specimens shall be impacted in accordance with ARM Standard Test Method. Test specimens less than two inches in thickness shall be tested at 100 ft.-lb. Test specimens greater than two inches in thickness shall be tested at 200 ft.-lb.
  4. Degree of Crosslinking Test (Type I Tanks Only):
    - a. The test method is to be the o-xylene insoluble fraction (gel test) per ASTM D 2765 Method C. This test method is for determination of the ortho-xylene insoluble fraction (gel) of crosslinked polyethylene.
    - b. The percent gel level for tanks on the inside 1/8-inch of the wall shall be a minimum of 60 percent.

5. All tanks 2,000 gallons or larger shall be measured for tank wall thickness at 6 inches, 1 foot, 2 feet, and 3 feet on the tank sidewall height at 0 degrees and 180 degrees around the tank circumference, with 0 degrees being the tank manway and going counter-clockwise per ANSI standard drafting specifications. A copy of this test report shall be provided to OWNER. All tanks shall meet design thickness requirements and tolerances.
  6. Hydrostatic Water Test: The hydrostatic water test shall consist of filling the tank to brim full capacity for a minimum of four hours and conducting a visual inspection for leaks. Hydrostatic tests shall be performed after all fittings and bulkheads are installed.
- C. Each tank shall be inspected for defects such as foreign inclusions, air bubbles, pinholes, pimples, crazing, cracking and delaminations that will impair the serviceability of the vessel. All cut edges where openings are cut into the tanks shall be trimmed smooth.
- D. The manufacturer shall assume full responsibility for the engineering, design and completeness of the tanks. All components shall be specifically constructed for the specified service conditions and shall be integrated into the overall equipment assembly by the tank manufacturer.
- E. Certifications
1. Certificate of Compliance:
    - a. Provide three, original, notarized certificates of compliance with the Contract Documents from the polyethylene tank manufacturer on manufacturer letterhead and signed by an authorized official; certification by manufacturer's representatives is not acceptable.
    - b. Certificate shall be worded as follows:

“*[Insert manufacturer's name]* proposes to supply equipment included in Section 43 41 00 for the City of Venice. We have examined the Contract Documents and understand the Project requirements insofar as they affect the proposed products. Except as may be specifically exempted in Section 43 41 00, we accept unit responsibility for the products specified in this Section, and certify that the products are compatible, meet the requirements of the Contract Documents, and comprise a functional unit that will operate satisfactorily under the conditions described in the Contract Documents:

[List exceptions, deviations or changes necessary or recommended to accommodate the proposed products.]

We further certify that the products to be furnished shall conform to the standards listed in Section 43 41 00, Polyethylene Tanks, of the Contract Documents.

[List exceptions, deviations or changes necessary to accommodate the proposed products.]

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Authorized Signature & Title

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Date

- c. Provide justification for exceptions, variations, deviations, or changes. ENGINEER will determine whether exceptions, deviations, and changes are acceptable. Exceptions, variations, deviations, and changes may result in rejection of products.
- d. Provide certificate of compliance before submitting Shop Drawings. Shop Drawings will not be reviewed prior to receipt of the certificate of compliance.
- e. Acceptance of certification of compliance shall not relieve CONTRACTOR of responsibility for adequacy of all products.
- f. Submittal of certificate of compliance shall not relieve CONTRACTOR, equipment manufacturer, and Supplier of requirement to comply with Submittal procedures in the Contract Documents.

#### 1.4 SUBMITTALS

- A. Shop Drawings: Comply with Section 01 33 00, Submittal Procedures, and the additional requirements below. Submit for approval the following:
  - 1. Layout and all critical dimensions, including thickness of tank wall and dome dimensions.
  - 2. Materials of construction.
  - 3. Fitting locations and details.
  - 4. Accessories.
  - 5. Anchor and hold-down details.
  - 6. Manufacturer's literature, illustrations, chemical compatibility data, calibration charts, specifications, engineering data, and installation instructions.
- B. Delegated Design - Certification of Design: The manufacturer shall include with the submittals signed written certification that all tanks have been designed and manufactured to the current ASTM D 1998-15 standard for polyethylene tanks. The manufacturer shall provide a wall thickness calculation stamped and signed by a Professional Engineer, who may be an employee of the manufacturer, verifying that the polyethylene tanks to be furnished under this section meet all applicable design requirements for structural integrity as a function of necessary wall thickness.

- C. Certificate of Compliance: Provide certificate of compliance prior to shop drawing submission, as specified in this Section.
- D. Test Reports: Submit copies of test reports to the ENGINEER before shipping tanks to the Site. Do not ship tanks until after the ENGINEER has approved the test reports.
- E. List of recommended spare parts.
  - 1. Manufacturer shall furnish a list of additional recommended spare parts for an operating period of one year. The list shall describe each part, the quantity recommended, and the unit price of the part.
- F. Operation and Maintenance Manuals: Submit in accordance with requirements of Section 01 78 23, Operation and Maintenance Data. The Operation and Maintenance Manuals shall include, but not be limited to, the following:
  - 1. Manufacturer's written instructions for unloading, handling, storing and routine maintenance of polyethylene tanks and appurtenances.
  - 2. Installation instructions for installing tank on a concrete slab.
  - 3. Tank manufacturer's recommended bolt torques for flanges.
- G. Local Service Representative: Provide name, address and telephone number of manufacturer and local factory-trained service representative.
- H. Documentation that the manufacturer has produced, supplied, and placed into satisfactory service, equipment similar to that specified herein. Criteria shall be a minimum of five installations in service for a minimum of five years for the chemical listed in Part 2 of this specification.
- I. Once installation is complete, the polyethylene tanks manufacturer representative shall inspect the installation and provide certification on manufacturer's letterhead that the polyethylene tanks and appurtenances have been properly installed in accordance with the Drawings, Specifications, and Manufacturer's Shop Drawings and recommendations, and that the system is ready to be tested and placed into operation.

#### 1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. In general, polyethylene tanks shall be:
  - 1. Chocked and tied down to prevent being blown by wind.
  - 2. Vented to allow for temperature changes that may affect their integrity.
  - 3. Provided with opening protection to exclude foreign matter.
  - 4. Protected from sunlight (UV) degradation.
  - 5. Stored on-site in cradles if storage is required prior to installation.

B. Delivery of Materials:

1. Refer to Division 1 for transportation and handling of products, and supplementary requirements below.
2. Deliver materials to the site to insure uninterrupted progress of the Work. Deliver anchor bolts and anchorage devices that are to be embedded in cast-in-place concrete in ample time not to delay that Work.
3. Tanks delivered to the job site shall be inspected by the CONTRACTOR for damage, unloaded and stored with a minimum of handling.
4. All fittings shall be installed, removed and shipped separately.

C. Storage of Materials:

1. Refer to Division 1 for storage and protection of products, and supplementary requirements below.
2. Store materials to permit easy access for inspection and identification. Protect equipment, including packaged materials, from weather, corrosion, and deterioration.

D. Handling of Materials:

1. Refer to Division 1 for transportation and handling of products, and supplementary requirements below.
2. Handle all polyethylene tanks and appurtenances as recommended by the manufacturer to avoid damage. Polyethylene tanks that are damaged will not be acceptable. Protect all polyethylene tank appendages from damage and contamination.
  - a. Comply with manufacturer's recommendations in handling and storing tanks.
  - b. Whenever feasible, shipments shall be made by truck.
  - c. Tanks that are shipped in horizontal position shall be mounted on padded cradles. All tank end blocking used to prevent shifting of tanks shall be padded and bear only upon the knuckle radius of the tank bottom.
  - d. Tanks shall be secured to the cradles or skids to prevent rotation or other movement. In turn, the cradles or skids shall be fastened securely to the truck bed.
  - e. All tie-down straps shall give provision for thermal expansion and shall be padded where in contact with the equipment.
  - f. Flange faces shall be protected from damage by covering with suitable plywood or hardboard, securely fastened. The tanks shall be positively vented at all times.
  - g. Pipe and tubing, fittings and miscellaneous small parts shall be crated or boxed. Additional protection, such as end wrapping, cross bearing, or other interior fastening may be required to ensure such individual equipment pieces are not damaged in transit.
  - h. The equipment shall be inspected by the ENGINEER before unloading at the installation site and any resulting damage shall be

the carrier and/or fabricator's responsibility to repair or replace at no cost to the OWNER.

- i. The tanks and appurtenances shall be unloaded and stored in accordance with the manufacturer's written instructions.

## 1.6 WARRANTY

- A. Comply with General Conditions of Construction Contract and the additional requirements below.
- B. Tanks shall be warranted to be free of defects in material and workmanship for a period of five years.

## PART 2 - PRODUCTS

### 2.1 SERVICE CONDITIONS

- A. General Design Conditions:
  1. Design Temperature: Ambient at 45 degrees F to 100 degrees F, indoor installation.
  2. Chemicals may be delivered at higher or lower temperatures, depending on season.
  3. Maximum Temperature: 120 degrees F.
  4. Normal Internal Loads: Hydrostatic.
  5. External Loads: Appurtenances as shown and specified herein.
  6. Configuration: Flat-bottom, vertical, cylindrical with dished tops.

- B. Tanks shall be suitable for:

Tank	Chemical	Concentration, %	Specific Gravity
1 and 2	Sodium hypochlorite	12.0 <sup>1</sup>	1.20

<sup>1</sup> Sodium hypochlorite storage tanks shall be designed to store bulk (12%) sodium hypochlorite.

- C. Size: Each tank shall have a minimum straight tank capacity of 10,000 gallons and be sized as shown on the tank schedule on the drawings.

### 2.2 MATERIALS OF CONSTRUCTION

- A. Polyethylene:
  1. The material used shall be virgin polyethylene resin as compounded and certified by the manufacturer. Type I tanks shall be made from crosslinked polyethylene resin as manufactured by Exxon Mobil, or resin

of equal physical and chemical properties. Type II tanks shall be made from linear polyethylene resin (Exxon 8660/8661) as manufactured by Exxon/Mobil Chemical, or resin of equal physical and chemical properties.

2. All polyethylene resin material shall contain a UV stabilizer as compounded by the resin manufacturer. Pigments may be added, but shall not exceed 0.25 percent (dry blended) of the total weight.
3. Mechanical Properties of Type I Tank Material:

Property	ASTM	Value
Density (Resin)	D 1505	0.938-0.946 g/cc
Tensile (Yield Stress 2-inch min.)	D 638	2,830 PSI
Elongation at Break (2-inch min.)	D 638	700%
ESCR (100% Igepal, Cond. A, F50)	D 1693	>1,000 hours
ESCR (10% Igepal, Cond. A, F50)	D 1693	>1,000 hours
Vicat Softening Degrees F. Temperature	D 1525	250
Flexural Modulus	D 790	100,000 PSI

4. Mechanical Properties of Type II Tank Material:

Property	ASTM	Value
Density (Resin)	D 1505	0.940-0.948 g/cc
Tensile (Yield Stress 2 inch min.)	D 638	2,950 PSI
Elongation at Break (2-inch min.)	D 638	>1000%
ESCR (100% Igepal, Cond. A, F50)	D 1693	550 hours
ESCR (10% Igepal, Cond. A, F50)	D 1693	48 hours
Vicat Softening Degrees F. Temperature	D 1525	235
Flexural Modulus	D 790	129,000 PSI

- B. Surface portions of tanks, inside and outside, shall be suitable for the specified environment.

## 2.3 DETAILS OF CONSTRUCTION

### A. Manufacturer:

1. Snyder Industries, Inc.
2. Poly-Processing, Inc.
3. Assmann, Inc.

### B. Design:

1. The minimum required wall thickness of the cylindrical shell at any fluid level shall be determined by the following equation, but shall not be less than 0.187 inch thick.



$$T = \frac{P \bullet O.D.}{2 \bullet SD} = \frac{0.433 \bullet S.G. \bullet H \bullet O.D.}{2 \bullet SD}$$

T = wall thickness

SD = hydrostatic design stress, PSI

P = pressure (.433 x S.G. x H), PSI

H = fluid head, ft.

S.G. = specific gravity, g/cm<sup>3</sup>

O.D. = outside diameter, in.

- a. The hydrostatic design stress shall be determined by multiplying the hydrostatic design basis, determined by ASTM D 2837 using rotationally molded samples, with a service factor selected for the application. The hydrostatic design stress is 600 PSI at 73 degrees Fahrenheit. The tank shall have a stratiform (tapered wall thickness) wall.
- b. The hydrostatic design stress shall be derated for service above 100 degrees Fahrenheit and for mechanical loading of the tank.
2. The minimum required wall thickness for the cylinder straight shell must be sufficient to support its own weight in an upright position without any external support. Flat areas shall be provided to allow locating large fittings on the cylinder straight shell. The bottom knuckle radius of flat bottom tanks shall be a minimum of two inches.
3. The top head must be integrally molded with the cylinder shell. The minimum thickness of the top head shall be equal to the top of the straight wall. The top head of tanks with 2,000 or more gallons of capacity shall be designed to provide a minimum of 1,300 square inches of flat area for fitting locations.
4. Tanks with 2,000 or more gallons of capacity shall have a minimum of three lifting lugs integrally molded into the top head. The lifting lugs shall be designed to allow erection of an empty tank.

C. Anti-Oxidant System:

1. Type I tanks shall be furnished with an anti-oxidant, LLDPE (Linear Low Density Polyethylene) medium density polyethylene resin with four times the anti-oxidant properties of standard polyethylene. It will be bonded to the interior tank wall during the manufacturing process.

D. Construction:

1. Tanks shall be upright, cylindrical, flat-bottomed, one-piece seamless construction, rotational molded.
2. The tanks shall be designed for above-ground, vertical installation and shall be capable of containing chemicals at atmospheric pressure.
3. Tanks shall be of the types and sizes shown on the Contract Drawings and specified herein.

4. Lifting Lugs: As required for installation. Capable of withstanding weight of tank with a safety factor of 3 to 1.
5. Hardware for mounting and connections of accessories shall be titanium.
6. All gaskets and accessories shall be compatible with the chemical in storage.

E. Fittings:

1. Tank fittings shall be according to the tank schedule in the drawings. Threaded fittings shall use American Standard Pipe Threads. If tanks are insulated, fittings shall be installed at the factory prior to application of the insulation.
2. Flange fittings above liquid level shall be Bolted Double 150 lb. Flange Fittings or Bolted One-Piece Sure Seal (B.O.S.S.) double flange fittings. Flange will have one full face gasket to provide a sealing surface against inside tank wall. All materials shall be compatible with chemical service and as indicated in the tank schedule in the drawings.
  - a. Provide all sidewall fittings with a flexible expansion joint or flexible hose suitable for the chemical being stored. See Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
3. Bottom Side Drain Outlet Fitting:
  - a. Molded Outlet:
    - 1) The outlet shall be integrally molded into the tank during the rotational molding process. The outlet shall be seamless and manufactured from the same material as the tank. Inserts are not acceptable.

## 2.4 ACCESSORIES

A. Level Indicators/Transmitters:

1. One tank shall be provided with a 2-inch diameter clear PVC sight glass as shown on the drawings.
2. Each sight glass shall be provided with PVC vented ball valves for isolation, true union type.
3. The sight glass shall be mounted in view of the tank fill area, as Shown. Sight glass shall be supported from existing grating and railing as shown.

B. Manways and Access Ports:

1. Provide manways and access ports at the sizes and locations shown on the Contract Drawings.
2. Manways and access ports shall be vented and constructed of polyethylene material. The bolts shall be per manufacturer's recommendation for chemical compatibility. The gaskets shall be Viton.

C. Overflow:

1. Invert of overflow pipes shall be located 6 inches below the seam line separating the dish-top from the vertical side walls.

D. Vents:

1. Provide vents at the sizes and locations shown on the Contract Drawings.
2. Tank vent line shall be equipped with FRP insect screen at outlet that is compatible with the chemical stored.

E. Pipe Supports:

1. Pipe supports shall be provided per manufacturer's recommendation.
2. Provide support braces from tanks' outside wall for support of the tanks' overflow lines.
3. Maximum spacing between pipe supports shall be 5 feet.

## 2.5 SPARE PARTS

A. Furnish and deliver the following spare parts as specified below:

1. Tanks: Two sets of each size of all gaskets, washers, and o-rings for each type of tank furnished and installed.

B. Spare parts shall be packed in sturdy containers with clear indelible identification markings and shall be stored in a dry, warm location until transferred to the OWNER at the conclusion of the project.

## 2.6 IDENTIFICATION

A. Label: Permanently attach label to each tank with the following minimum information:

1. Chemical to be stored including:
  - a. Concentration.
  - b. Specific gravity.
  - c. Maximum temperature.
2. Tank manufacturer.
3. Date of manufacture.
4. Tank serial number.
5. Tank material.
6. Tank capacity.

B. Tanks shall be furnished with OSHA approved 20-inch by 24-inch Chemical Warning signs with NFPA 704 hazard numbers for the chemical services intended. Chemical signs shall include, at a minimum, the name of the product stored in the tank, precautionary measures, signal word ("danger", "warning", "caution"), statement of hazard, precautionary measures, instructions in case of

contact, exposure, etc. and NFPA 704 hazard numbers.

## 2.7 INSPECTION

- A. CONTRACTOR shall examine the conditions under which the Work is to be installed and notify the ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected.
- B. Inspect tanks prior to installation. If damaged, notify ENGINEER and manufacturer at once.
- C. Do not install damaged tanks until repairs are made in accordance with manufacturers written instructions and approval by ENGINEER.
- D. Inspect concrete pads for proper elevation, dimensions, evenness and anchor bolt locations. Correct if required.

## 2.8 INSTALLATION

- A. Install tanks in complete conformance with manufacturer's instructions.
- B. Each tank shall receive roofing felt between the bottom of the tank and the concrete pad.
- C. Once installation is complete, the polyethylene tanks manufacturer representative shall inspect the installation and provide certification on manufacturer's letterhead that the polyethylene tanks and appurtenances have been properly installed in accordance with the Drawings, Specifications, and Manufacturer's Shop Drawings and recommendations, and that the system is ready to be tested and placed into operation.

## 2.9 FIELD QUALITY CONTROL

- A. Required Manufacturer Services:
  - 1. Following installation, CONTRACTOR shall provide two site visits and up to 8 hours of time per site visit by a manufacturer's representative to check installation (one site visit per tank).
  - 2. Furnish test forms and procedures for field testing.
- B. Field Testing:
  - 1. Provide all test apparatus required at no extra cost to OWNER.

2. Follow testing procedures recommended by the manufacturer and approved by the ENGINEER.
  3. After installation is complete but before piping connections are made, block all outlets and fill each tank with water to the top of the invert of the overflow.
  4. Each tank must maintain the overflow elevation level with zero leakage for a 24-hour period.
  5. Repair all leaks in accordance with manufacturer's instructions.
- C. Manufacturer's Installation Report:
1. Prepare manufacturer's installation reports and submit within 30 days after completion of field testing and operation instruction. The reports shall include the following:
    - a. Field testing reports.
    - b. Description of installation deficiencies not resolved to the OWNER'S satisfaction.
    - c. Description of problems or potential problems.

#### 2.10 CLEANING AND REPAIRING

- A. Following installation, CONTRACTOR shall remove all debris and waste materials resulting from installation.
- B. CONTRACTOR shall block all outlets, clean tank inner walls and nozzles with detergent, and rinse with 180°F water.
- C. Tanks shall be dried completely prior to testing.

+ + END OF SECTION + +

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