Profile

Maribeth
First Name

Holland
Last Name

950 Inlet Circle
Street Address

Venice
City

FL
State

34285
Postal Code

mh@suttonplacecapital.com
Email Address

Business: (941) 445-2001
Primary Phone

Alternate Phone

SUTTON PLACE CAPITAL MANAGEMENT LLC
Employer

WEALTH ADVISOR/ CHIEF INVESTMENT OFFICER
Occupation

Which Boards would you like to apply for?

Fire Pension Board of Trustees: Submitted

Have you ever been convicted or pled "no contest" to a misdemeanor offense?

○ Yes ● No

Have you ever been convicted or pled "no contest" to a felony?

○ Yes ● No

Do you currently have any open Code Enforcement violations, past due payments or liens with the City of Venice? If so please give details.

No

Demographics

Are you a city resident?

● Yes ○ No

How long have you lived in the City of Venice?

2.5 years

Interests & Experiences

Are you currently serving on a city or county board or commission?

○ Yes ◉ No

Have you ever served on a city board or commission?

○ Yes ◉ No

Member of the Following Organizations:

School Finance Council of Epiphany Cathedral, Venice Yacht Club, FINRA

Why are you interested in serving on a board, commission or City Council?

Having lived in NYC during 911, I saw firsthand the dedication in the face of danger, of the NYFD. It would be a privilege to serve on the Board of Trustees and offer my expertise, financial analysis skills, and investment decision making strengths to the Firefighters Pension Fund here in Venice, FL. After many years in NYC, four years ago I became a proud homeowner in Venice amongst three generations of my family who have lived here for 40 years. During the past four years, I have been very actively involved with Venice Chamber of Commerce and currently as a board member of my association on Venice Island. I have assisted Epiphany Cathedral with their financial needs for the past 2 years. Being a trustee would provide another dimension to my professional and civic involvement in the Venice community. My decades of asset management experience could compliment the investment decision making process. I would be thrilled at the opportunity to have a direct and shared impact for the financial well-being of retirees of the pension fund.

Resume of Education and Experience:

The attached resume provides my financial and educational experience for the last forty years. I have scripted investment risks and objectives, also known as Investment Policy statements, for an array of institutional clients. Institutional clients primarily include endowment funds, company 401k plans and pension funds. My advisory firm buys and sells equity and bond investments and I have spoken on a variety of financial matters. As a volunteer at a NY based private social club, I have sat on the Endowment Fund and Capital improvement committees and learned to discuss governance and budgeting matters to facilitate the committees to make critical decisions that affected current and future members.

ResumeFF_Venice.pdf

Upload a Resume

Question applies to Fire Pension Board of Trustees

Are you a member of the firefighter system?

○ Yes ◉ No

You have reviewed the Board/ Commission's regular meeting schedule and are able to attend in-person meetings?

◉ Yes ○ No

Acknowledgements

Please Agree with the Following Statement

You understand that this agency is a public entity and is subject to Chapter 119, Florida Statutes, concerning public records, and documents may be disclosed to the public and media upon request. If you qualify for an exemption, you must notify the Clerk's office.

☑ I Agree

MARIBETH HOLLAND

Summary

Established Chief Investment Officer who founded an investment advisory firm. Deep knowledge of large-cap equities across S&P 500 sectors to build long only GARP portfolios to beat the benchmark over a mid-term time horizon. Excellent public speaking and client presentation skills. Knowledge of various instruments and familiar with role of trustee, consultant, brokers, and custodians. Active Board member and Endowment committee experience.

INVESTMENT MANAGEMENT EXPERIENCE ***2003 – Present***

Sutton Place Capital Management, Venice, FL (Since 2023), New York, (Founded) **2008 – Present**

Chief Investment Officer, Founder

- Originate and evaluate investment opportunities as Registered Investment Advisor.
- Review stock and bond allocations and communicate the firm's investment positioning to clients quarterly
- Provide optimal solutions for comprehensive asset management for endowment and high net worth investors.
- Encourage dialogue to set goals and discuss short-term needs to formulate an Investment Policy Statement.
- Hire interns and train them to become analysts through Fordham MBA mentor program.

The Bank of New York Mellon Corporation, New York, NY

Estabrook Capital Management, BNY subsidiary ($3 billion Assets Under Management)

Portfolio Manager, Director **2003-2008**

- Managed large cap value stock holdings for institutions, trusts, and high net worth clients.
- Sole manager and lead analyst of socially responsible product.
- Protected assets after portfolio manager departures. For example, a $40 million intergenerational account.
- Promoted Director in January 2007.

Investment Performance

- Large Cap Value performance was first amongst peers in 2005, second in 2004 and 2006, returns outperformed S&P 500 Index.
- Member of Investment Policy Committee.
- Provided commentary on Consumer Discretionary and Consumer Staples sectors; both finished in the top three as contributors to the performance of the Estabrook Hamilton Fund (BCPVX).
- SRI composite finished up 14.7%, outpacing Russell 1000 Value and Domini Social 400 Index in 2005, up 16.8% in 2006.

Marketing Initiatives

- Presented quarterly performance reviews to institutional salesforce, and clients.
- Introduced colleagues to Evaluation Associates and participated as a speaker at numerous conferences.

WALL STREET SELL-SIDE RESEARCH ANALYST EXPERIENCE ***1995-/2003***

Goldsmith & Harris, New York - Senior Equity Research Analyst, SVP **2002-2003**

Ryan Beck, LLC, New York - Senior Equity Research Analyst, SVP **2001**

Roth Capital Partners, Newport Beach, CA - Senior Equity Research Analyst, VP **2002-2003**

- Generated long-term and short-term equity trading recommendations on mid-cap sized retailers.
- Built significant trading relationships with buy-side managers such as TCW, Rocker Partners, Daruma.
- Marketed equity valuation ideas to propspective and current institutional clients.
- Published timely research on lifestyle retailers, including Brookstone, Coach, Kenneth Cole Productions.
- Provided valuation and industry positioning on targeted companies to corporate finance department.
- Top pick: Chico's at a split adjusted price of $3, registered +1400% return while under coverage.

Deutsche Bank (Banker's Trust), New York - Senior High Yield Research Analyst **1997–1999**

- Analyzed and compared debt covenants and indenture agreements to develop credit profiles for different credit sectors, particularly single-B issuers to facilitate primary and secondary trading.
- Addressed salesforce and institutional client inquiries about credit profiles and forecast assumptions.

MERRILL LYNCH, New York **1993-1997**
Merrill Lynch Global Research, & Economics, Assistant Vice President

- Published analysis on quarterly financial performance and interim developments of apparel and footwear companies in conjunction with an *Institutional Investor* ranked senior equity analyst.
- Personally responsible for several issuers in the sector (Haggar Corp., K-Swiss Inc., VF Corporation)
- Interpreted and forecasted company performances, stock price movements and currency values.
- Marquee IPO's include: Donna Karan, Mossimo, Guess, Fila, Warnaco, and Ralph Lauren

Merrill Lynch Corporate Strategy, Senior Credit Analyst

- Conducted industry studies and client company due diligence to extract data necessary to forecast cashflow, produce quarterly rationales, and quantify transaction risk.
- Assigned a rating on counterparty's financial stability and anticipated changes in credit's profile to four industries: Retail, Real Estate, Home Building and Pharmaceutical industries.

EDUCATION

GABELLI SCHOOL OF BUSINESS, FORDHAM MBA, New York, NY **Graduated**
M.B.A. Finance Concentration, *Beta Gamma Sigma* Honor Society, Awarded Graduate Assistantship
BABSON COLLEGE, Wellesley, MA **Graduated**
B.S. Finance/Investments

OTHER ACHIEVEMENTS

Media Recogniation – CNBC appearance, Wall Street Journal, Investment Business Daily, Women's Wear Daily
Licenses: NASD Series 65, Series 63 and Series 7 passed
Citizenships: United States and Ireland/EU
Memberships: Epiphany Cathedral School Finance Council of FL. Former Estate Planning Council of NY and Junior League
Other: Completed New York and Boston Marathon three times. USA Triathlon Age Group National qualifier